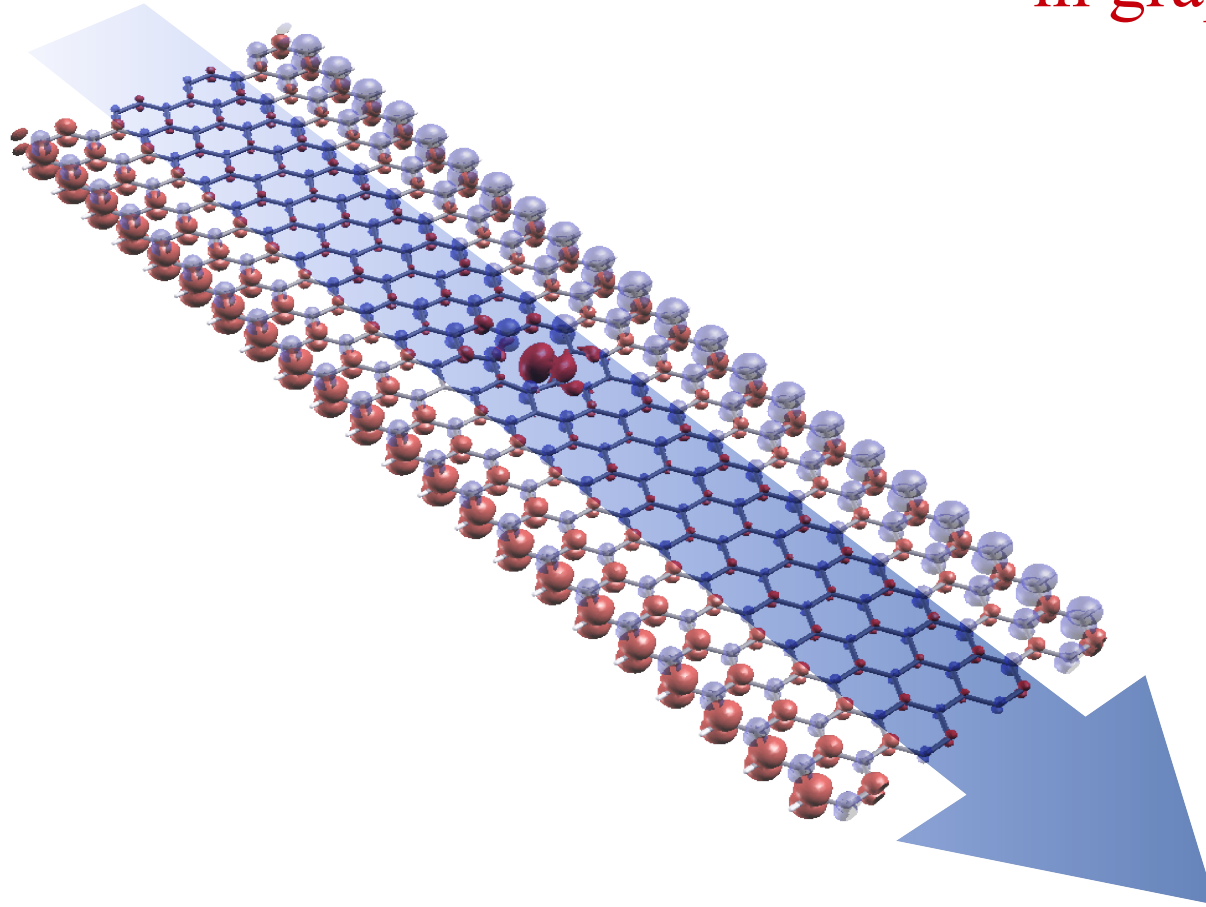


Quantum Transport in graphene nanostructures

Simon Mutien-Marie Dubois

University of Cambridge
Cavendish Laboratory

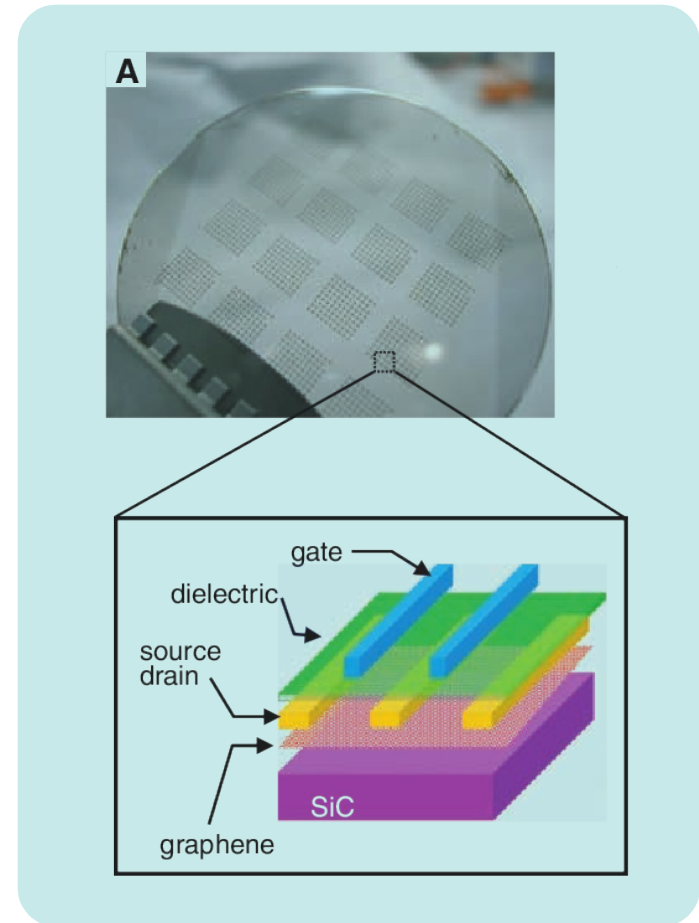
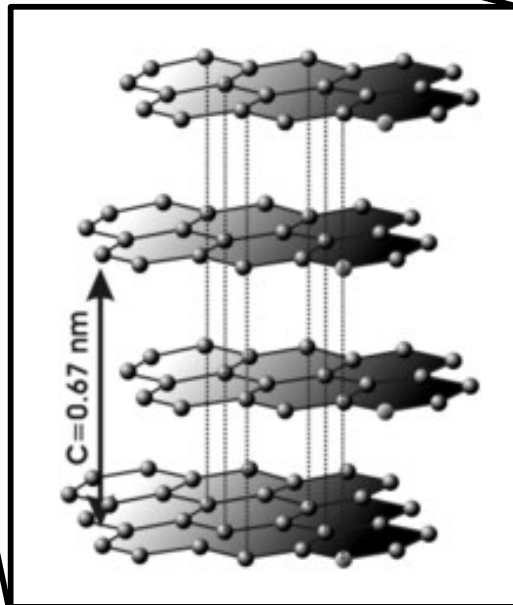
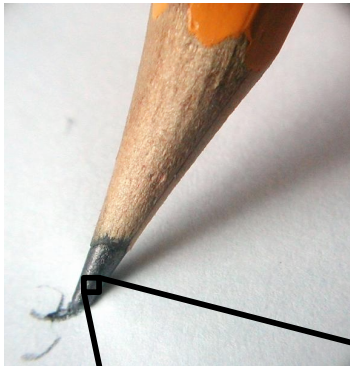


Onetep Spring School,
April, 17th 2010

Graphene wonderland....

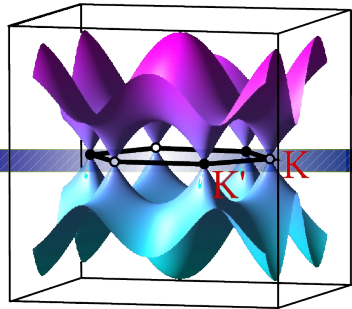
IBM 100-GHz Transistors from epitaxial Graphene !

Science **327**, 662 (2010)

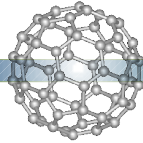


... show extremely high carriers mobilities and hold great promises for applications in electronics such as ultrahigh-speed transistors !

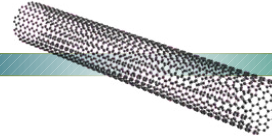
Graphene wonderland....



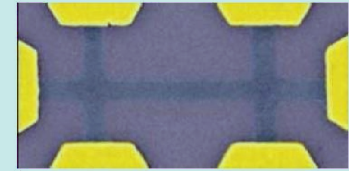
1947:
Graphene band-structure
Wallace



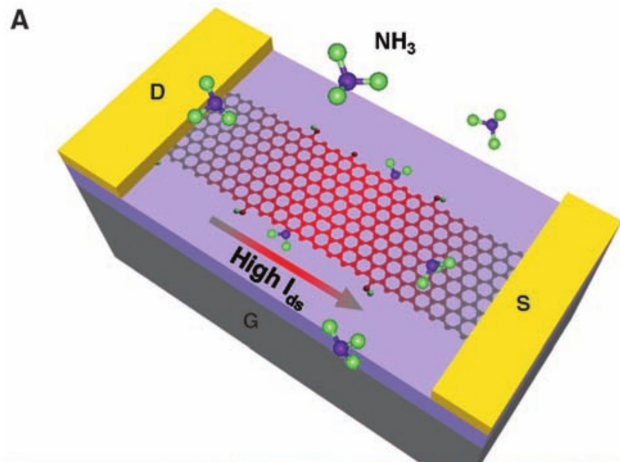
1985:
Fullerenes
Kroto, Curl, Smalley



1991:
Nanotubes
Ijima



2004:
Contacted graphene
*Novoselov, Geim
Berger, de Heer*



Well defined smooth GNRs

X. Li et al., *Science* 319, 1299 (2008)
M.Y. Han et al., *PRL* 98, 206805 (2007)

Half-metallicity of GNRs in electric fields

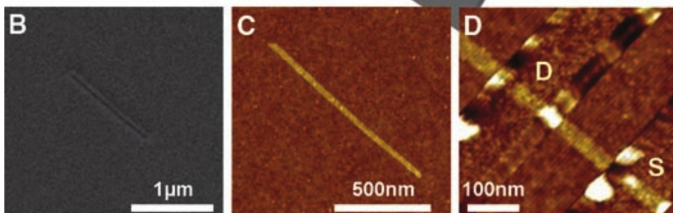
Son et al., *Nature* 444, 347 (2006)

Very large magnetoresistance in GNRs

W.Y. Kim and K.S. Kim, *Nature Nanotech.* 3, 408 (2008)

P- and n-type graphene FET

X. Wang et al., *Science* 324, 768 (2009)



X. Wang et al. *Science* 324, 768 (2009)

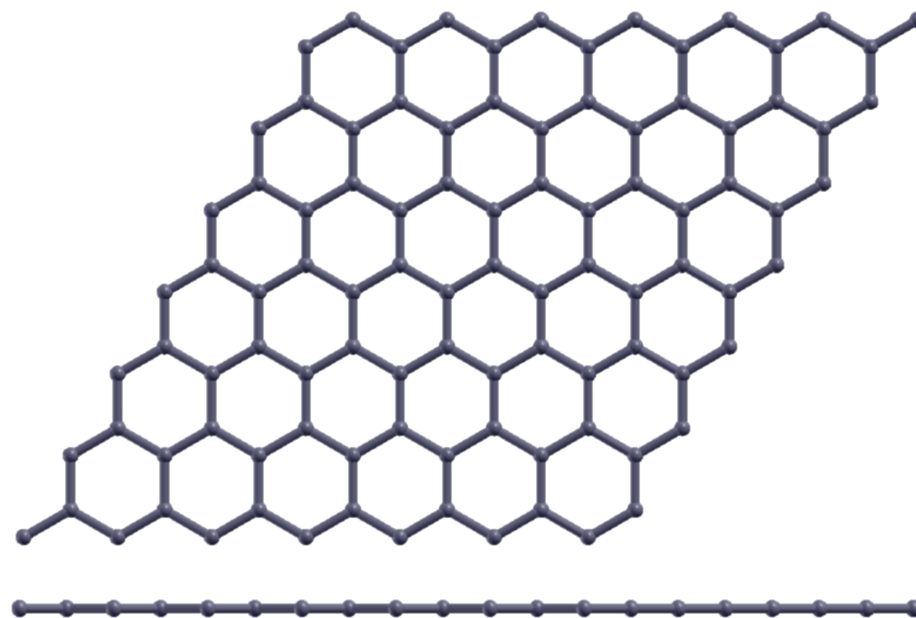
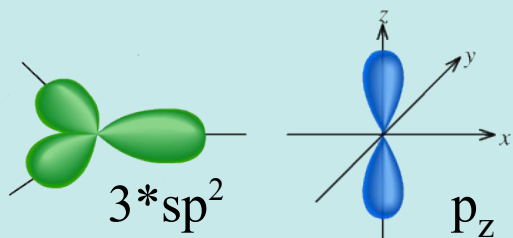
Outline of presentation

- ◆ **Graphene : its structure and properties**
 - why do we consider graphene nanoribbons for application in nano-electronics ?
- **Graphene nanoribbons**
 - electronic confinement, reconstruction of the edge, stability of point-defects
- **Electronic transport in defective nanoribbons**
 - conductance patterns of point-defects, conductance scaling in mesoscopic samples
- **Onetep future capabilities regarding quantum transport**

Outline of presentation

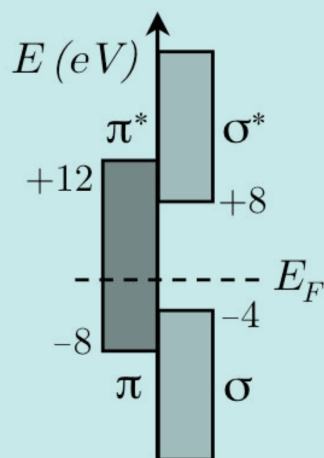
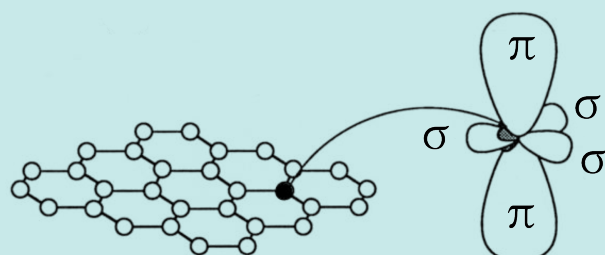
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Graphene, the thinnest of all materials....



