



Nissan Leaf

Electrochemistry simulations using ONETEP

Arihant Bhandari, Chao Peng, Jacek Dziedzic, Lucian Anton, John R. Owen, Denis Kramer, Chris-Kriton Skylaris

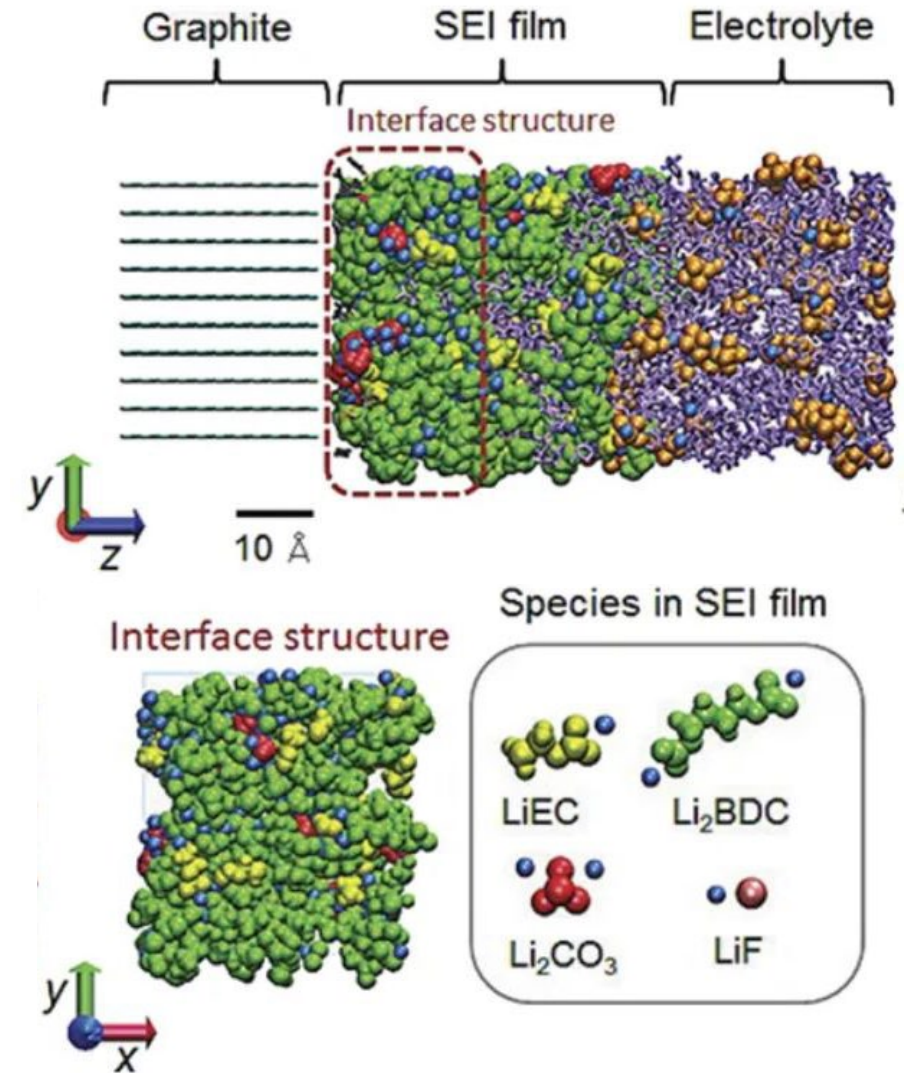
University of Southampton, United Kingdom

 THE FARADAY
INSTITUTION
MULTI-SCALE MODELLING

Modelling

Simulations of Solid-Electrolyte Interfaces

- Explicit Solvation
 - Treats all species at the same level of chemical accuracy:
 - Electrode (graphite)
 - Solvent (EC)
 - Electrolyte (LiPF_6)
 - SEI species (LiEC , Li_2CO_3 , LiF , etc.)
 - Requires explicit structural details.
 - Requires statistical averaging over all possible configurations of all species.
 - Computationally costly.

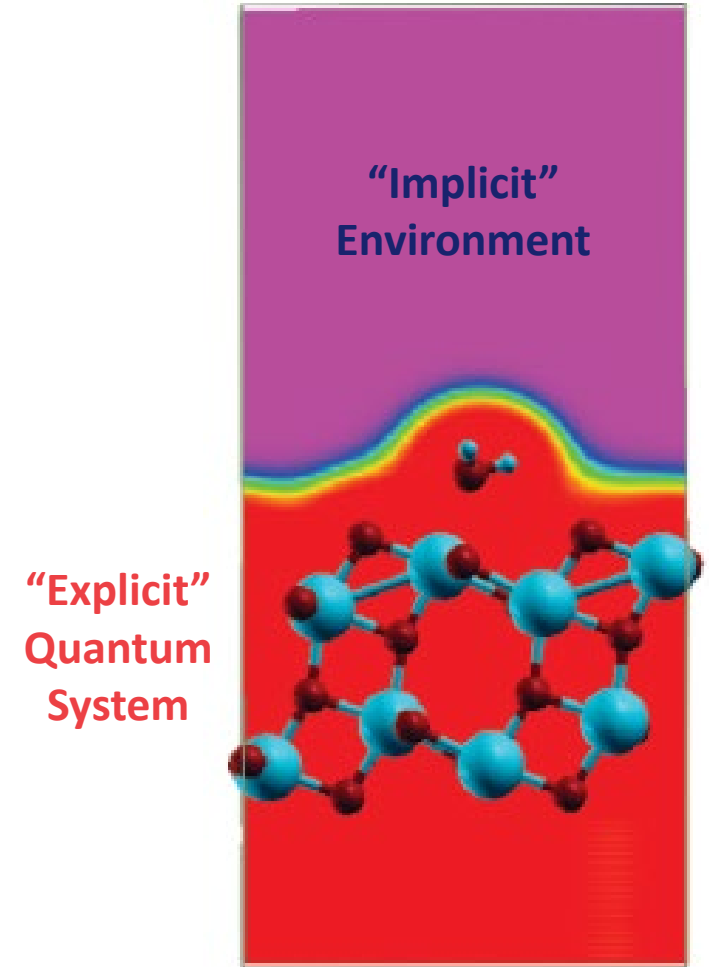


Norio Takenaka; Yuichi Suzuki; Hirofumi Sakai; Masataka Nagaoka;
J. Phys. Chem. C 2014, 118, 10874-10882.

Simulations of Solid-Electrolyte Interfaces

Hybrid Quantum-Continuum Model

- Divides the system into two subsystems:
 - “Explicit” system which is treated quantum-mechanically,
 - In an “implicit” environment which is treated at a mean-field continuum level
- Automatically averages out degrees of freedom of environment.
- Computationally efficient.
- Focus on the explicit system.



Sánchez, V. M.; De La Llave, E.; Scherlis, D. A. *Langmuir* 2011, 27 (6), 2411–2419.

Implicit Model for Solvent

$$\nabla \cdot [\boldsymbol{\varepsilon}(\mathbf{r}) \nabla v(\mathbf{r})] = -4\pi[\rho(\mathbf{r}) + \rho_{mob}(\mathbf{r})]$$

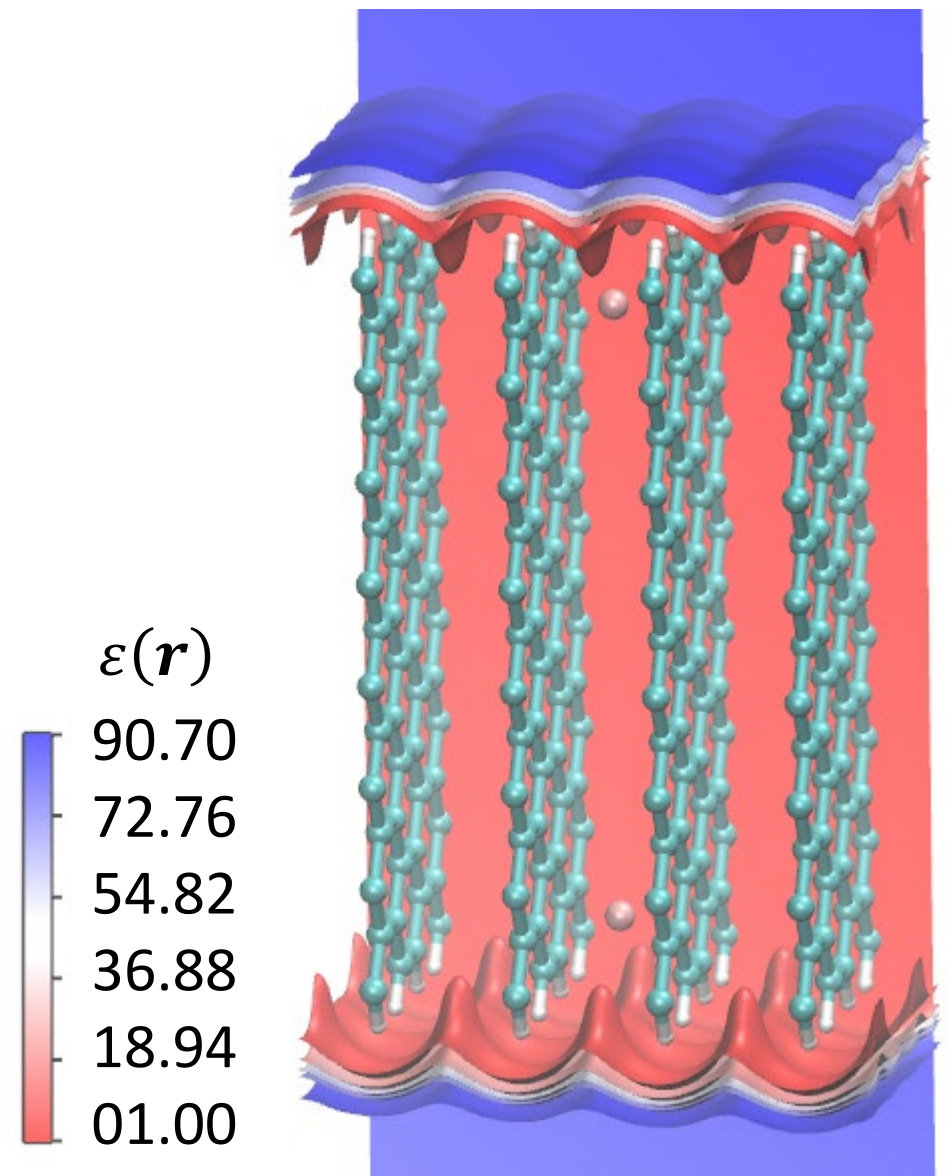
- Dielectric continuum with smoothly varying permittivity

- $\boldsymbol{\varepsilon}(\mathbf{r}) = 1 + (\varepsilon_{solvent} - 1)\gamma(\mathbf{r})$

- Non-mean field contributions:

- Cavitation
- Solid-solvent dispersion
- Solid-solvent repulsion

are also included as proportional to the solvent accessible surface area.