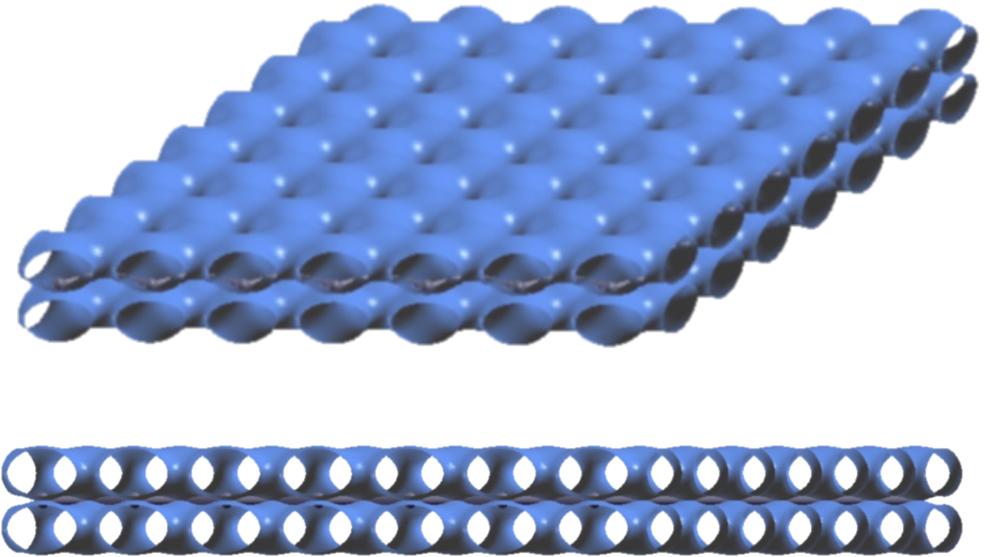
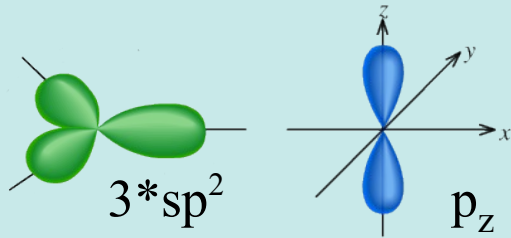
Graphene :

2D plane in which carbon atoms are periodically arranged in an hexagonal network.



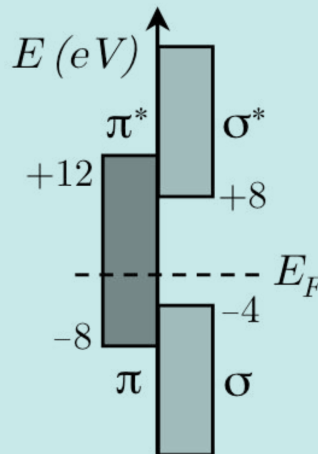
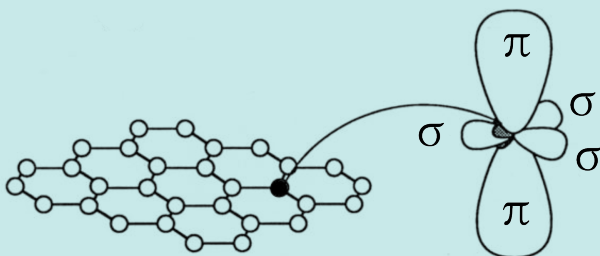
- $sp^2 \rightarrow$ strong σ -bonds
- \rightarrow network structure
- $p_z \rightarrow$ delocalized π -bonds
- \rightarrow low energy electronic structure

Graphene, the thinnest of all materials....



Graphene :

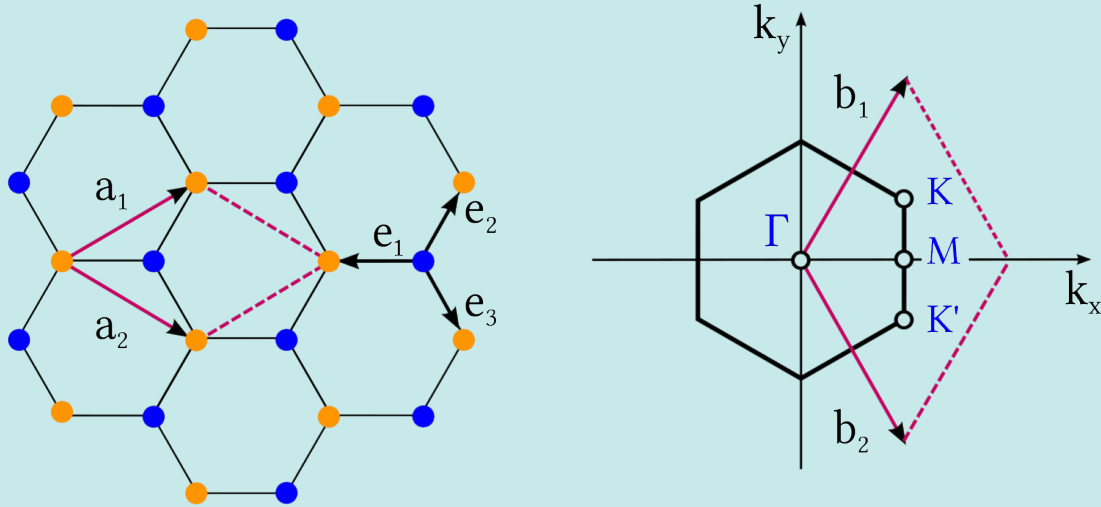
2D plane in which carbon atoms are periodically arranged in an hexagonal network.



sp^2 → strong σ -bonds
→ network structure

p_z → delocalized π -bonds
→ low energy electronic structure

Peculiar energy-momentum relation :

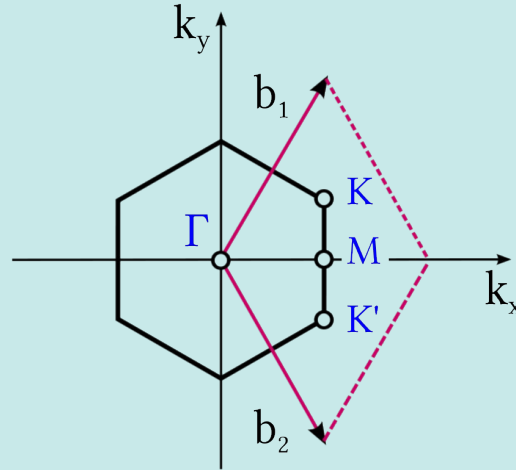
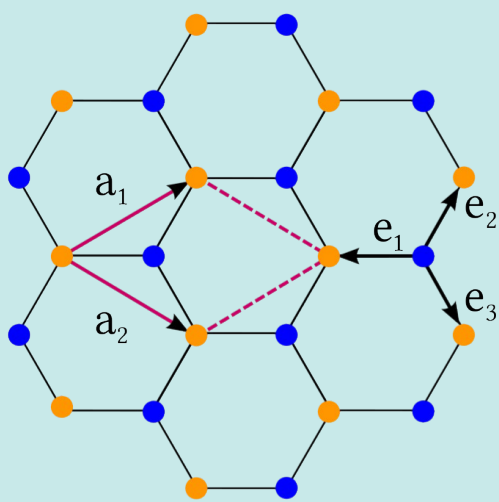


Band structure :

$$\hat{H} = \sum_{\mathbf{R}} \left(\epsilon_a \hat{a}_{\mathbf{R}}^\dagger \hat{a}_{\mathbf{R}} + \epsilon_b \hat{b}_{\mathbf{R}+\mathbf{e}_1}^\dagger \hat{b}_{\mathbf{R}+\mathbf{e}_1} \right) +$$

$$\sum_{\mathbf{R}} \sum_{i=1,3} -t \left(\hat{b}_{\mathbf{R}+\mathbf{e}_i}^\dagger \hat{a}_{\mathbf{R}} + \hat{a}_{\mathbf{R}}^\dagger \hat{b}_{\mathbf{R}+\mathbf{e}_i} \right)$$

Peculiar energy-momentum relation :

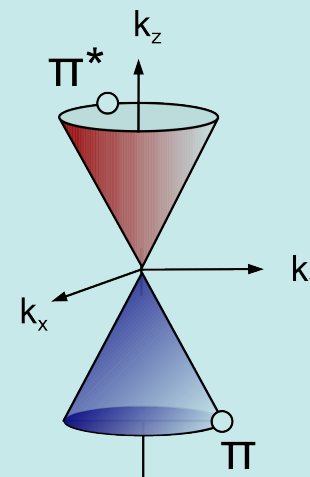
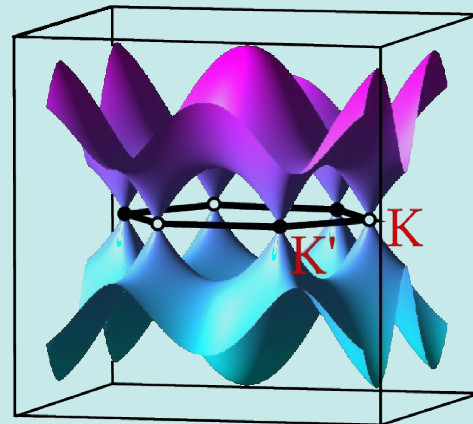


$$\epsilon_{\mathbf{k},c/v} = \pm t \left| \sum_{i=1,3} e^{i\mathbf{k} \cdot \mathbf{e}_i} \right|$$

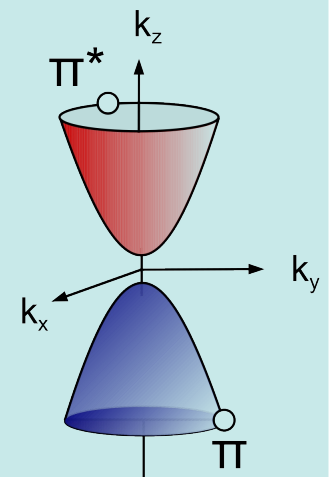
Band structure :

- Zero gap
- Electron-hole symmetry
- Linear dispersion

Graphene



Semi-conductors

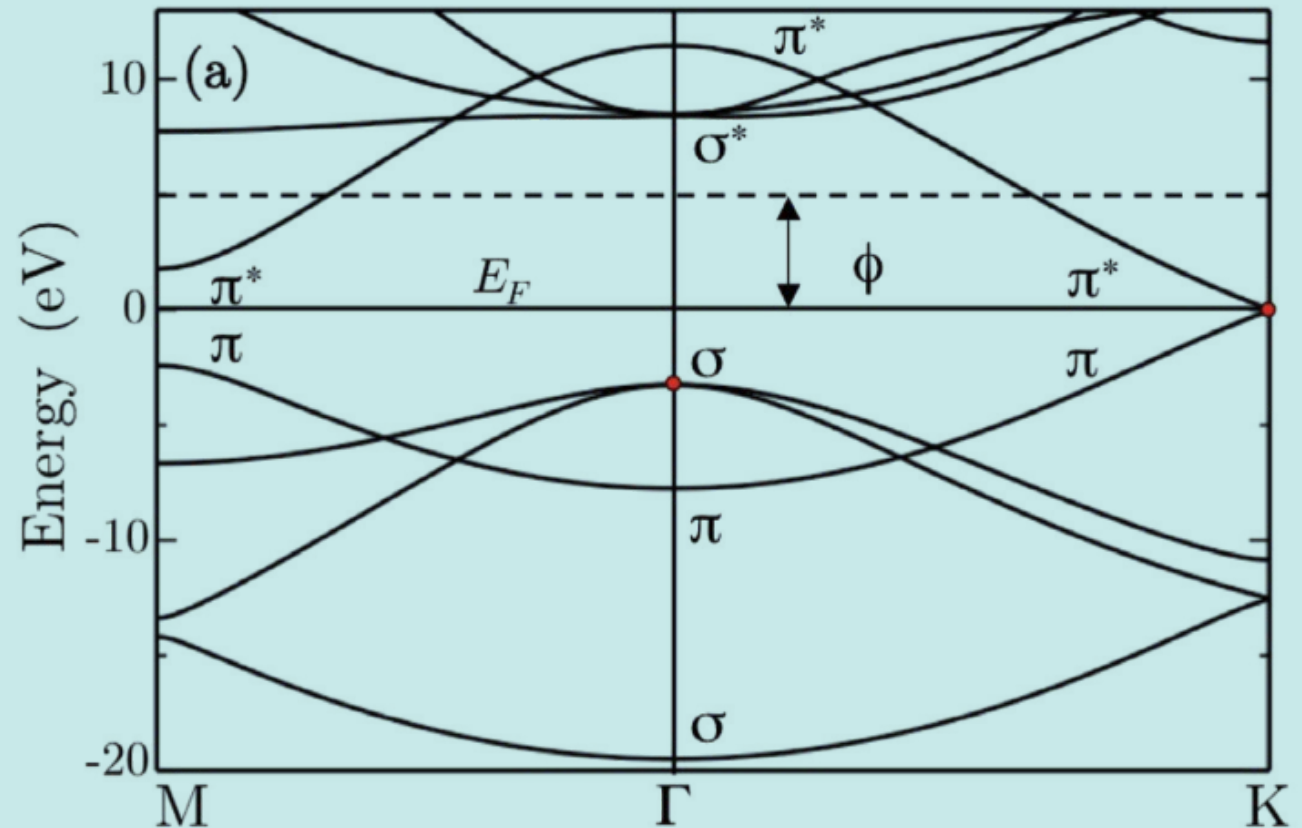


The remarkable properties of graphene :

- Peculiar energy-momentum relation

DFT calculation

- Zero gap
- Broken electron-hole
- Linear dispersion

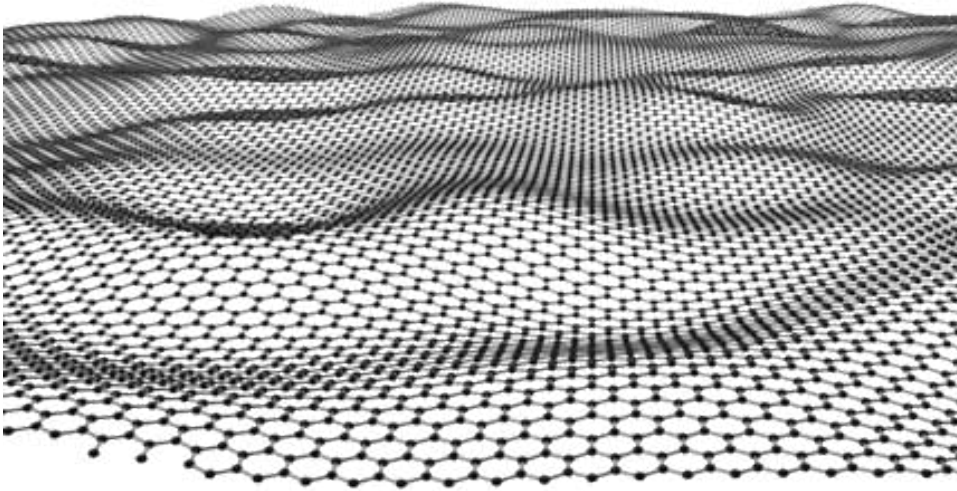


J.-C. Charlier et al. Rev. Mod. Phys. 79, 677 (2007)

Many remarkable properties :

- Peculiar energy-momentum relation
 - connection with the 2D massless Dirac equation
 - anomalous quantum Hall effect, Klein paradox,
- High thermal conductivity (up to 5300 [W/m.K] at room temp)
 - Diamond : up to 2000 [W/m.K] Cu : ~ 400 [W/m.K]
- Ultra high carriers mobilities (up to 200.000 [cm²/V.s] at room temp)
 - Silicon : 1400 [cm²/V.s] and InSb : 78000 [cm²/V.s]
- Spin coherence length up to 1 μm
- Strongest material ever measured
(extremely high Young modulus and breaking stress)
- Chemically inert, thermally stable, optically transparent

Great promises for application in electronics....



Spintronic applications

Micro-electro-mechanical devices (MEMS)

Field emitters

Ultrafast transistor

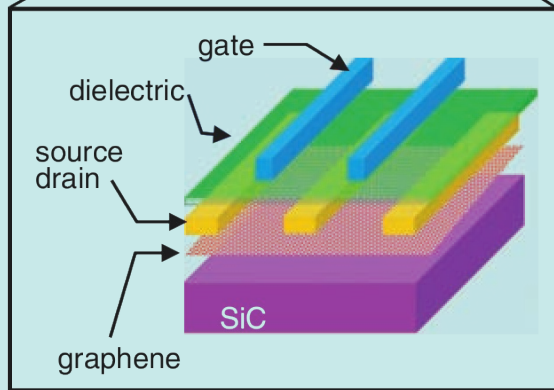
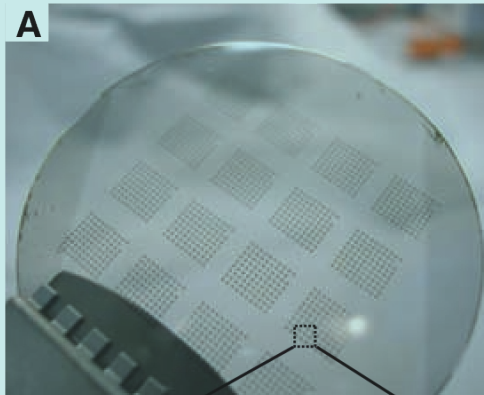
Devices in extreme conditions

Transparent electrodes for LEDs, improved solar cells?

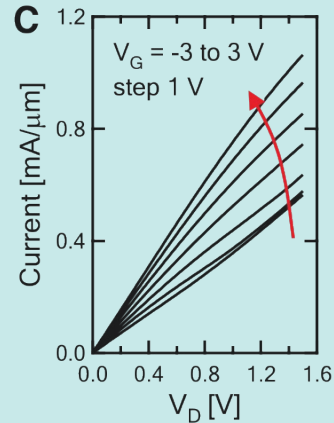
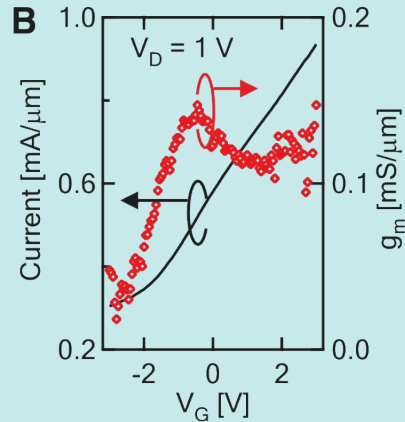
Gas and molecules sensors

Nanoscale electric connections

A bit too conductive for FET....



IBM 100-GHz Transistors
from epitaxial Graphene
Science **327**, 662 (2010)

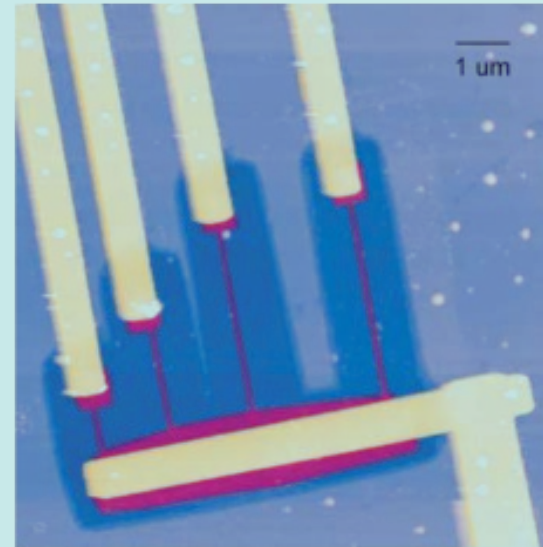
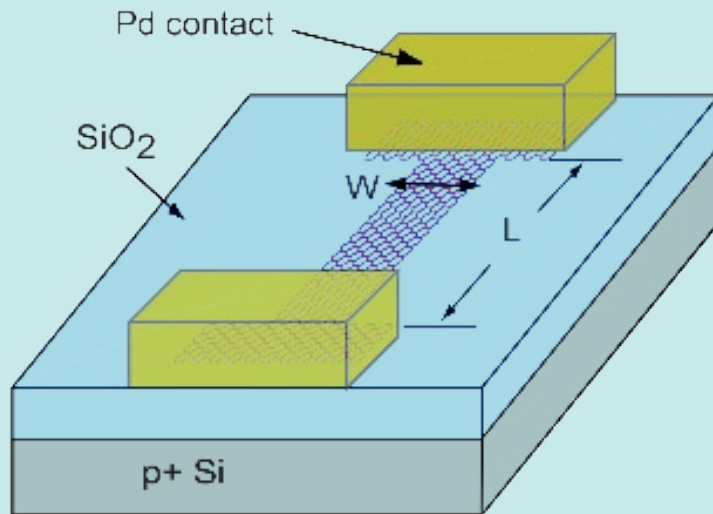


No energy gap !

Poor $I_{\text{on}}/I_{\text{off}}$ ratio, not convenient for digital applications.

→ **Graphene nanoribbons !**

Energy gaps in graphene nanoribbons ?



Schematic representation of a GNR-FET and
AFM image of actual GNR-FET devices.

Y.-M. Li et al., PRB 78, 161409(R) (2008).

- High I_{on}/I_{off} ratio ($> 10^5$)
- Carriers mobilities $\sim 1000 \text{ cm}^2/\text{V.s}$
($\ll 10^5 \text{ cm}^2/\text{V.s}$ in graphene)
- Poor signal to noise ratio

Outline of presentation

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- Onetep future capabilities regarding quantum transport

Theoretical framework.....



- Pseudopotentials
- LDA, GGA, Hybrid functionals
- Localized basis set : NAOs
- Atomic forces, stress tensor, (phonon)

- + efficient basis → high speed
- + direct interface with NEGF
- + extraction of adjusted TB parameters
- + lack of systematic variational convergence

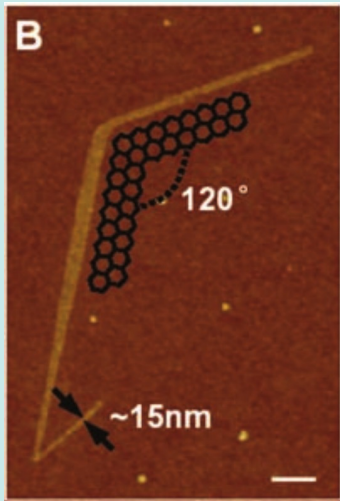


- Pseudopotentials
- LDA, GGA, Hybrid functionals
- Plane Waves, PAW, (wavelets)
- Atomic forces, stress tensor
- Response functions (1st, 2nd, 3th deriv)
- GW approximation

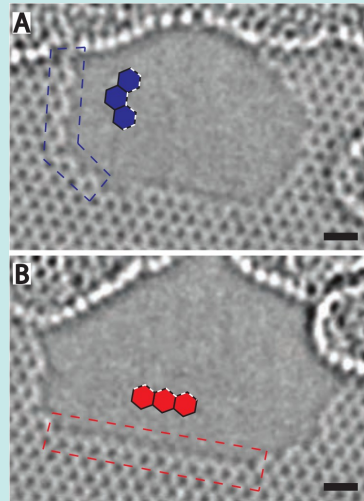
- + Complete basis sets
- + Lots of capabilities
- + Very robust
- + Lower speed
- + Indirect interface with NEGF via Max. Loc. Wannier Functions

Energy gaps in graphene nanoribbons?

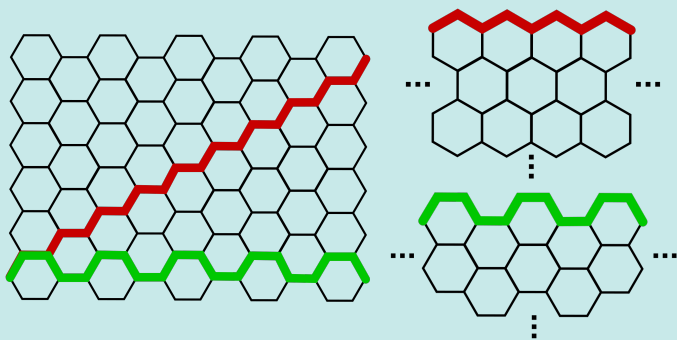
Experimental evidence of 2 main edge directions in graphene :



Afm image from X. Li et al. Science 319, 1229 (2008)



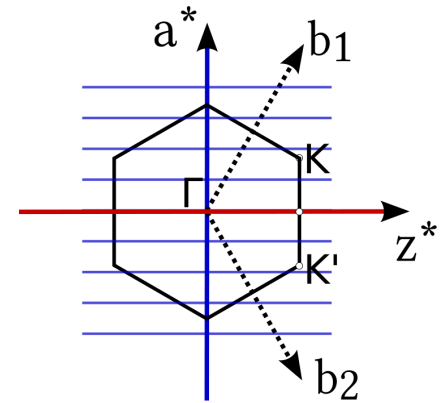
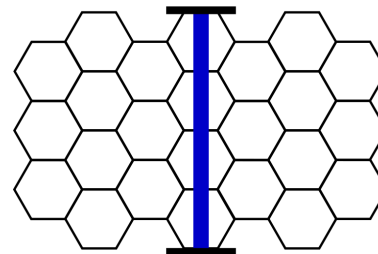
Aberration-corrected TEM images from C.O. Girit et al. Science 323, 1705 (2009)



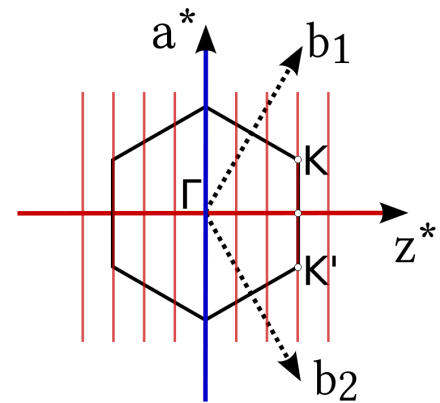
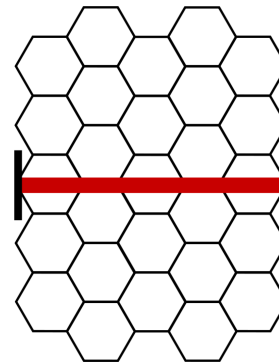
Two prototypical cutting directions with 30° of relative orientations, giving rise to the zigzag and armchair GNRs

Electronic confinement :

Armchair



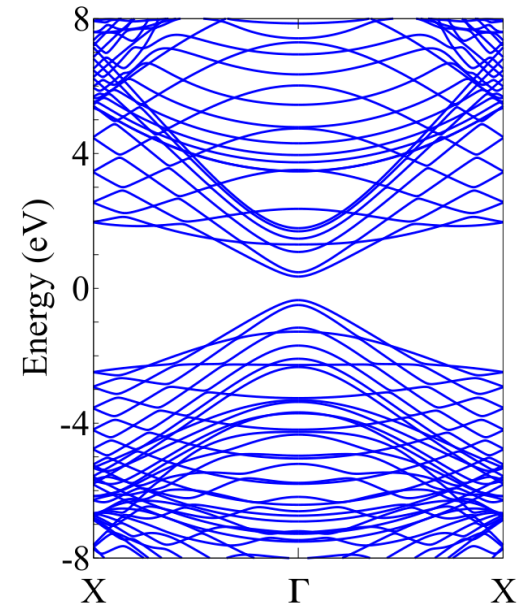
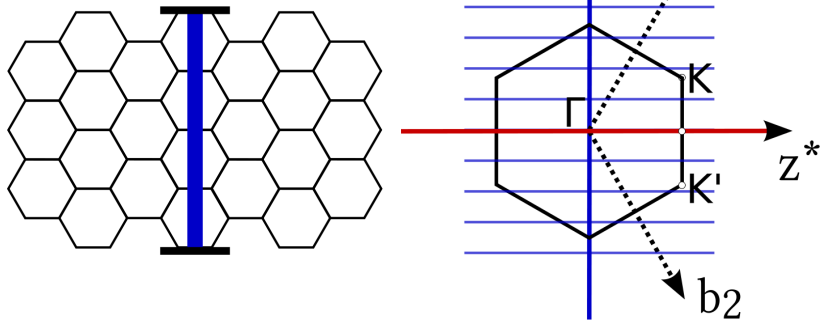
Zigzag



K. Nakada et al., Phys. Rev. B 54, 17954 (1996)

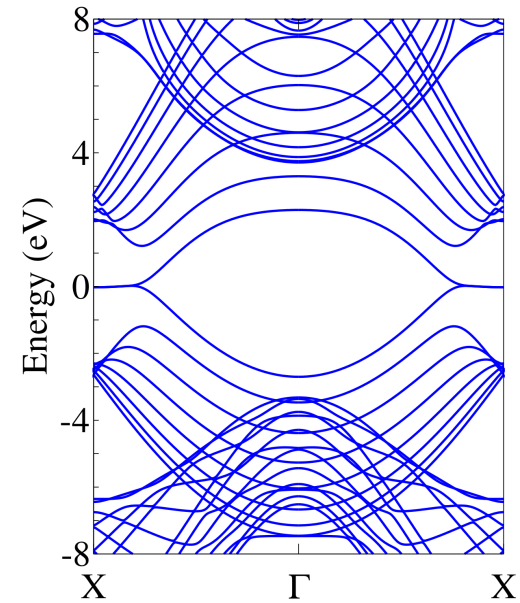
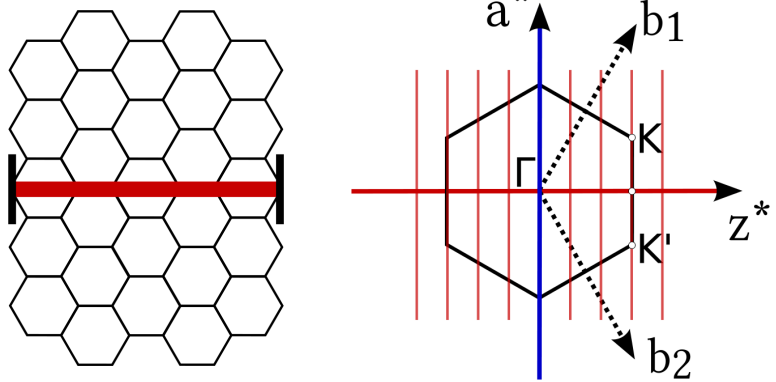
Electronic confinement in GNRs :