Continuum Model for Mobile Electrolyte Ions

$$\nabla \cdot [\varepsilon(\mathbf{r})\nabla v(\mathbf{r})] = -4\pi[\rho(\mathbf{r}) + \rho_{mob}(\mathbf{r})]$$

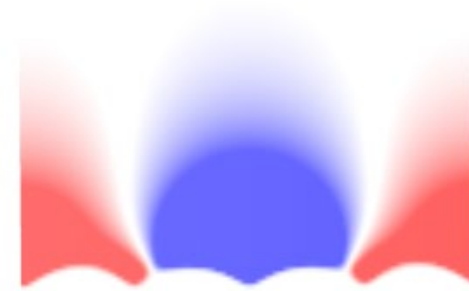
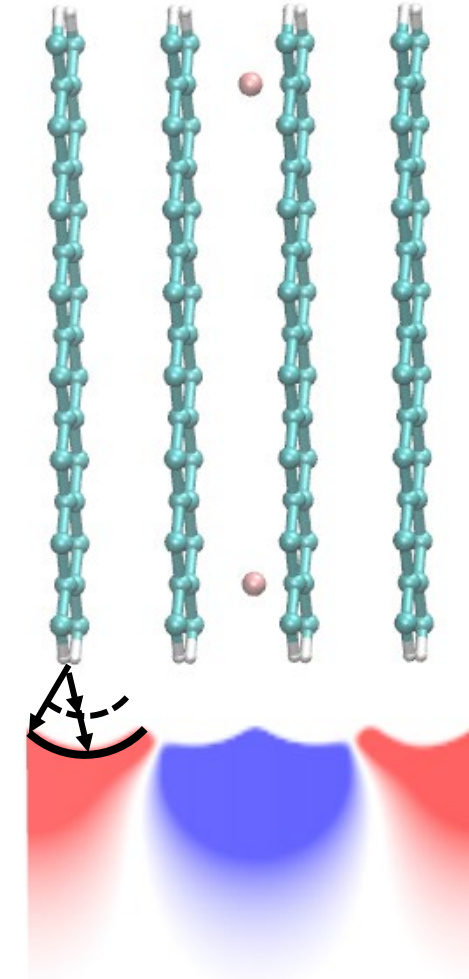
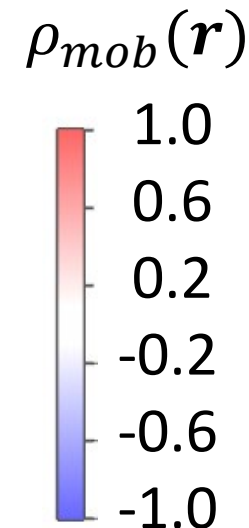
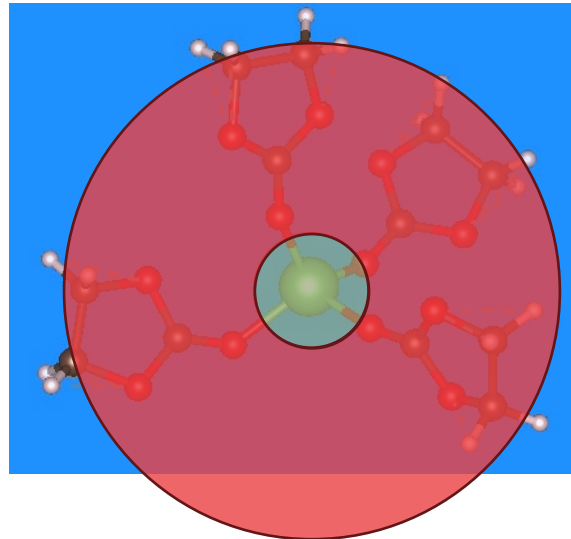
- Electrolyte charge density

$$\rho_{mob}(\mathbf{r}) = \sum_i z_i c_i(\mathbf{r})$$

$$c_i(\mathbf{r}) = c^0 \lambda(\mathbf{r}) \exp\left(-\frac{z_i v(\mathbf{r})}{k_B T} + \frac{\mu_i}{k_B T}\right)$$

bulk values of electrolyte concentration

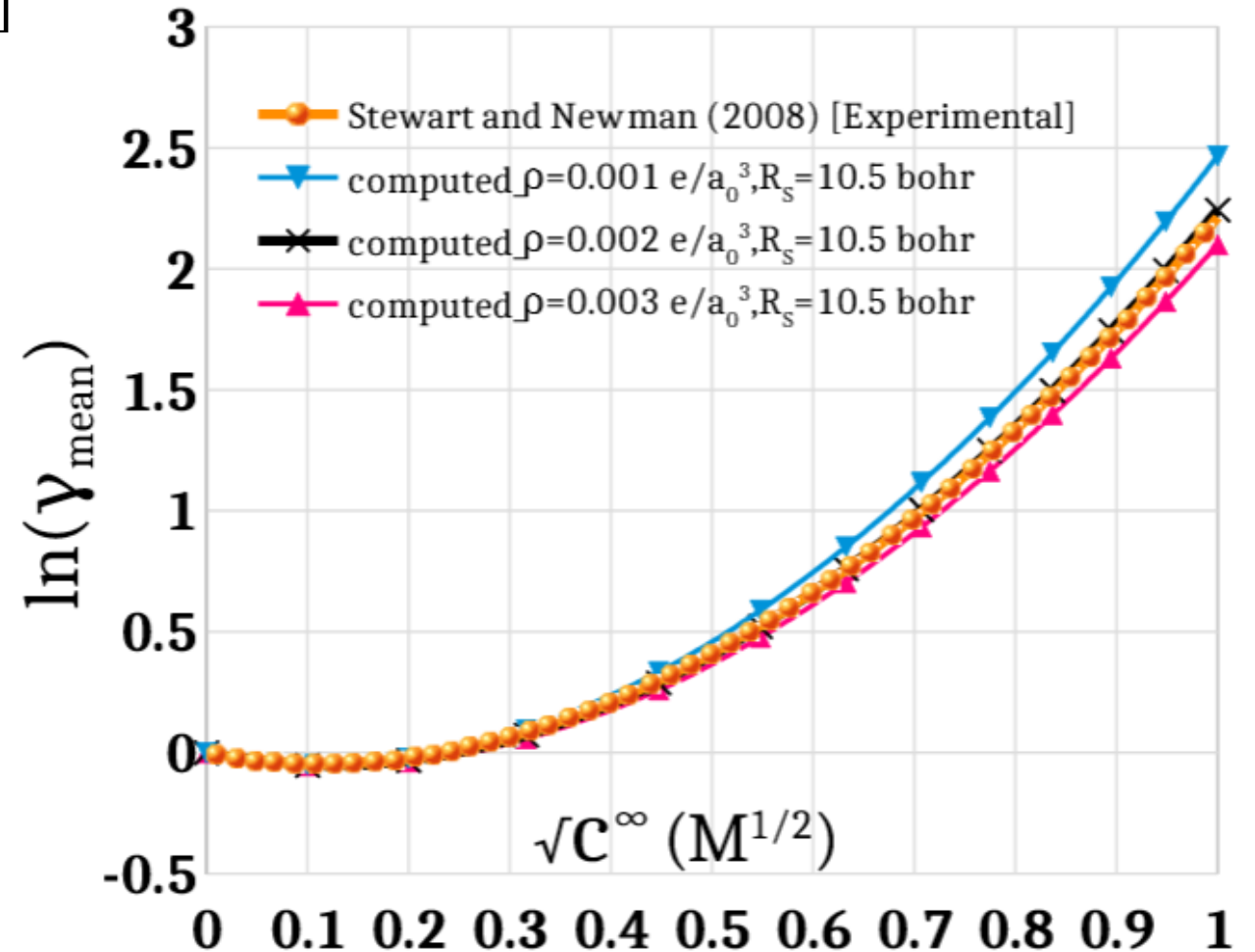
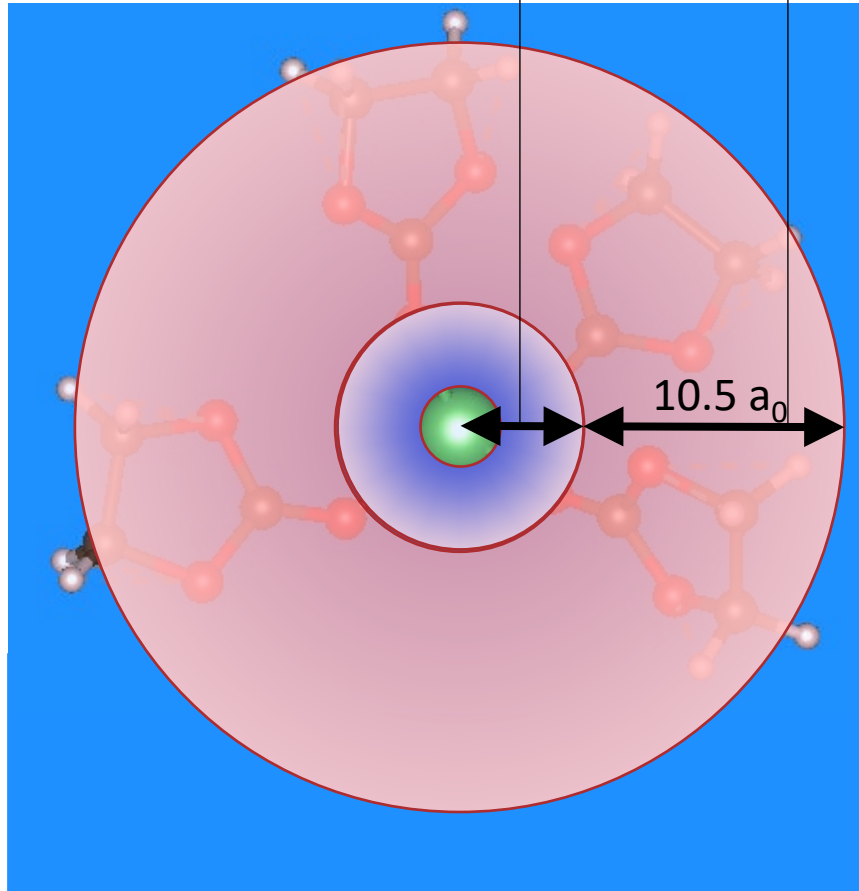
Solute size (ρ) ← Solvation radius (R_s)