Armchair



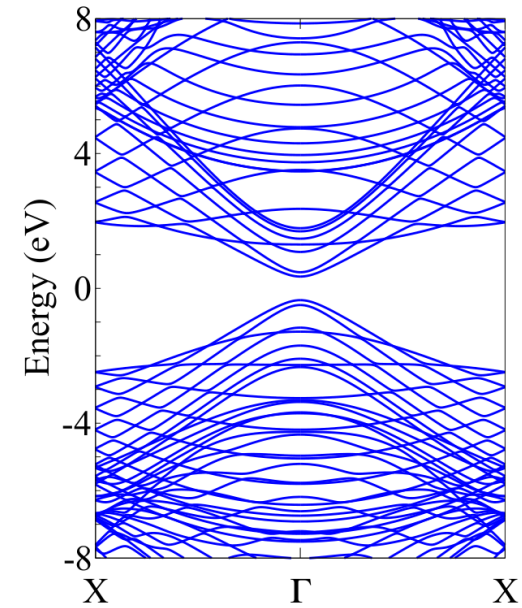
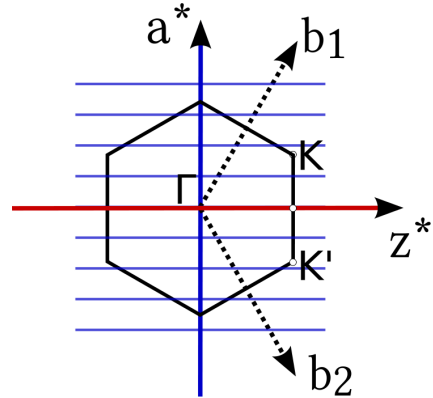
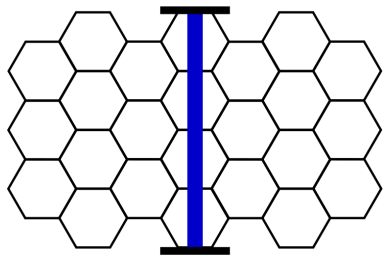
DFT

Zigzag



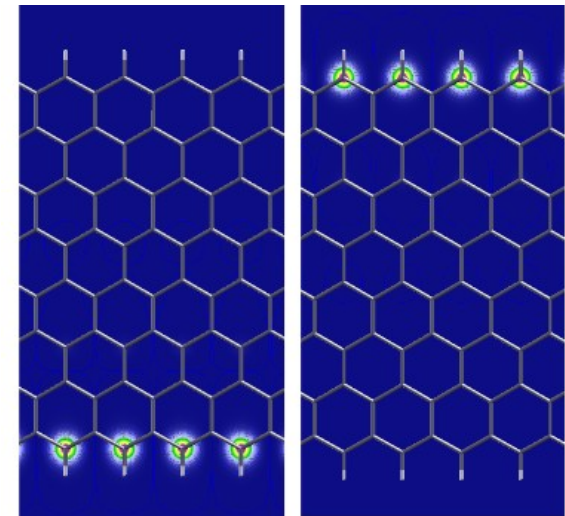
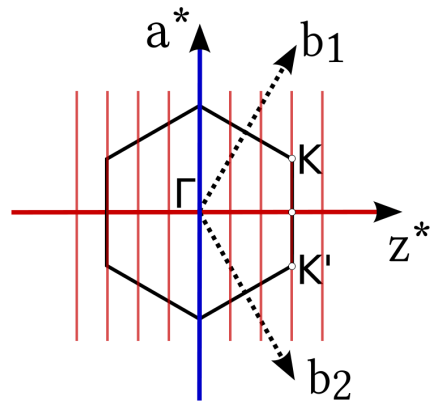
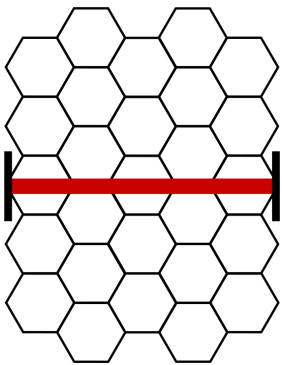
Electronic confinement in GNRs :

Armchair



DFT

Zigzag



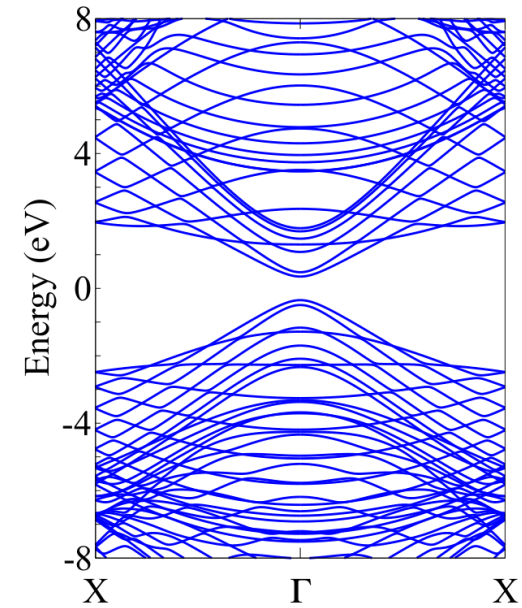
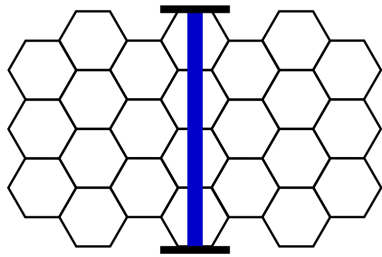
For more info. See :

Y.-W. Son et al., Phys. Rev. Lett. 97, 216803 (2006)

S. M.-M. Dubois et al., Eur. Phys. J. B 72, 1 (2009)

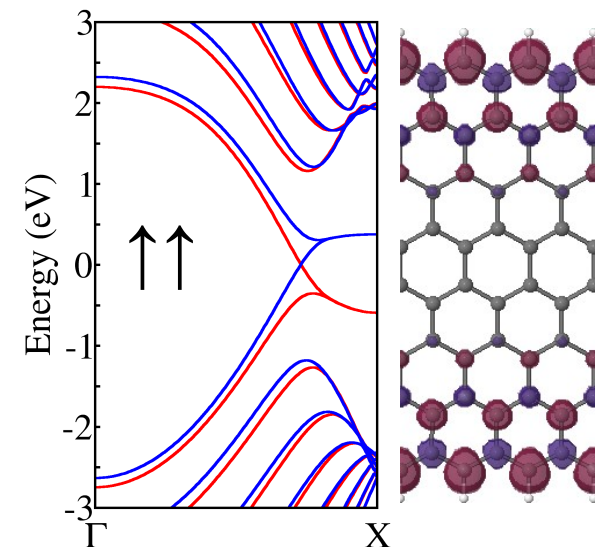
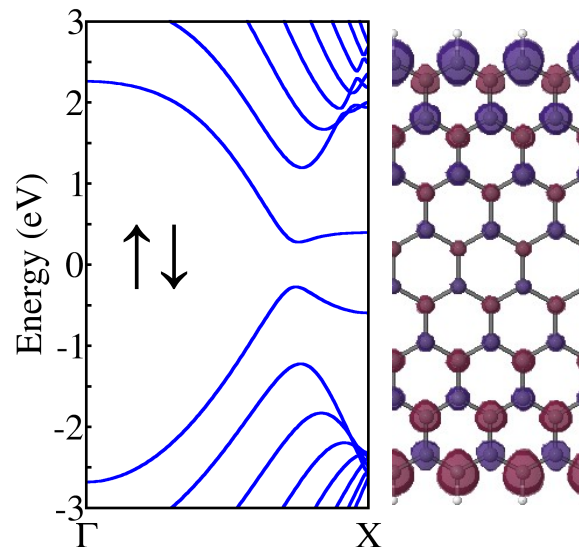
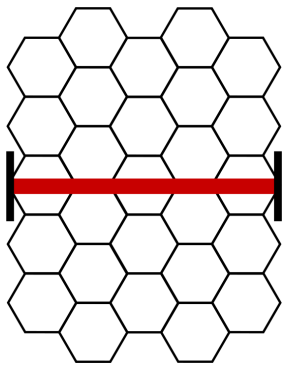
Electronic confinement in GNRs :

Armchair



DFT spin-polarized

Zigzag

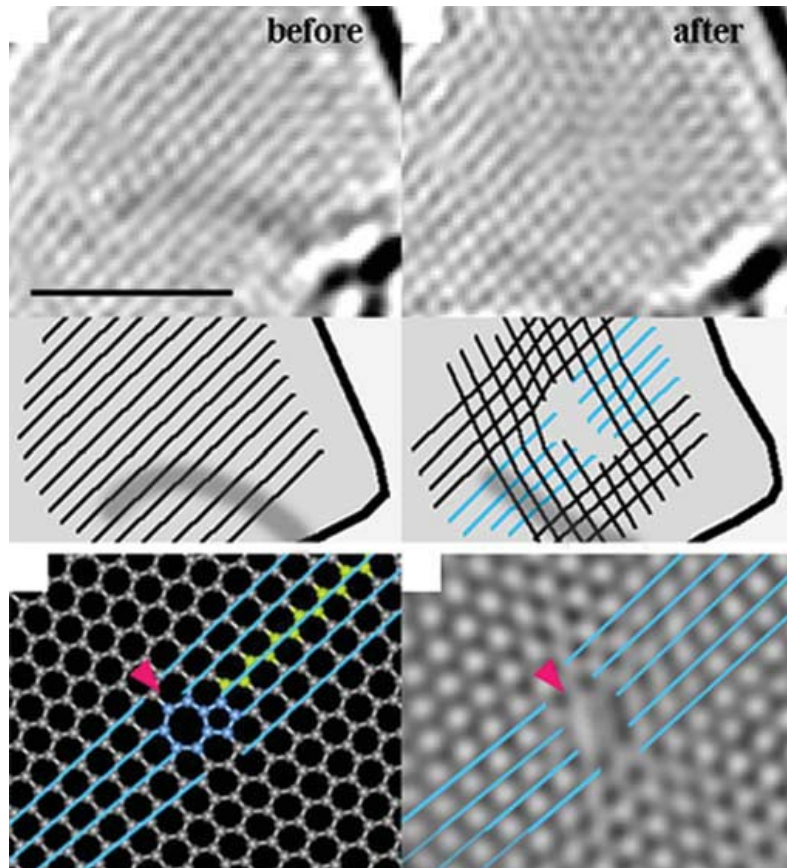


For more info. See :

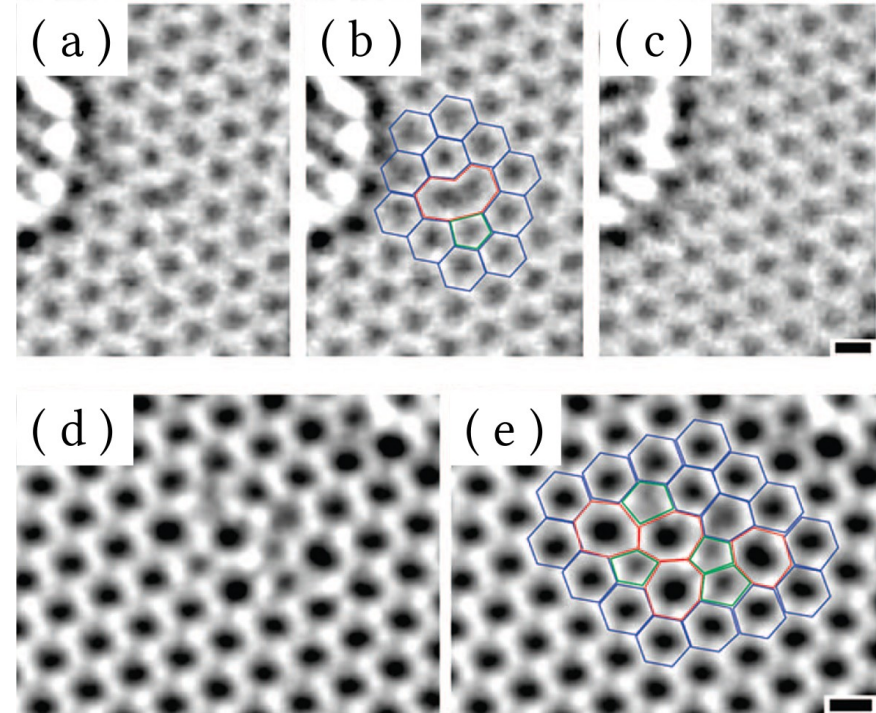
Y.-W. Son et al., Phys. Rev. Lett. 97, 216803 (2006)

S. M.-M. Dubois et al., Eur. Phys. J. B 72, 1 (2009)

Local reconstruction of the GNRs : Point-defects



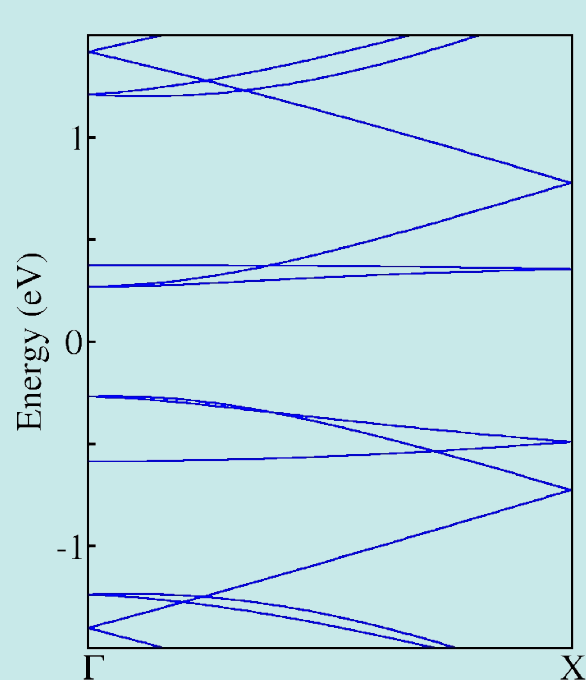
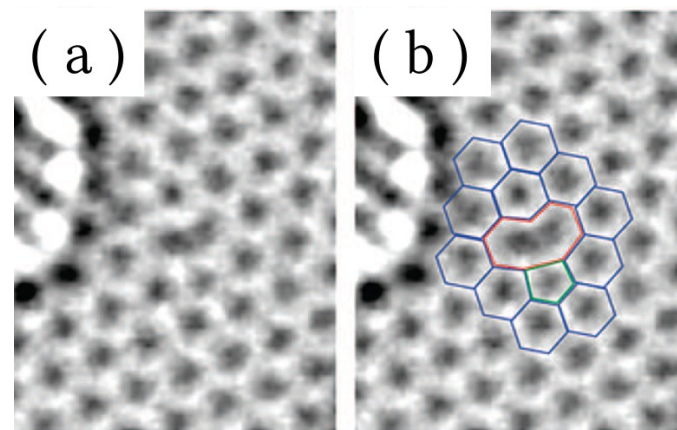
Irradiation induced defects observed with HRTEM from A Hashimoto et al., Nature 430, 870 (2004)