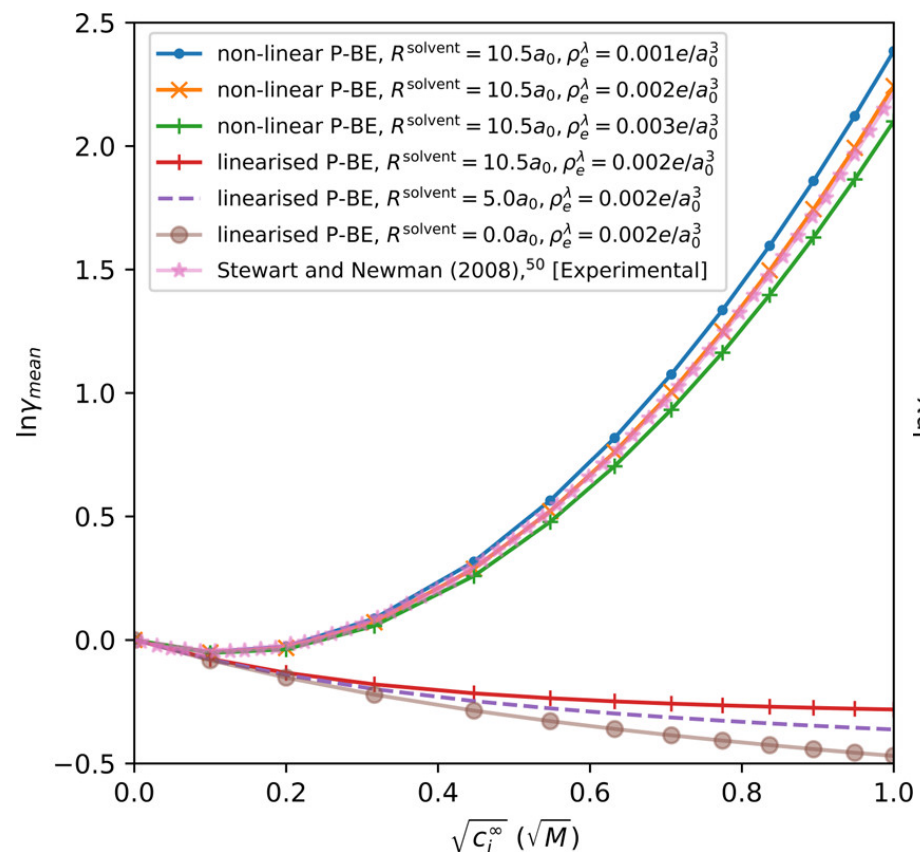
Electrolyte Parameters

→ found by calibrating activity coefficients of electrolytes with experiments.

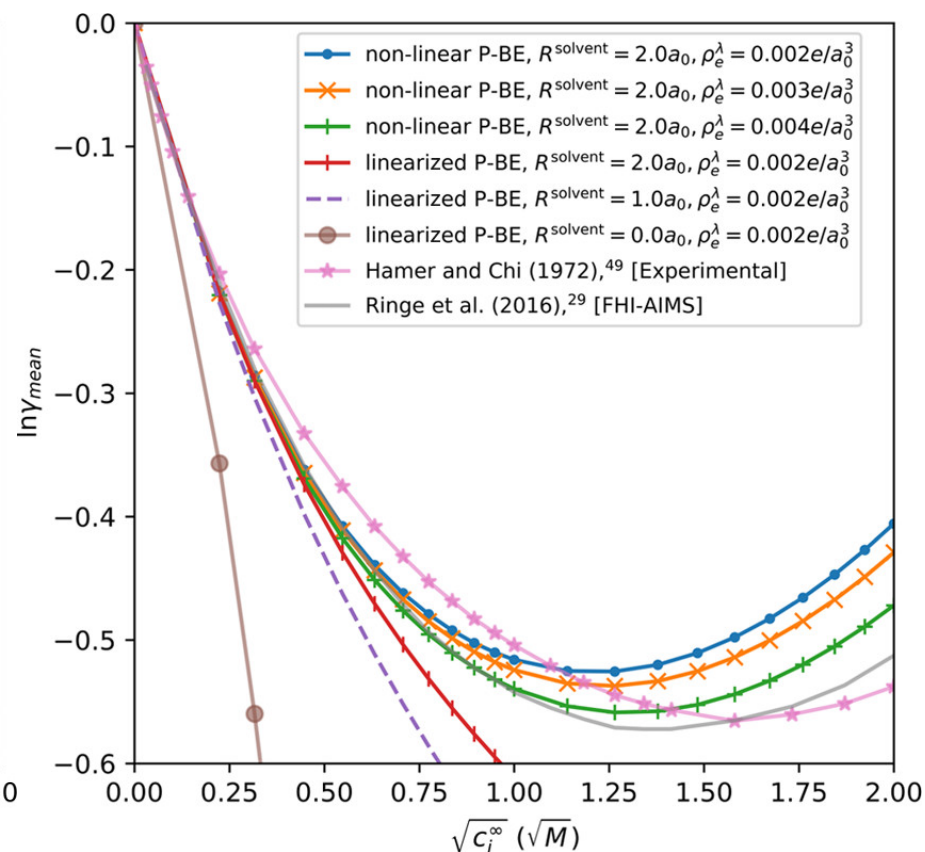
$$\lambda(\mathbf{r}) = \prod_I \frac{1}{2} \left[1 + \operatorname{erf} \left(\frac{|\mathbf{r} - \mathbf{R}_I| - R_{\text{solute}}(\rho) - R_{\text{solvent}}}{\sigma} \right) \right]$$



Electrolyte Parameters



LiPF_6 in EC at 308 K

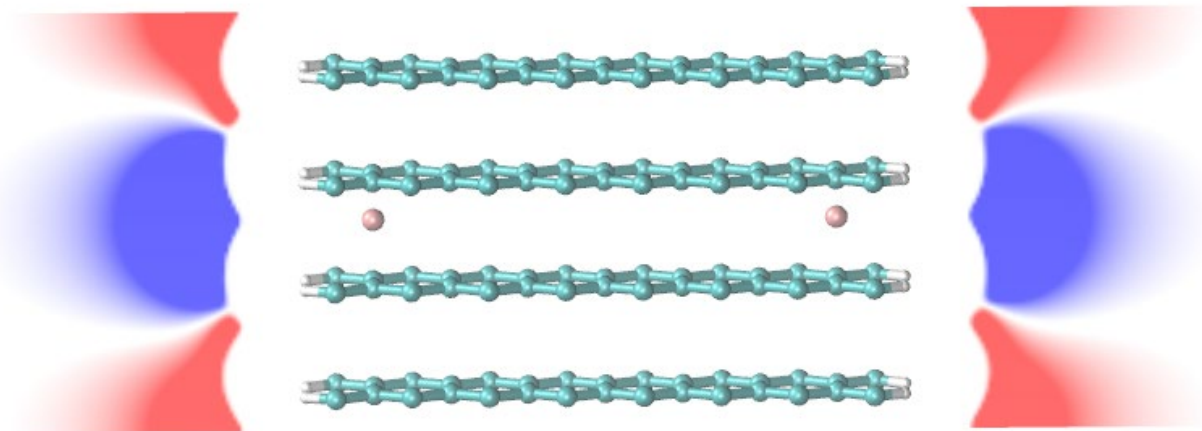
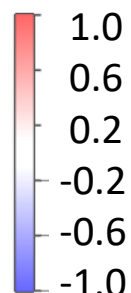


KCl in water at 298 K

PBCs: Electroneutrality $\nabla \cdot [\epsilon(\mathbf{r})\nabla v(\mathbf{r})] = -4\pi[\rho(\mathbf{r}) + \rho_{mob}(\mathbf{r})]$

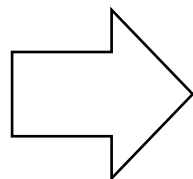
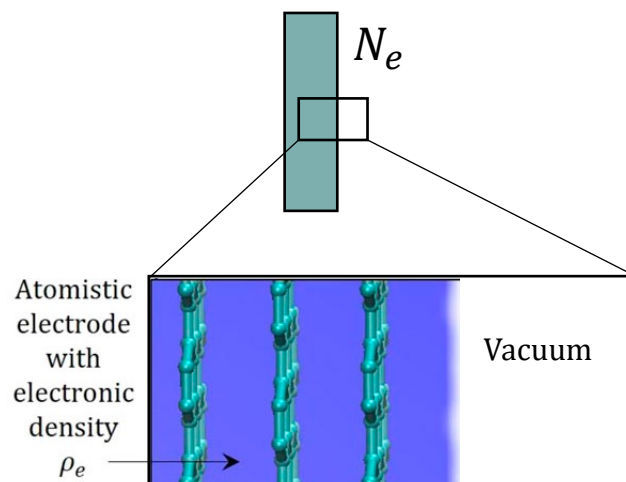
Jellium	Accessible Jellium	Grand canonical electrolyte
Opposite background charge in the entire simulation cell.	Opposite background charge in the electrolyte accessible region.	Non-uniform background charge which follows Poisson-Boltzmann distribution and neutralizes the charge on atoms.
$\rho_{mob}(\mathbf{r}) = -\frac{\int \rho(\mathbf{r}')d\mathbf{r}'}{\int d\mathbf{r}'}$	$\rho_{mob}(\mathbf{r}) = -\frac{\lambda(\mathbf{r}) \int \rho(\mathbf{r}')d\mathbf{r}'}{\int \lambda(\mathbf{r}')d\mathbf{r}'}$	$\rho_{mob}(\mathbf{r}) = \lambda(\mathbf{r}) \sum_i z_i c_i^\infty \exp\left(\frac{-z_i[v(\mathbf{r}) + v_s]}{k_B T}\right)$

$\rho_{mob}(\mathbf{r})$

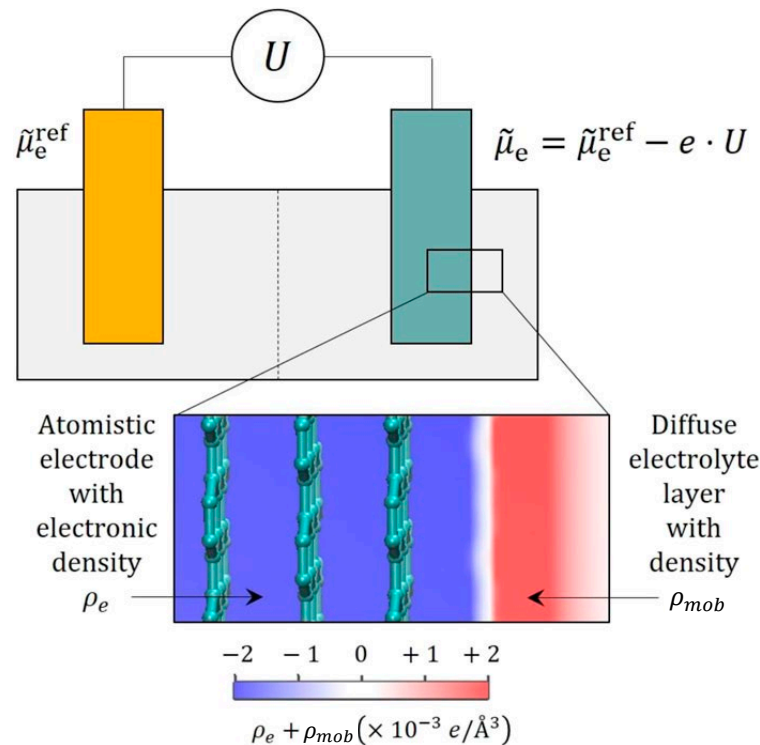


Grand canonical DFT for simulations of charged solid-liquid interfaces

Canonical ensemble of electrons
(constant number of electrons)