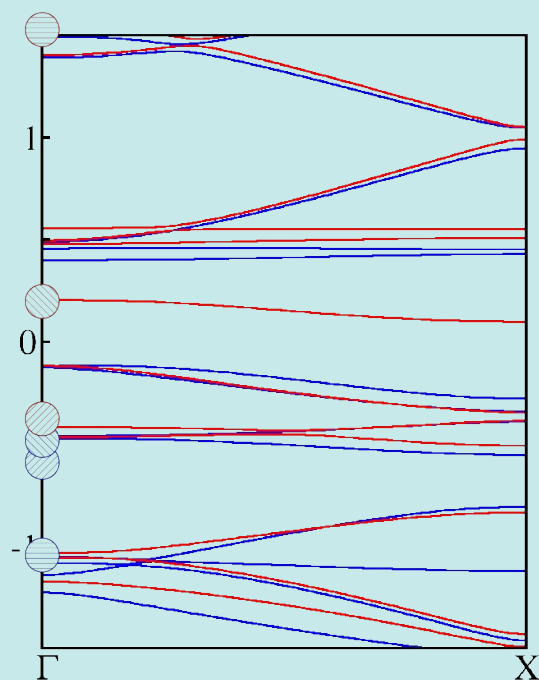
Metastable defects found in HRTEM image sequences from J.C. Meyer et al., NanoLetters 8, 3582 (2008)

- Defects are ubiquitous in graphene and is always expected in actual samples
- The introduction of defects also offer the opportunity to tailor the electronic properties in many different ways....

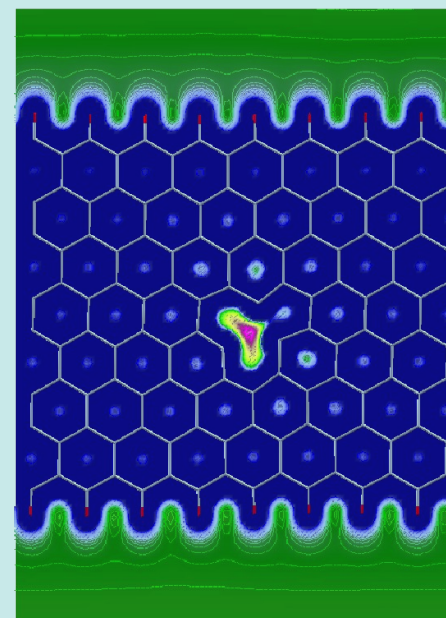
Impact of point-defects on the electronic structure



(a)

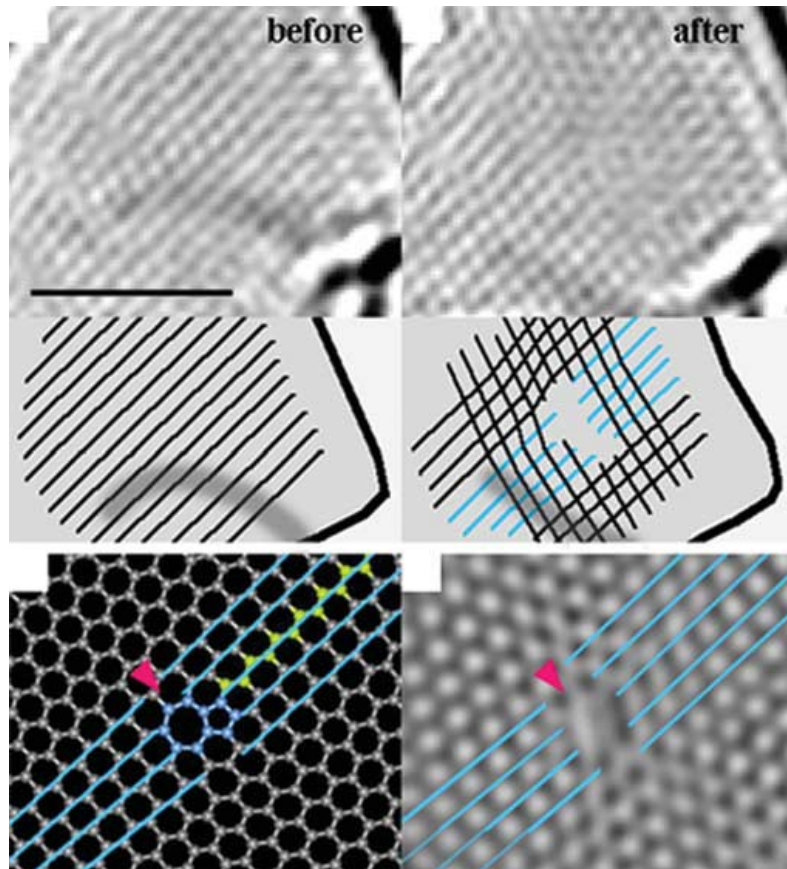


(b)



(c)

Point-defects : stability analysis...

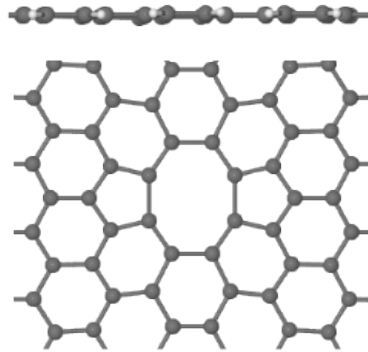


Irradiation induced defects observed with HRTEM
from A Hashimoto et al., Nature 430, 870 (2004)

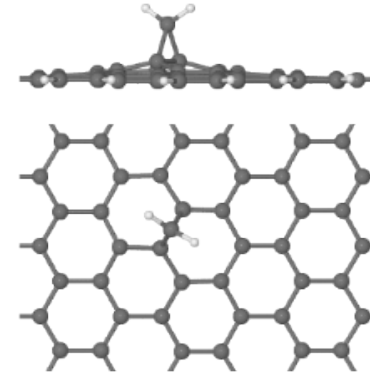
- Here we focus on the vacancies and ad-atoms. Not only they are intrinsic in graphene but their concentration can be tuned by irradiation.
- Point-defects are highly mobile and likely to recombine together or with the edges.
- All the defects configuration have been considered (vacancy, di-vacancy, adatom, carbon dimer)
- Hydrogenation of the defect has been used to assess the defect chemical reactivity

Most stable defects configurations....

Within the *bulk* :

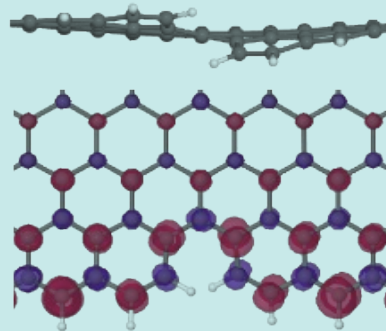


$$\xi^{\text{def}} = 7.6\text{eV}$$

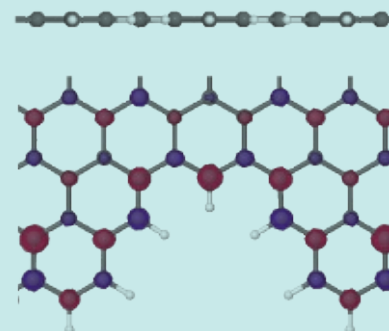


$$\xi^{\text{def}} = 2.0\text{eV}$$

At the *edge* :

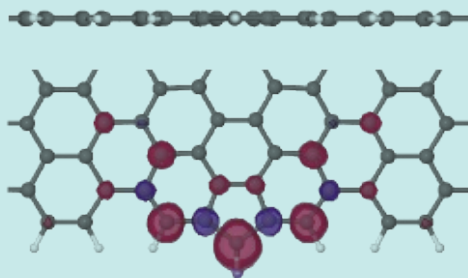


$$\xi^{\text{def}} = 0.01\text{eV}$$

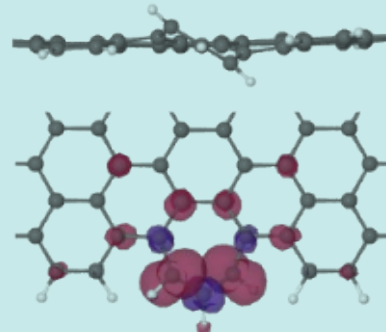


$$\xi^{\text{def}} = -0.7\text{eV}$$

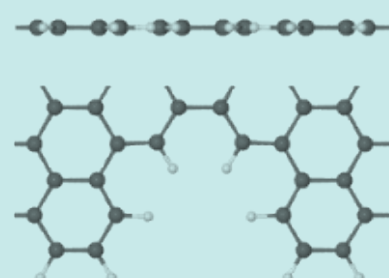
zigzag



$$\xi^{\text{def}} = 0.6\text{eV}$$



$$\xi^{\text{def}} = 0.8\text{eV}$$



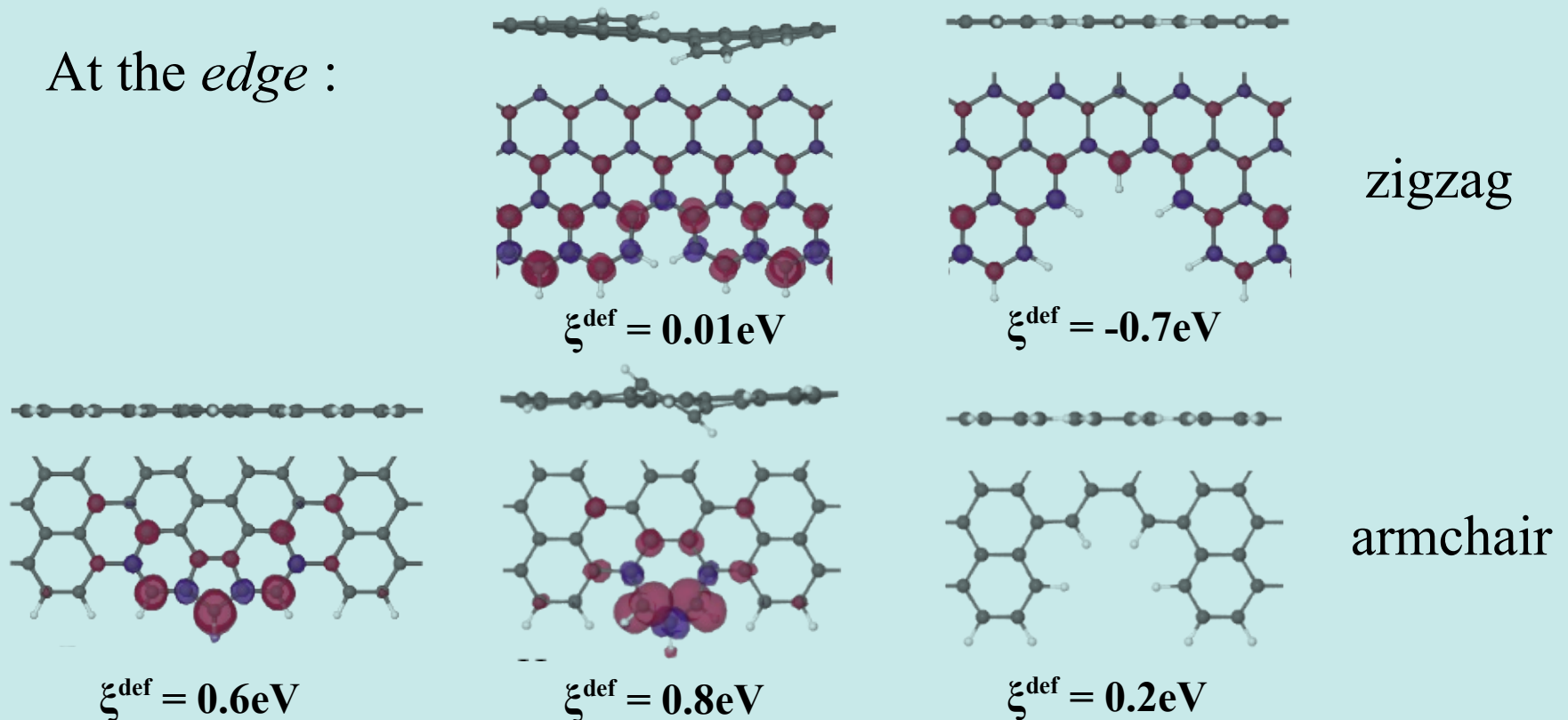
$$\xi^{\text{def}} = 0.2\text{eV}$$

armchair

Most stable defects configurations....

- In GNRs, the point defects are likely to migrate and recombine at the edge
- Point-defects therefore contribute to the edge reconstruction (roughness, odd-membered ring)
- zGNRs are less robust than aGNRs with respect to the introduction of defects at the edges

At the *edge* :



Outline of presentation

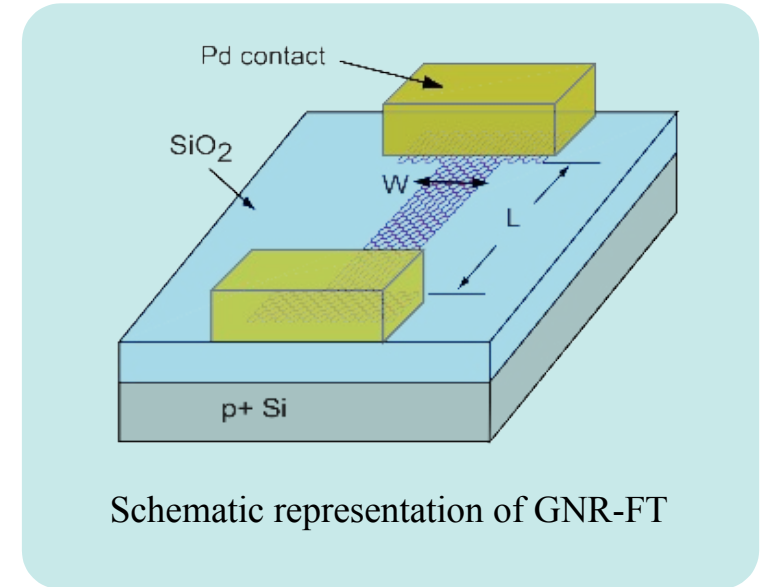
- ◆ Graphene : its structure and properties
 - why do we consider graphene nanoribbons for application in nano-electronics ?
- Graphene nanoribbons
 - electronic confinement, reconstruction of the edge, stability of point-defects
- **Electronic transport in defective nanoribbons**
 - conductance patterns of point-defects, conductance scaling in mesoscopic samples
- Onetep future capabilities regarding quantum transport

Quantum computational tools...