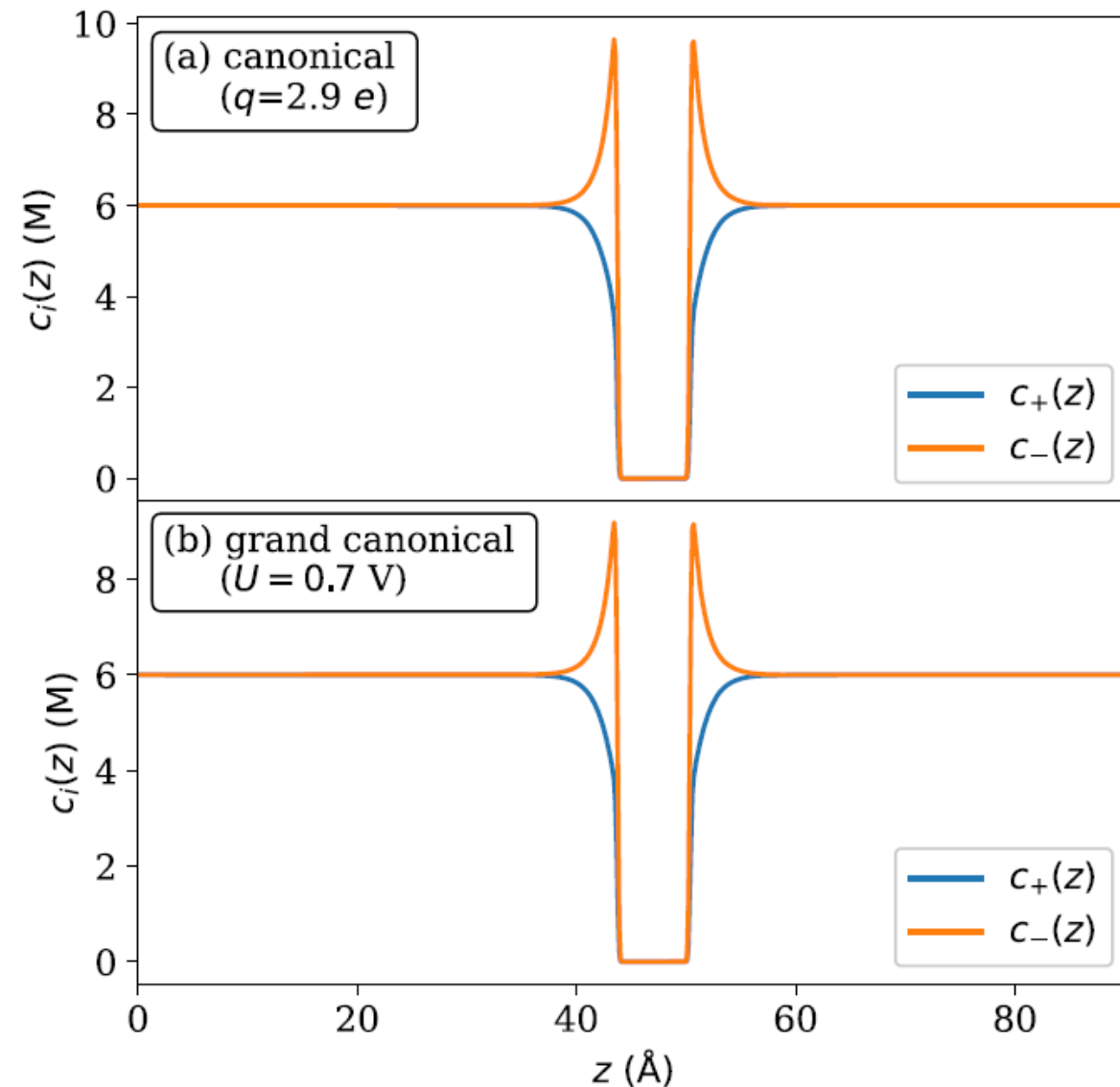


Grand canonical model for electrochemistry

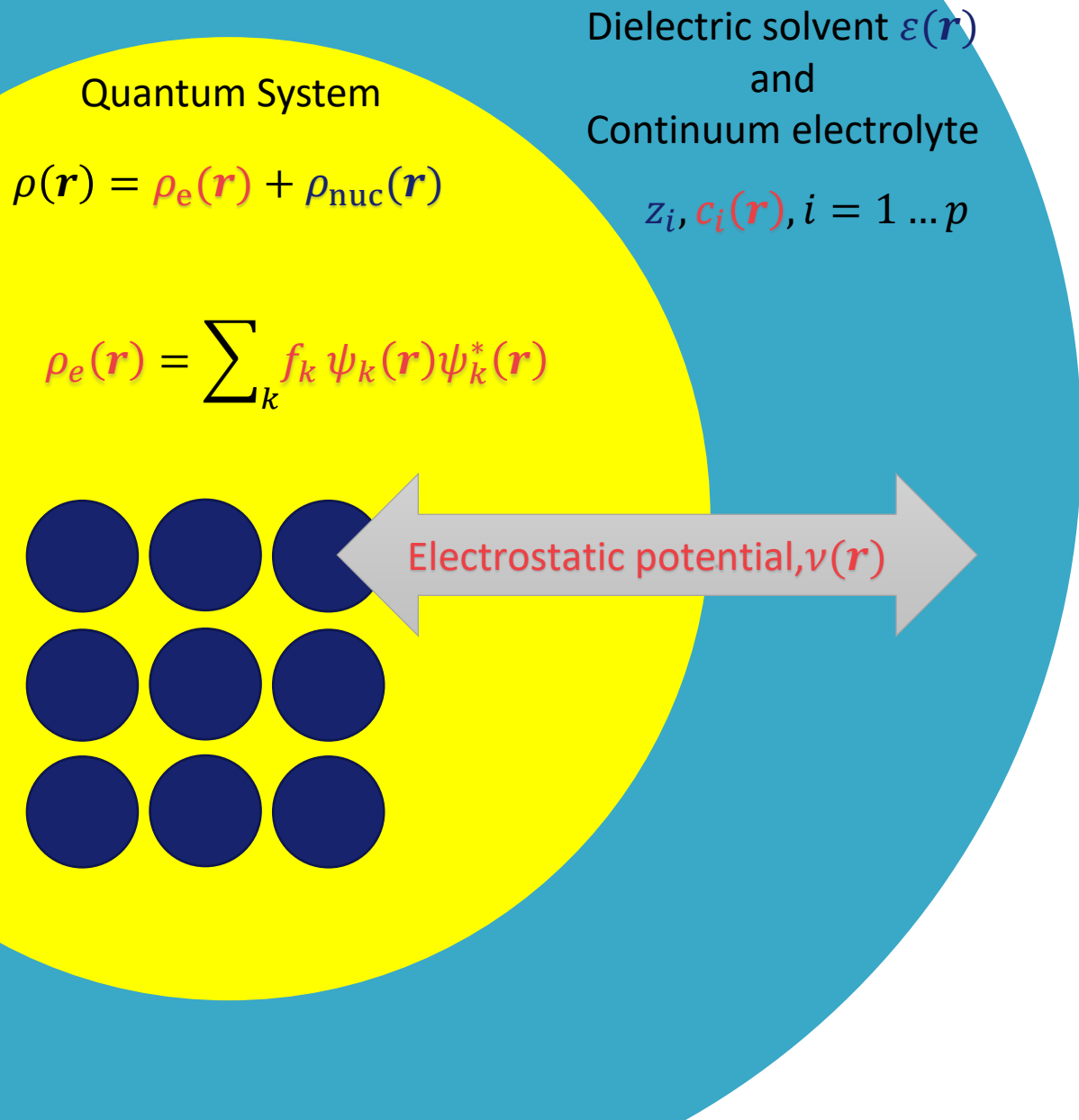


Ensemble for electrons

Canonical	Grand canonical
Electronically isolated system.	System connected with an electronic reservoir.
Number of electrons and the charge is fixed.	Chemical potential of electrons is fixed.
Chemical potential is found.	Charge is found.
$A = E - TS$	$\Omega = E - TS - \mu_e N_e$



System



$$\Omega = \Omega_e[\rho_e]$$

$$+ \Omega_{\text{mf}}[\rho_e, v, c_i]$$

$$+ \Omega_{\text{nmf}}$$

System

Quantum System

$$\rho(\mathbf{r}) = \rho_e(\mathbf{r}) + \rho_{\text{nuc}}(\mathbf{r})$$

$$\rho_e(\mathbf{r}) = \sum_k f_k \psi_k(\mathbf{r}) \psi_k^*(\mathbf{r})$$

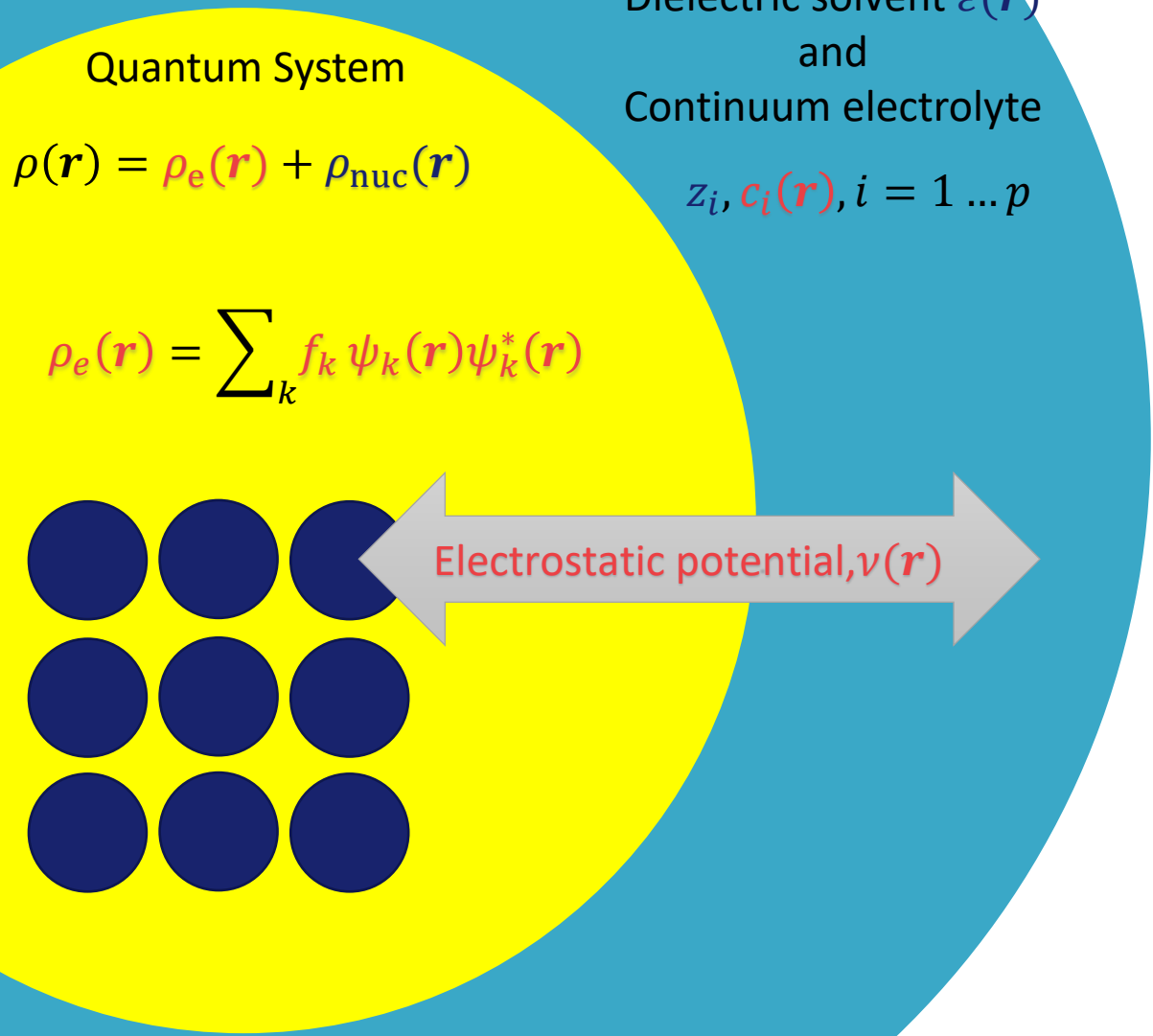
Dielectric solvent $\epsilon(\mathbf{r})$
and
Continuum electrolyte

$$z_i, c_i(\mathbf{r}), i = 1 \dots p$$

Electrostatic potential, $v(\mathbf{r})$

$$\begin{aligned} \Omega = & \sum_k f_k \int \psi_k^*(\mathbf{r}) \left(-\frac{1}{2} \nabla^2 \right) \psi_k(\mathbf{r}) d\mathbf{r} && \text{Kinetic energy} && 12 \\ & + k_B T \sum_k f_k \ln f_k + (1 - f_k) \ln f_k && \text{Entropy} \\ & - \mu_e \sum_k f_k && \text{Chemical potential term} \\ & + \sum_k f_k \int \psi_k^*(\mathbf{r}) v_{\text{ps}}(\mathbf{r}) \psi_k(\mathbf{r}) d\mathbf{r} && \text{Pseudopotential contribution} \\ & + E_{\text{xc}} && \text{Exchange Correlation Energy} \end{aligned}$$

System



$$\Omega = \sum_k f_k \int \psi_k^*(\mathbf{r}) \left(-\frac{1}{2} \nabla^2 \right) \psi_k(\mathbf{r}) d\mathbf{r} \quad \text{Kinetic energy}$$

$$+ k_B T \sum_k f_k \ln f_k + (1 - f_k) \ln f_k \quad \text{Entropy}$$

$$- \mu_e \sum_k f_k \quad \text{Chemical potential term}$$

$$+ \sum_k f_k \int \psi_k^*(\mathbf{r}) v_{\text{ps}}(\mathbf{r}) \psi_k(\mathbf{r}) d\mathbf{r} \quad \text{Pseudopotential contribution}$$

$$+ E_{\text{xc}} \quad \text{Exchange Correlation Energy}$$

$$+ \int \left[-\frac{\epsilon(\mathbf{r}) |\nabla v(\mathbf{r})|^2}{8\pi} + \rho(\mathbf{r}) v(\mathbf{r}) + \sum_{i=1}^p z_i c_i(\mathbf{r}) v(\mathbf{r}) \right] d\mathbf{r} \quad \text{Electrostatic En.}$$

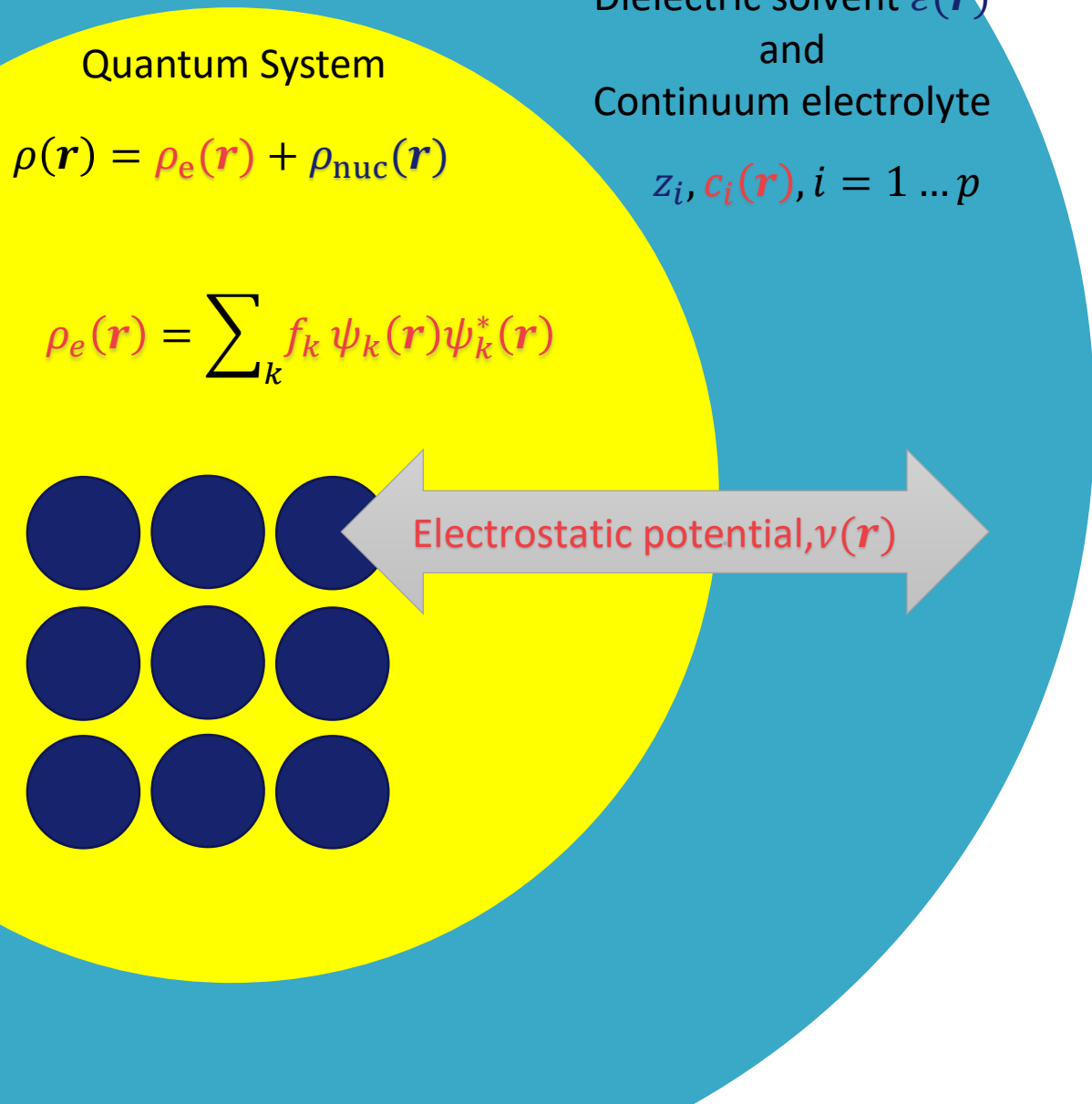
$$- k_B T \sum_{i=1}^p \int c_i(\mathbf{r}) d\mathbf{r} \quad \text{Electrolyte osmotic pressure}$$

$$- k_B T \sum_{i=1}^p \int c_i(\mathbf{r}) \ln \lambda(\mathbf{r}) d\mathbf{r} \quad \text{Electrolyte accessibility term}$$

$$+ k_B T \sum_{i=1}^p \int c_i(\mathbf{r}) \ln \left(\frac{c_i(\mathbf{r})}{c^o} \right) d\mathbf{r} \quad \text{Electrolyte entropy}$$

$$- \sum_{i=1}^p \mu_i \int c_i(\mathbf{r}) d\mathbf{r} \quad \text{Electrolyte chemical potential}$$

System



$$\Omega = \sum_k f_k \int \psi_k^*(\mathbf{r}) \left(-\frac{1}{2} \nabla^2 \right) \psi_k(\mathbf{r}) d\mathbf{r} \quad \text{Kinetic energy} \quad 14$$

$$+ k_B T \sum_k f_k \ln f_k + (1 - f_k) \ln f_k \quad \text{Entropy}$$

$$- \mu_e \sum_k f_k \quad \text{Chemical potential term}$$

$$+ \sum_k f_k \int \psi_k^*(\mathbf{r}) v_{\text{ps}}(\mathbf{r}) \psi_k(\mathbf{r}) d\mathbf{r} \quad \text{Pseudopotential contribution}$$

$$+ E_{\text{xc}} \quad \text{Exchange Correlation Energy}$$

$$+ \int \left[-\frac{\epsilon(\mathbf{r}) |\nabla v(\mathbf{r})|^2}{8\pi} + \rho(\mathbf{r}) v(\mathbf{r}) + \sum_{i=1}^p z_i c_i(\mathbf{r}) v(\mathbf{r}) \right] d\mathbf{r} \quad \text{Electrostatic En.}$$

$$- k_B T \sum_{i=1}^p \int c_i(\mathbf{r}) d\mathbf{r} \quad \text{Electrolyte osmotic pressure}$$

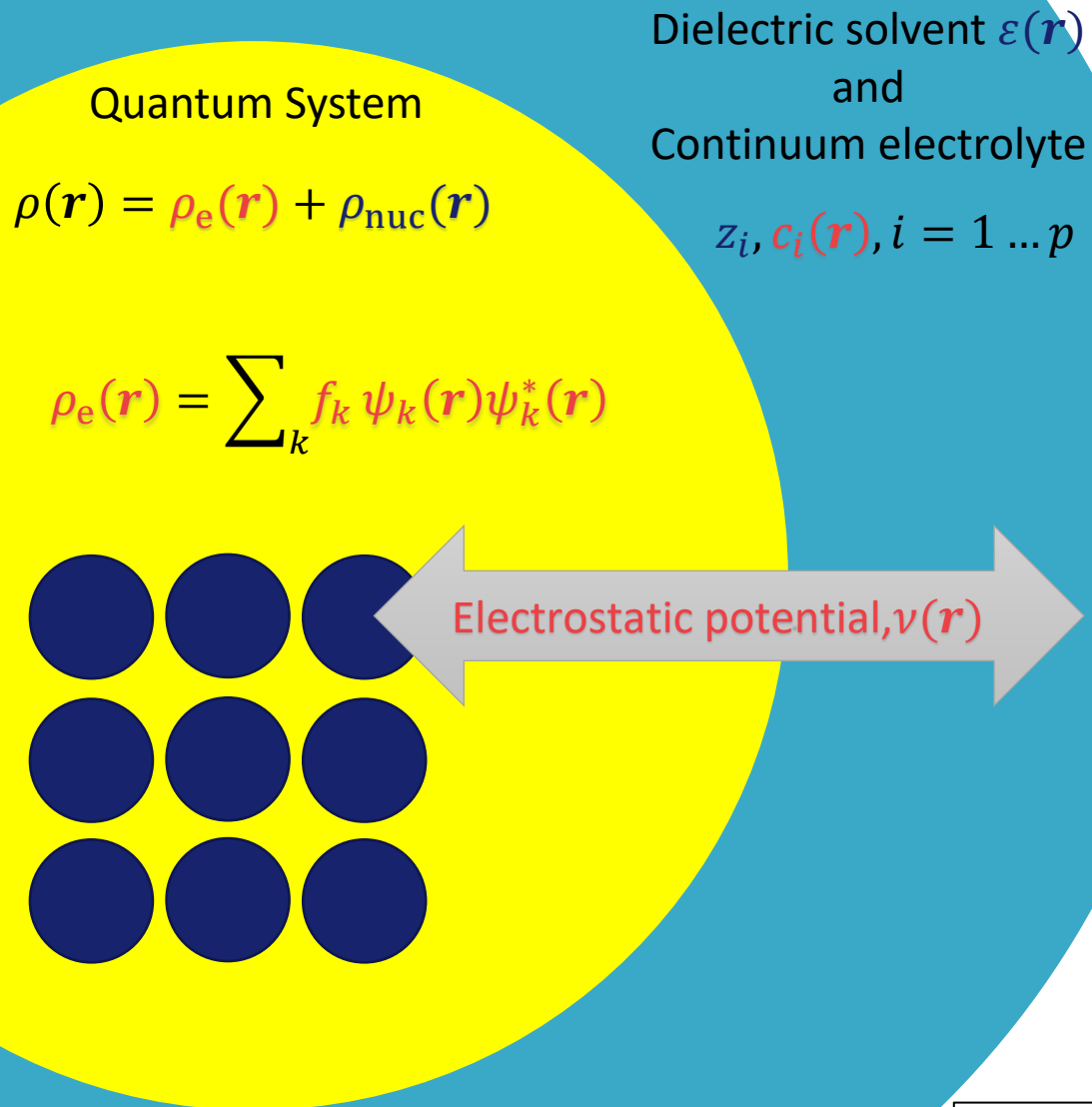
$$- k_B T \sum_{i=1}^p \int c_i(\mathbf{r}) \ln \lambda(\mathbf{r}) d\mathbf{r} \quad \text{Electrolyte accessibility term}$$