The transport problem :



+



- Intrinsic properties (i.e. no-contact, gate, substrate)
- Coherent transport only
- Leads at thermal equilibrium
- Green's functions to describe the carriers propagation across the device :

$$[(E + i\delta) - \mathcal{H}] \mathcal{G}^r(E) = \mathcal{I}$$

Quantum computational tools...

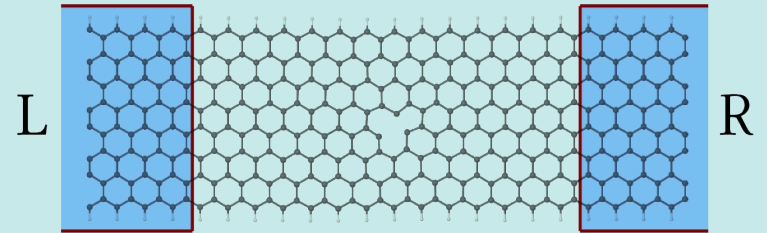
The transport problem :



+



The transport problem :

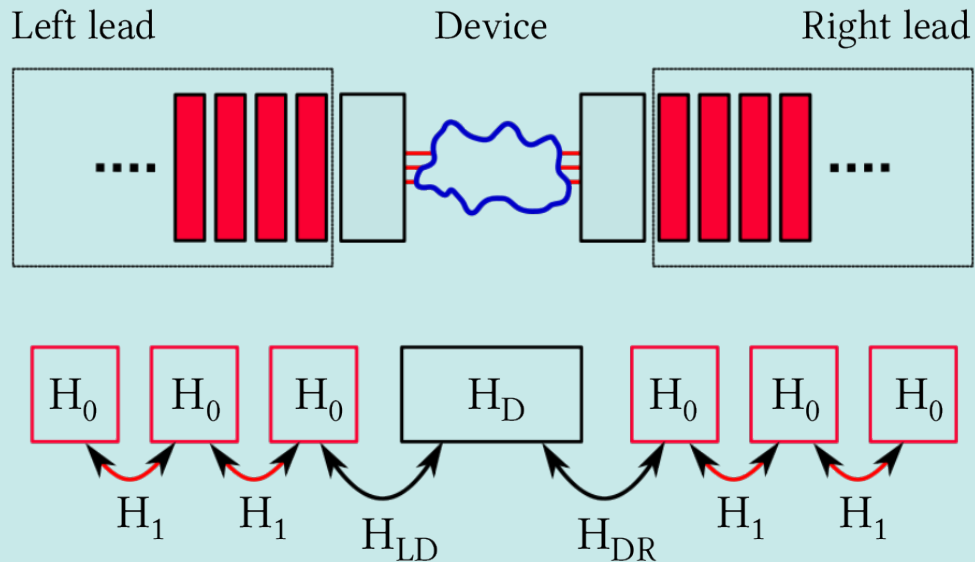


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$$[(E + i\delta) - \mathcal{H}] \mathcal{G}^r(E) = \mathcal{I}$$

The transport setup

Decomposition of system into principal layers (PLs) :



Retarded Green function :

$$[(E + i\delta) - \mathcal{H}] \mathcal{G}^r(E) = \mathcal{I}$$

→ description of the electrons propagation

Retarded Green function of the single particle Hamiltonian :

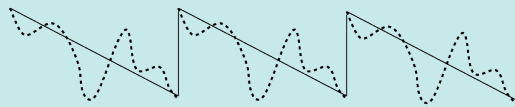
$$\begin{pmatrix} \epsilon^+ \mathcal{S}_L - \mathcal{H}_L & \mathcal{S}_{LD} - \mathcal{H}_{LD} & 0 \\ \mathcal{S}_{DL} - \mathcal{H}_{DL} & \epsilon^+ \mathcal{S}_D - H_D & \mathcal{S}_{DR} - \mathcal{H}_{DR} \\ 0 & \mathcal{S}_{RD} - \mathcal{H}_{RD} & \epsilon^+ \mathcal{S}_R - \mathcal{H}_R \end{pmatrix} \cdot \begin{pmatrix} \mathcal{G}_L & \mathcal{G}_{LD} & \mathcal{G}_{LR} \\ \mathcal{G}_{DL} & G_D & \mathcal{G}_{DR} \\ \mathcal{G}_{RL} & \mathcal{G}_{RD} & \mathcal{G}_R \end{pmatrix} = \mathcal{I}$$

Out-of-equilibrium density and the electric current (DFT + NEGF)

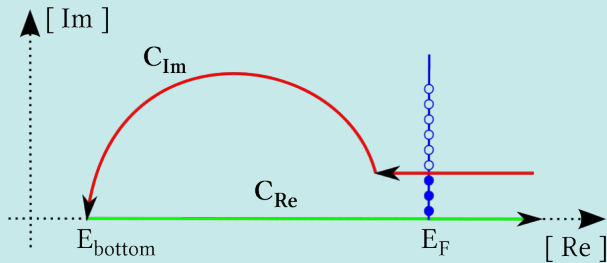
Periodic recasting of the electrostatic problem :

$$\mu_L = E_F + V/2$$

$$\mu_R = E_F - V/2$$



Contour integration for the density matrix :



Semi-analytic calculation of $\Sigma_{L/R}$

Preliminary DFT calculation :

GS electronic density of the system (lead-device-lead).

Preliminary DFT calculation :

Bulk Hamiltonians of the left and right leads.

Contacts self-energies :

$$\Sigma_L^r(E), \Sigma_R^r(E), \mathcal{G}_{L/R}^{0r}$$

Electronic Hamiltonian :

$$\hat{H}^e = \hat{T}^e + \sum_i \hat{V}_i^{pseudo}(\mathbf{r}) + \hat{V}^{Hart} + \hat{V}^{xc}(\mathbf{r})$$

Retarded Green's function :

$$G_D^r(E) = [\epsilon^+ S_D - H_D - \Sigma_L^r(E) - \Sigma_R^r(E)]^{-1}$$

Out-of-equilibrium density matrix :

$$\rho = \frac{1}{2\pi} \int dE [A_L f(E - \mu_L) - A_R f(E - \mu_R)]$$

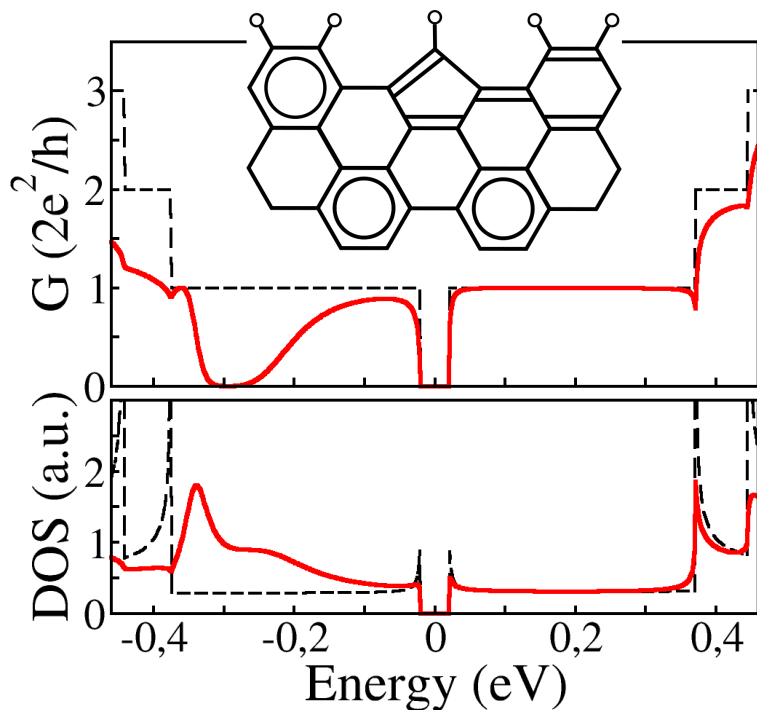
Electric current :

$$I = \frac{e}{h} \int dE Tr [\Gamma_L G_D^{r\dagger} \Gamma_R G_D^r] [f(E - \mu_L) - f(E - \mu_R)]$$

SCF

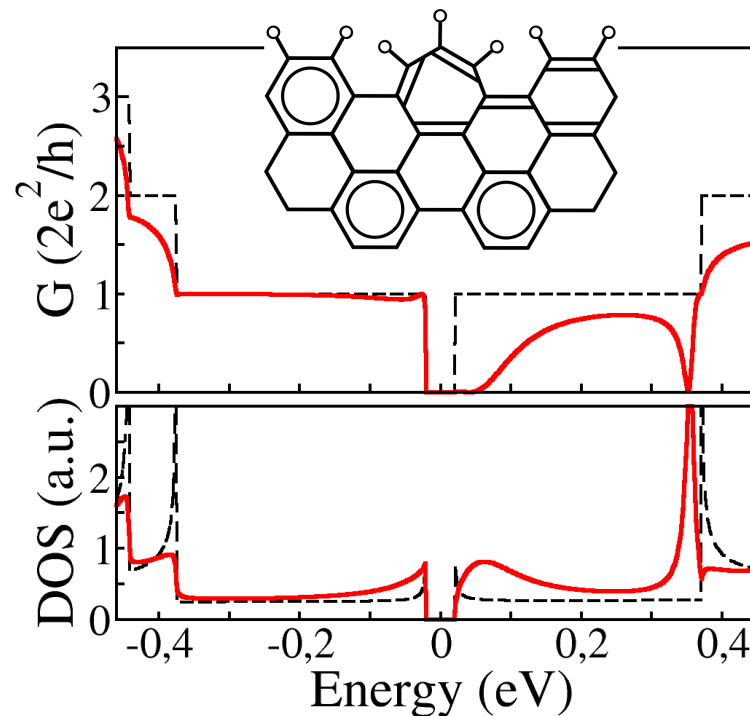
Electronic transport in *edge* defective aGNRs

Pentagon



- Excess of electron on the pentagon
- Defect state at -0.3 eV
- Acceptor character

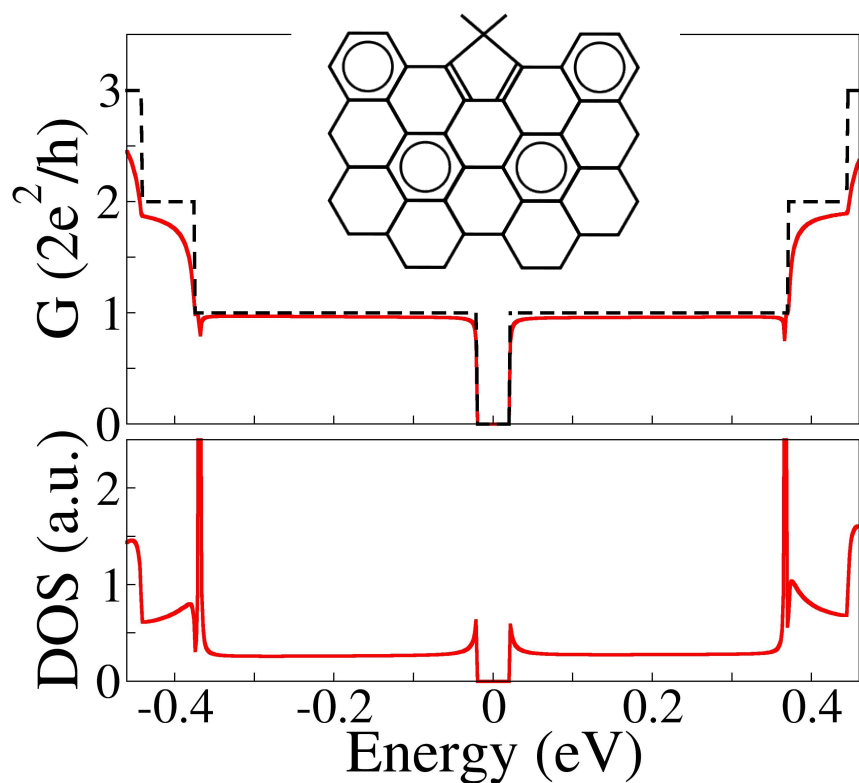
Heptagon



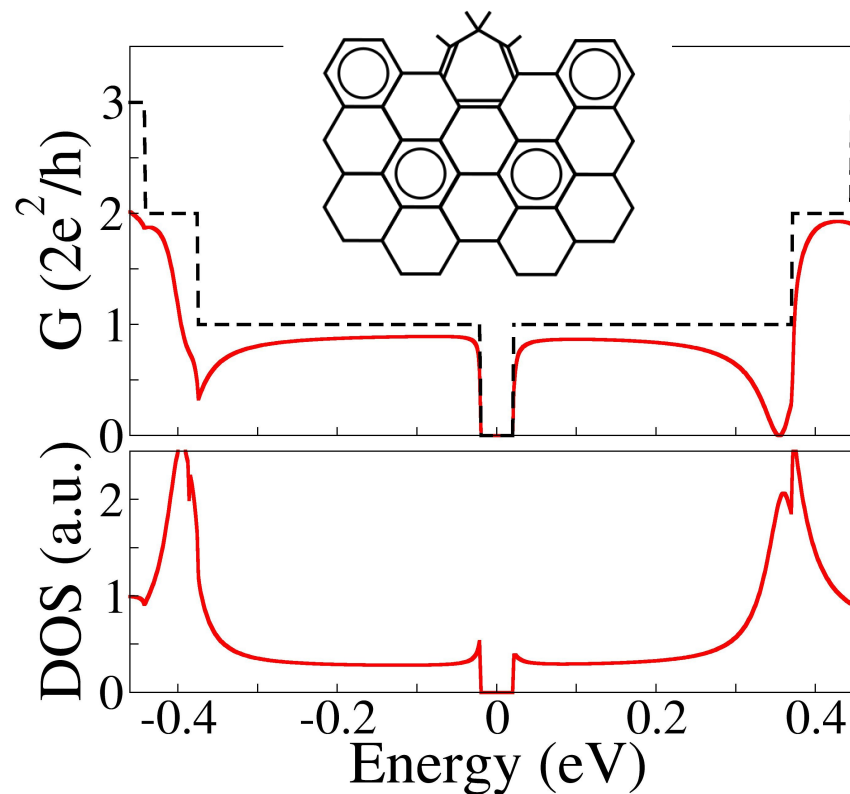
- Deficit of electron on the heptagon
- Defect state at 0.05 eV
- Donor character