$$+ k_B T \sum_{i=1}^p \int c_i(\mathbf{r}) \ln \left(\frac{c_i(\mathbf{r})}{c^o} \right) d\mathbf{r} \quad \text{Electrolyte entropy}$$

$$- \sum_{i=1}^p \mu_i \int c_i(\mathbf{r}) d\mathbf{r} \quad \text{Electrolyte chemical potential}$$

$$+ \gamma S \quad \text{Solvent cavitation, dispersion and repulsion}$$

System



Total free energy,
 $\Omega[\psi_k(\mathbf{r}), f_k, v(\mathbf{r}), c_i(\mathbf{r})]$

is minimized

$$\left[-\frac{1}{2} \nabla^2 + v_{\text{ps}}(\mathbf{r}) + v_{\text{xc}}(\mathbf{r}) + v(\mathbf{r}) \right] \psi_k = \epsilon_k \psi_k$$

$$f_k = \frac{1}{1 + \exp\left(\frac{\epsilon_k - \mu_e}{k_B T}\right)}$$

$$\mu_e = \mu_e^{\text{ref}} - e \cdot U$$

Grand canonical DFT

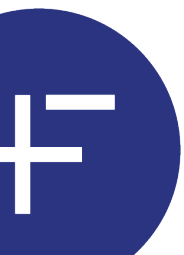
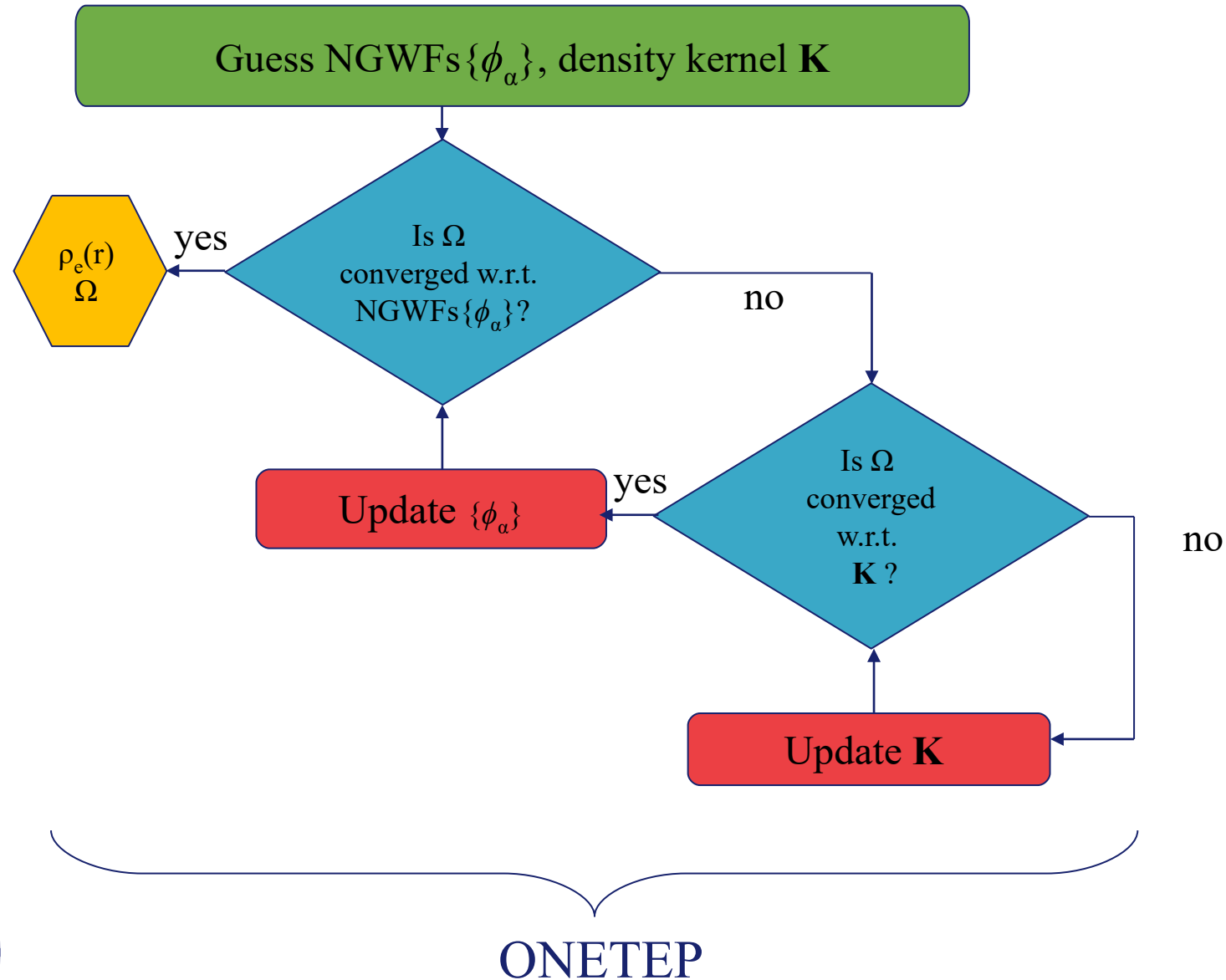
$$\nabla \cdot [\epsilon(\mathbf{r}) \nabla v(\mathbf{r})] = -4\pi \left[\rho_e(\mathbf{r}) + \rho_{\text{nuc}}(\mathbf{r}) + \sum_{i=1}^p z_i c_i(\mathbf{r}) \right]$$

$$c_i(\mathbf{r}) = \lambda(\mathbf{r}) c_i^{\infty} \exp\left(\frac{-z_i [v(\mathbf{r}) + v_s]}{k_B T}\right)$$

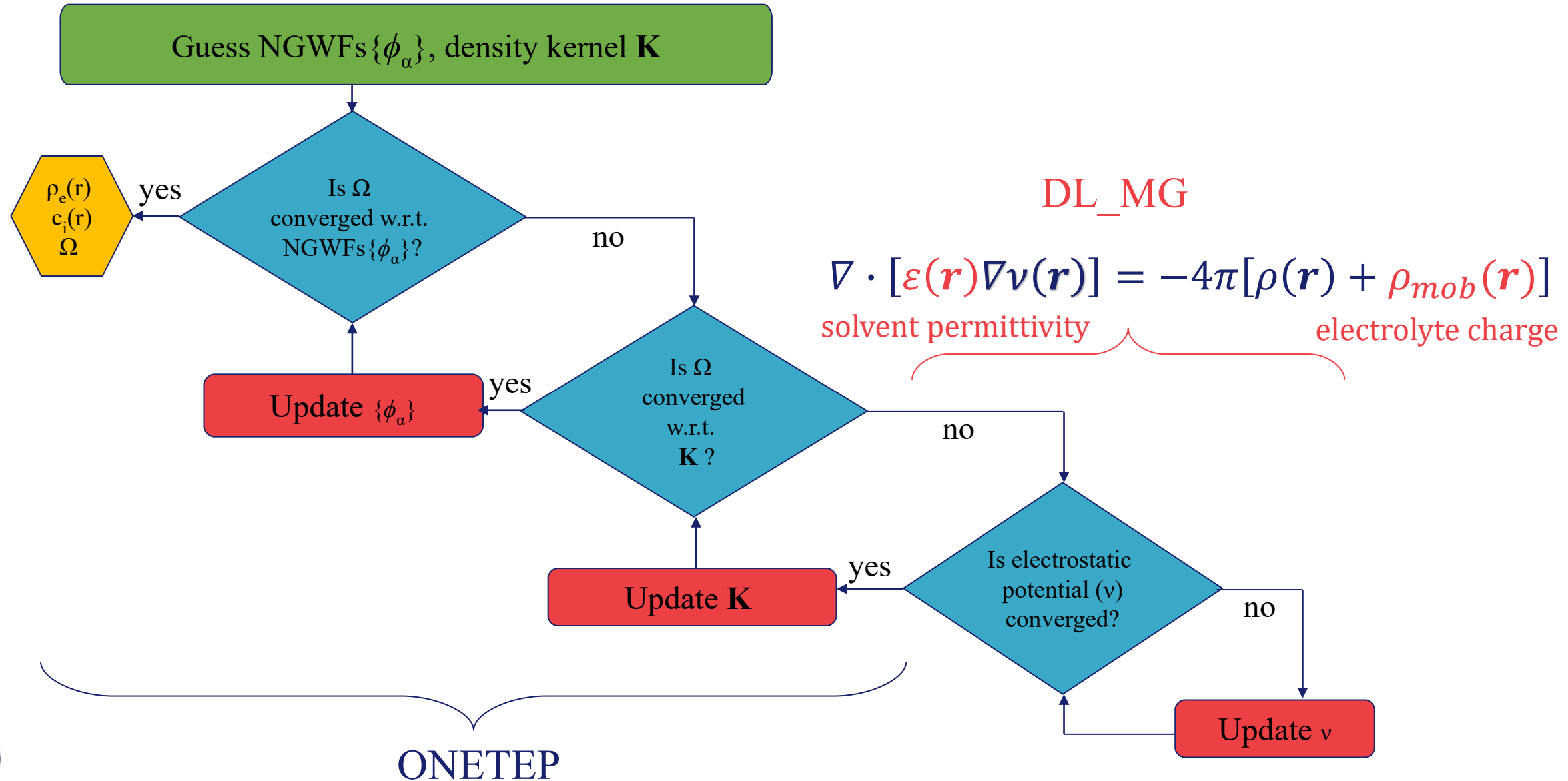
$$\int_V \left[\rho_e(\mathbf{r}) + \rho_{\text{nuc}}(\mathbf{r}) + \sum_{i=1}^p z_i c_i(\mathbf{r}) \right] d\mathbf{r} = 0$$