Electronic transport: hydrogenation of the defects

Pentagon hydro

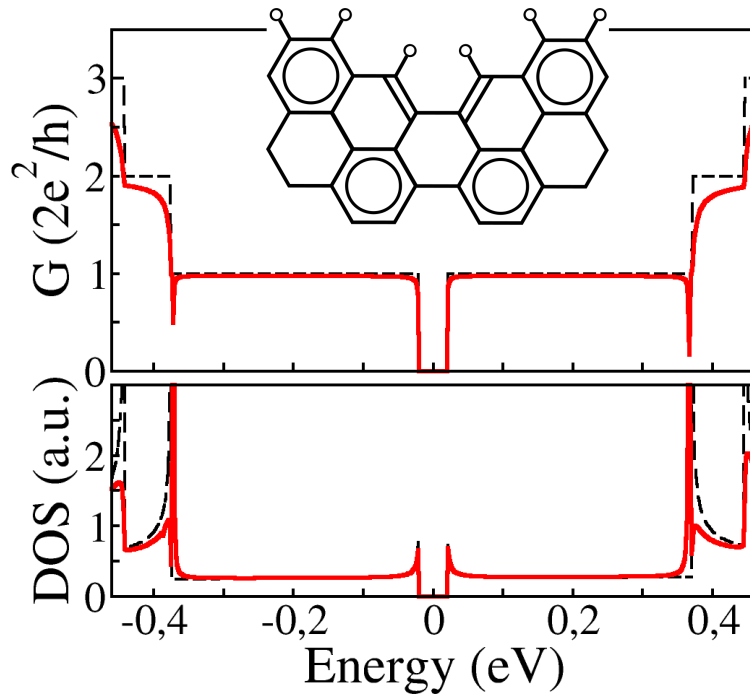


Heptagon hydro

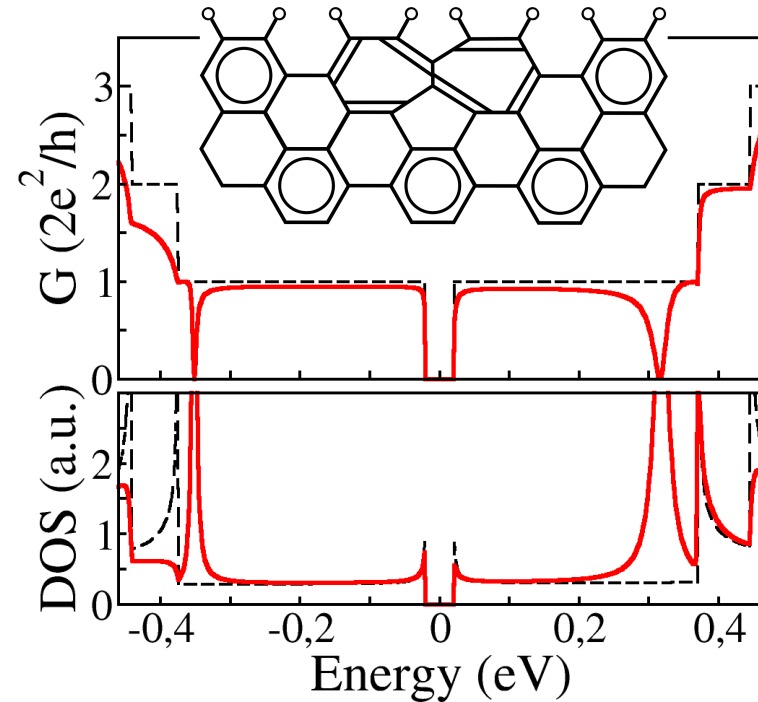


Electronic transport : benzenoid-like defects

Dip defect



757 reconstruction

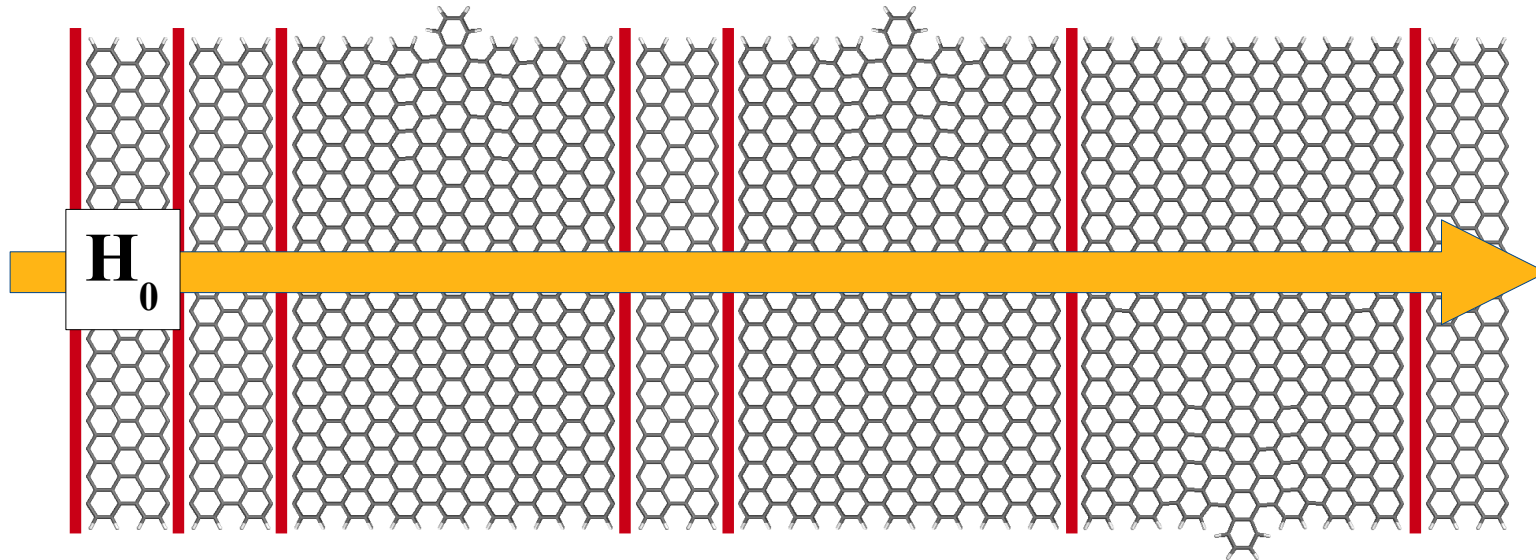


- Benzenoid-like defects are likely to have a weak impact on $T(E)$
- Hydrogenation tends to restore the benzenoid character of the ribbon and the conductivity!

Electronic transport in long defective aGNRs

Transport at the mesoscopic scale :

F. Triozon et al., Nanotechnology 16, 230 (2005)

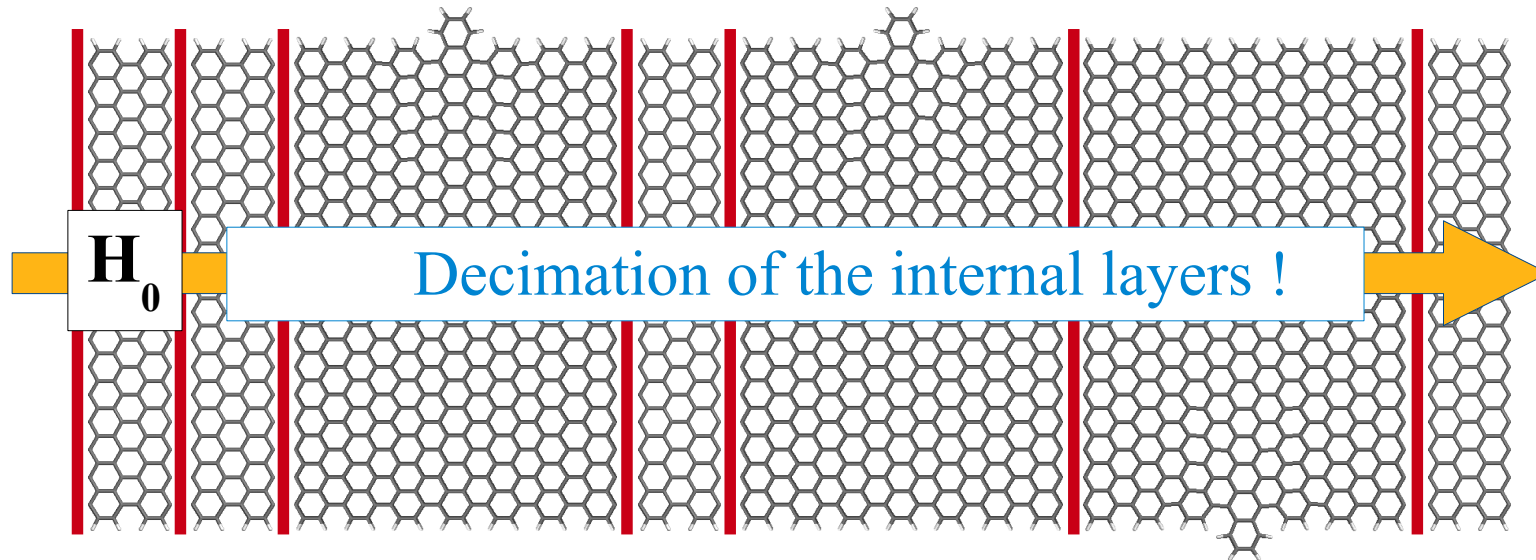


- Based on the GS hamiltonian
- Non-self consistent transport calculations
- Divide and conquer methodology
- Adjusted TB models

Electronic transport in long defective aGNRs

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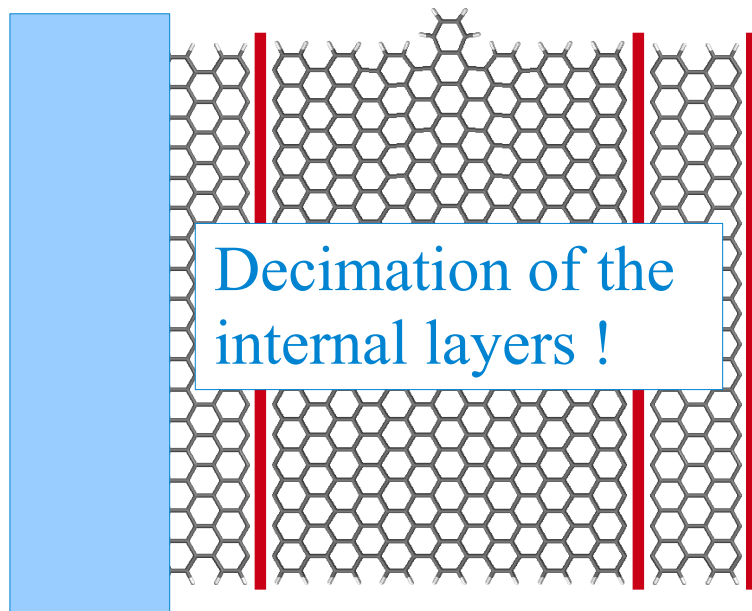


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Electronic transport in long defective aGNRs

Transport at the mesoscopic scale :

F. Triozon et al., Nanotechnology 16, 230 (2005)



Full system :

$$[(E + i\delta)S - H] \cdot G(E) = \mathcal{I}$$

$$K(E) \cdot G(E) = \mathcal{I}$$

$$\sum_{j=1}^N K_{ij} G_{jk} = \delta_{ik}$$

Isolation :

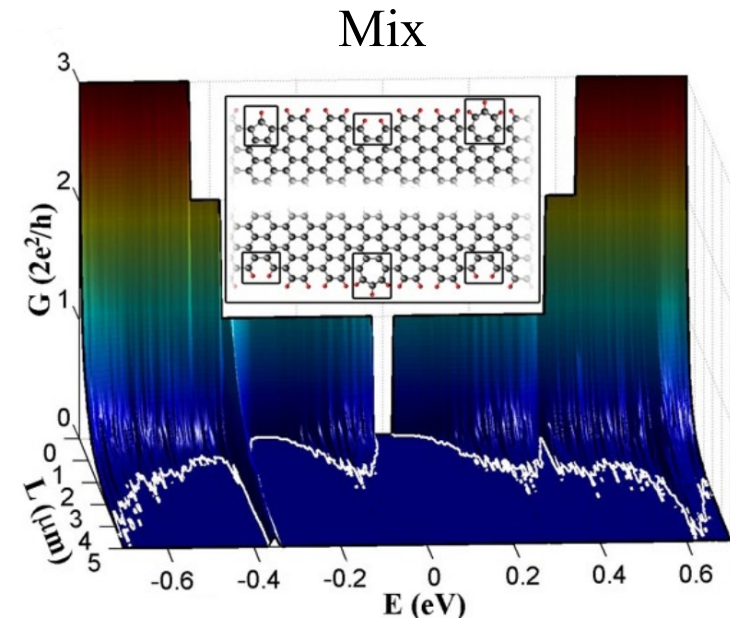
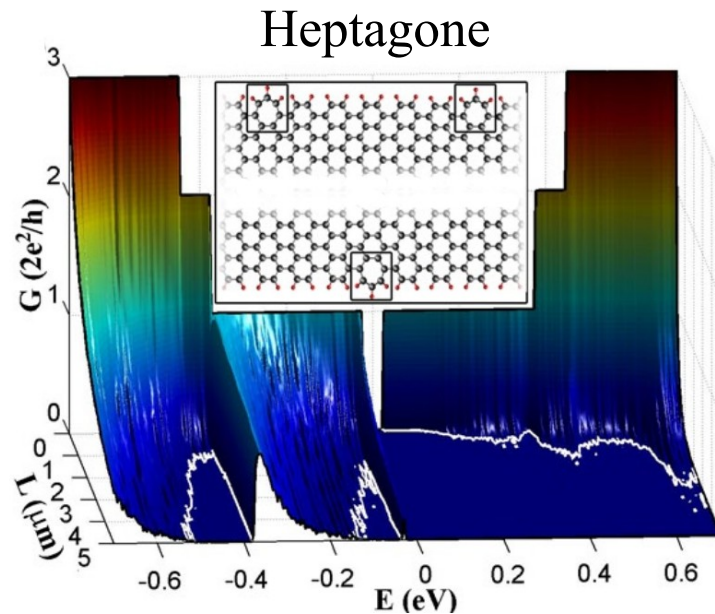
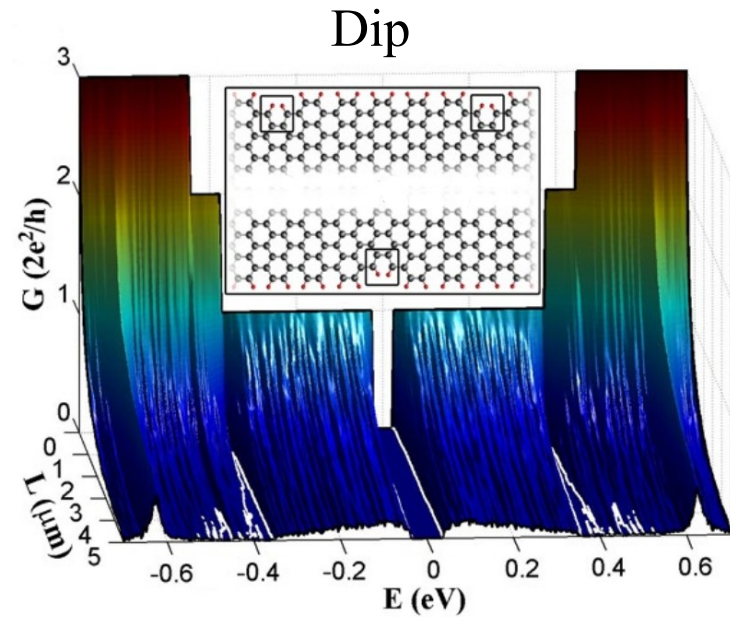
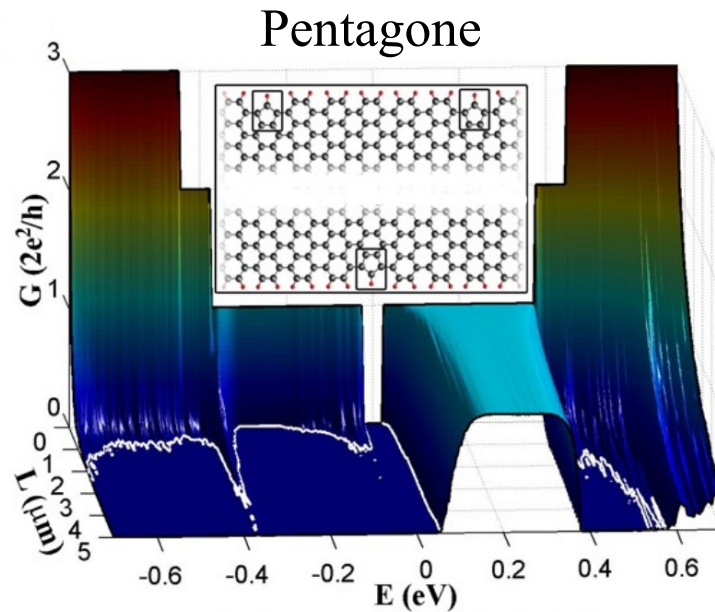
$$\sum_{j=1}^{N-1} K_{ij} G_{jk} + K_{iN} G_{Nk} = \delta_{ik}$$

$$G_{Nk} = \frac{\sum_{j=1}^{N-1} K_{Nj} G_{jk}}{K_{NN}} \quad (\text{for } k \neq N)$$

Elimination :

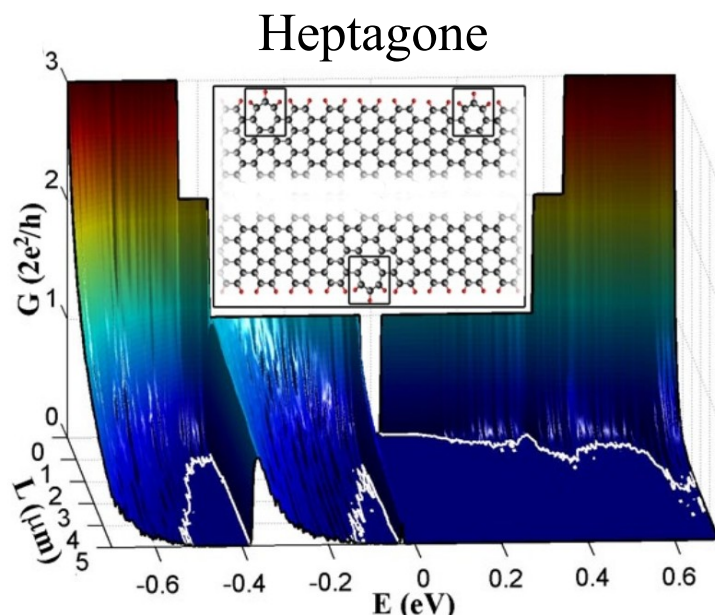
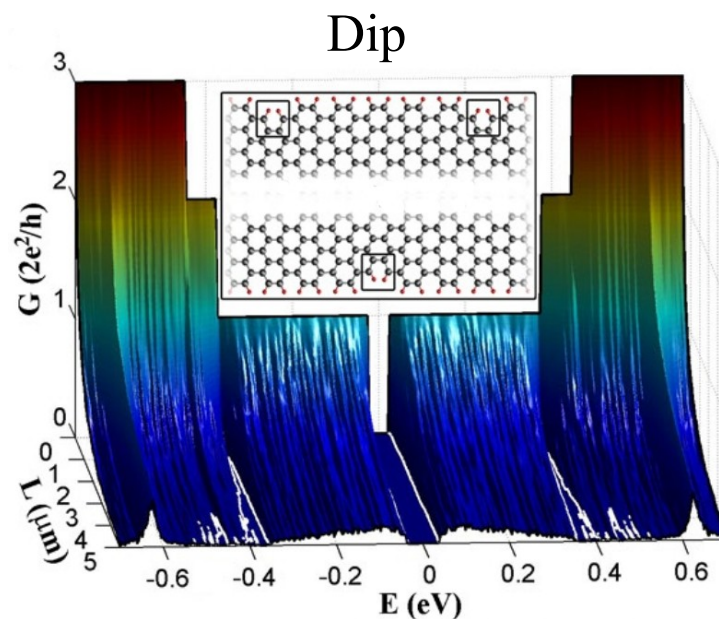
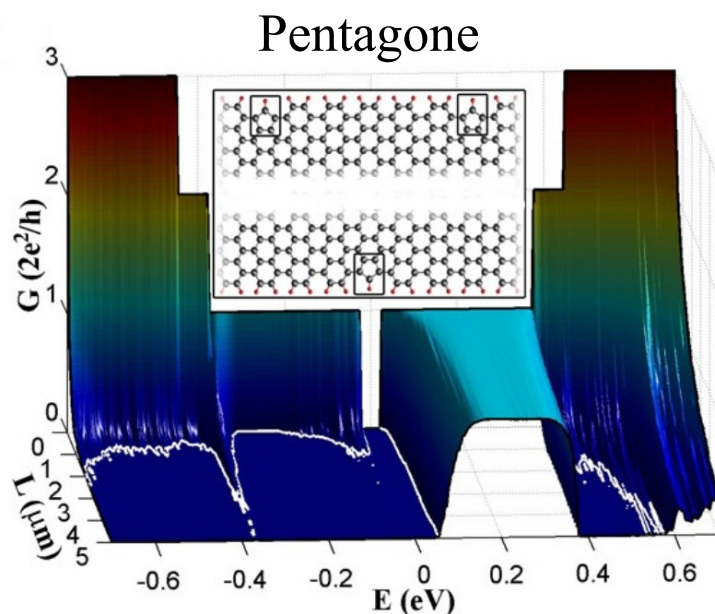
$$\sum_{j=1}^{N-1} \left[K_{ij} - \frac{K_{iN} K_{Nj}}{K_{NN}} \right] G_{jk} = \delta_{ik}$$

Electronic transport in long defective aGNRs



Long aGNRs (up to $5 \mu\text{m}$) with a defect density of $6 \times 10^{-2} \text{ nm}^{-1}$

Electronic transport in long defective aGNRs



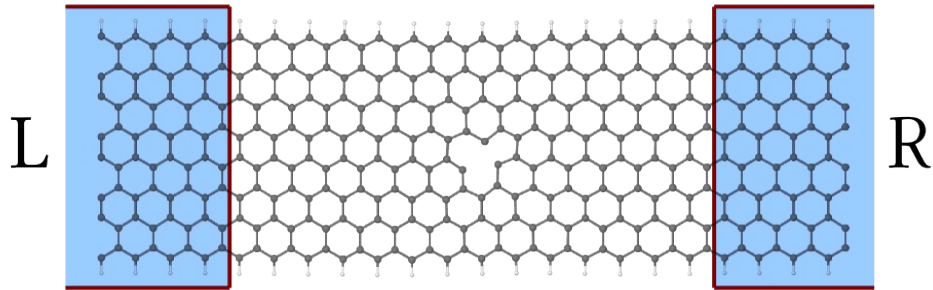
- Strong impact of the edge roughness
- Odd-membered rings
⇒ large electron-hole anisotropy
- Transport ranging from ballistic to highly localized regimes
- Benzenoid defects (or hydrogenation)
⇒ improved carriers propagation

Outline of presentation

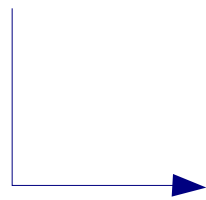
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- **Onetep future capabilities regarding quantum transport**

Quantum computational tools...

The transport problem :



abinit.org



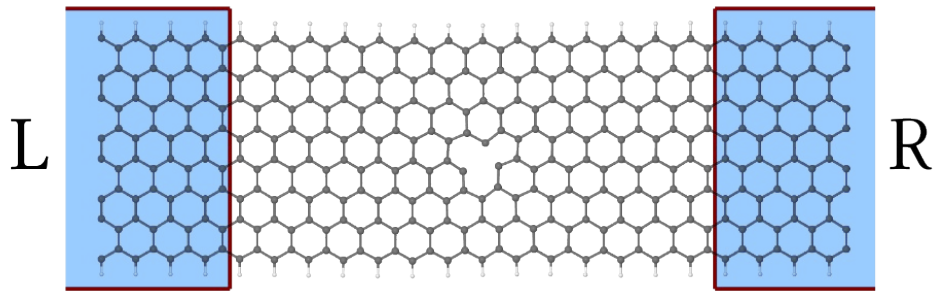
siesta +



Tight-binding

Transport calculation with ONETEP...

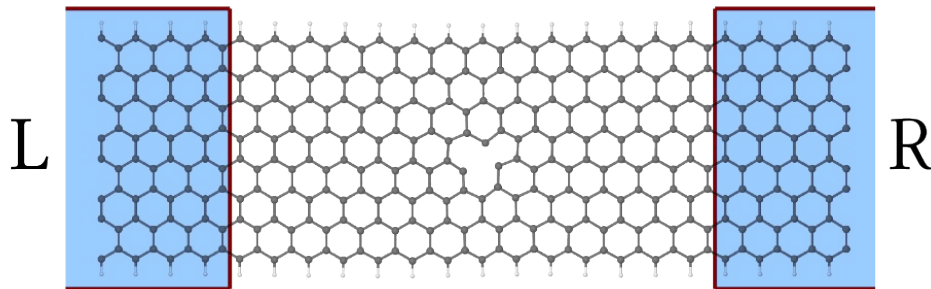
The transport problem :



- Minimal basis set
- More realistic defect patterns
- Account for the defect-defect interaction (e.g. magnetic coupling)
- Complete basis set
 - (→ controllable accuracy)
 - (→ non-coherent processes)

Transport calculation with ONETEP...

The transport problem :



- Minimal basis set
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- Account for the defect-defect interaction (e.g. magnetic coupling)
- Complete basis set
 - (→ controllable accuracy)
 - (→ non-coherent processes)

GS denskern + NGWFs



GS leads calculations :

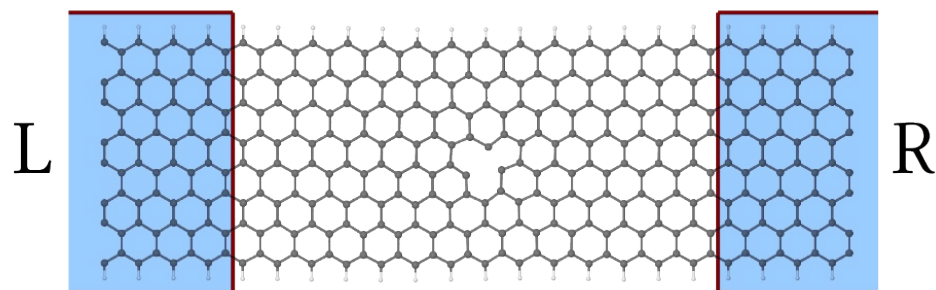
$$\Sigma_L, \Sigma_R, \Gamma_{L/R} = i \left[\Sigma_{L/R}^R - \Sigma_{L/R}^{R\dagger} \right]$$

$$G_D^R(E) = \left[\epsilon^+ S_D - H_D - \Sigma_L^R - \Sigma_R^R \right]^{-1}$$

$$G = \frac{2e^2}{h} \text{Tr} \left[\Gamma_L G_D^{R\dagger} \Gamma_R G_D^R \right]$$

Transport calculation with ONETEP...

The transport problem :



Future developments

- Improve the current implementation (sparsity patterns, parallelization, ...)
- Progress towards the $O(N)$ scaling (e.g. decimation of the Green's function)
- Achieve self-consistency (with fixed NGWFs)

Conclusions

Electronic confinement in GNRs

- Edge relaxation and magnetic order \Rightarrow energy gaps in aGNRs and zGNRs
- Structure of the thermodynamically stable edges depends on P_{H_2}
- The most stable edge topologies have been identified (a_{22} , a_{11} , $z(600)_{2222}$, z_{211}).

Topological defects in GNRs

- Vacancies and adatoms coalesce / recombine with the edges
- *Bulk* and *edge* defects are centers of high chemical reactivity
- Most stable *bulk* defects : di-vacancy and adatom+ H_2
- Most stable *edge* defects : Dips (and odd-membered rings in aGNRs)

Quantum transport in defective GNRs

- Quasi-localized states and backscattering \Rightarrow reduction of the conductance
- Bonding energies and conductance patterns strongly depend on the defect position
- Edge-states strongly impact the $T(E)$ of zGNRs \Rightarrow ballistic channels around E_F

- Full hydrogenation helps in preserving the conduction in defective GNRs
- Impact of defect range from weak scattering to full suppression of conduction channels
- Conductance scaling of aGNRs strongly depends on the edge profile

Acknowledgment

- My previous supervisors :
Jean-Christophe Charlier and **Gian-Marco Rignanese**
- **Mike Payne**
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- I acknowledge **Nick**, **David**, **Daniel**, **Arash**, **Peter**, **Chris**, **Tonatiuh**, **Aurélien** and **François** for interesting and valuable discussions.....