Poisson-Boltzmann Theory

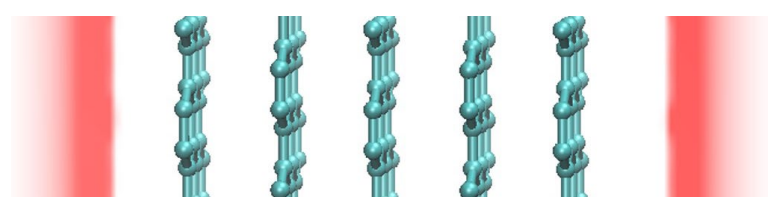
ONETEP Calculation procedure in vacuum



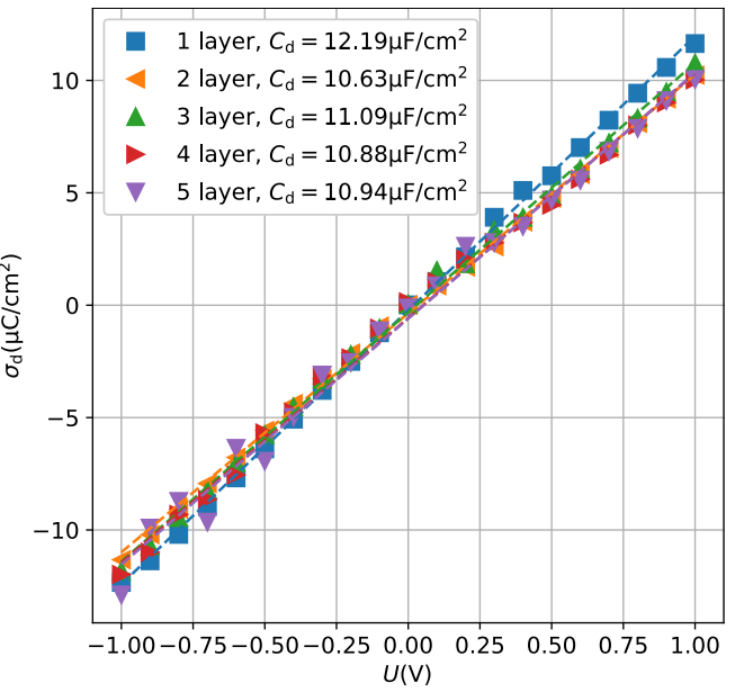
ONETEP Calculation procedure **with new electrolyte model**



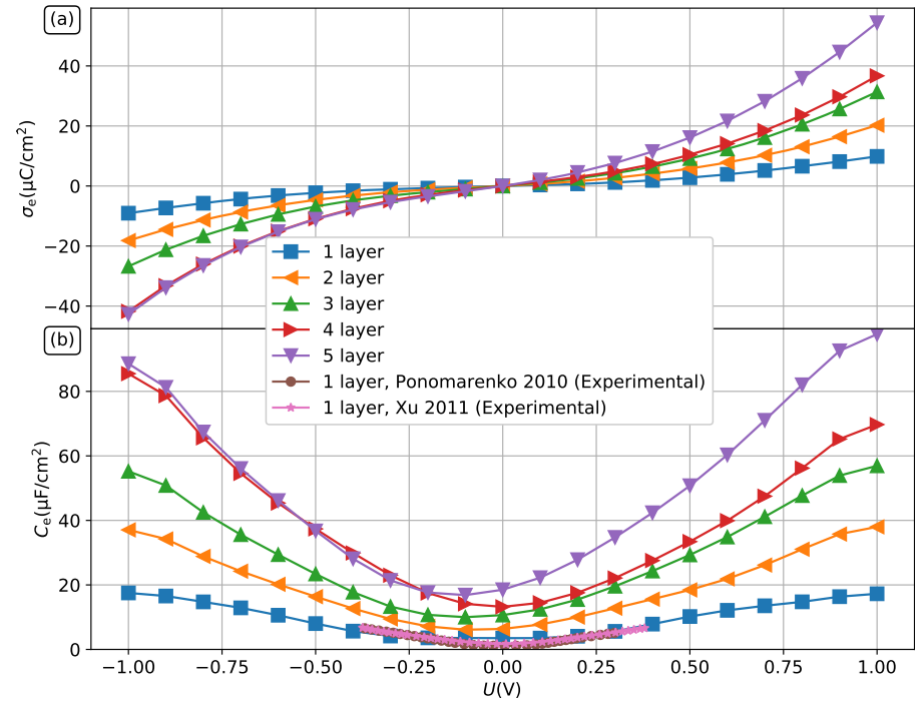
Differential capacitance of few-layer graphene



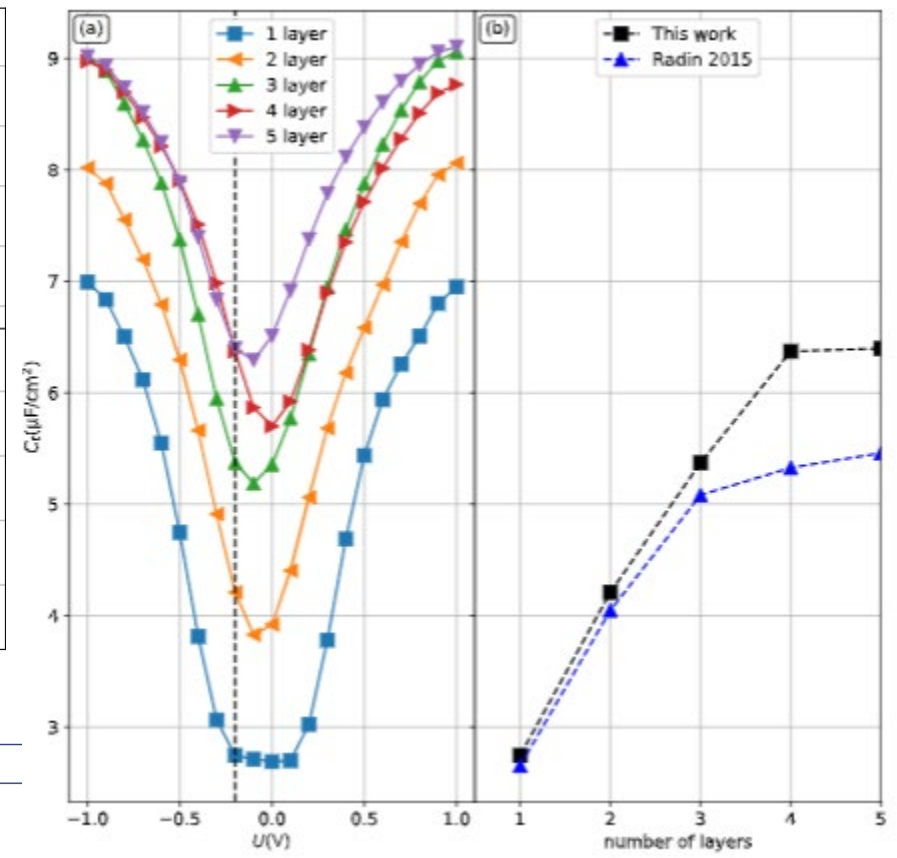
Diffuse layer capacitance (C_d)



Electronic capacitance (C_e)



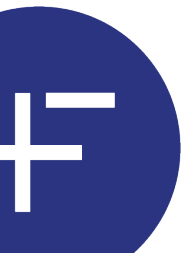
Total capacitance $\frac{1}{C_t} = \frac{1}{C_d} + \frac{1}{C_e}$



Electrochemistry from first-principles in the grand canonical ensemble

Cite as: J. Chem. Phys. 155, 024114 (2021); doi: 10.1063/5.0056514
Submitted: 11 May 2021 • Accepted: 22 June 2021 •
Published Online: 12 July 2021

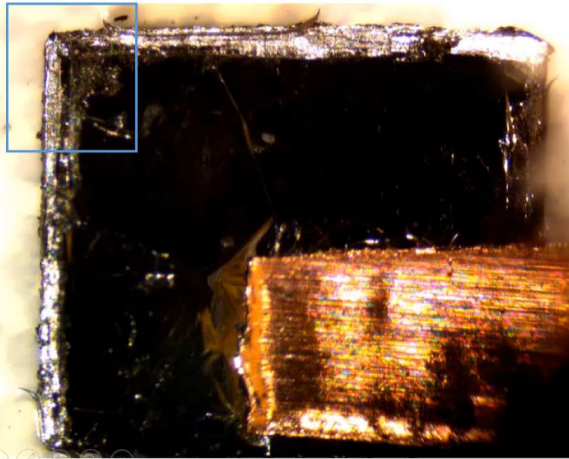
Arihant Bhandari,^{1,2} Chao Peng,^{3*} Jacek Dziedzic,^{1,4,2} Lucian Anton,⁵ John R. Owen,^{1,2} Denis Kramer,^{3,2,4} and Chris-Kriton Skylaris^{1,2,4}



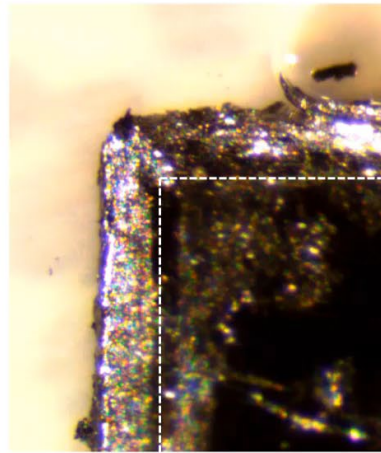
Li plating and dendrite growth on graphite

lithiation of graphite particle, 900 x real time

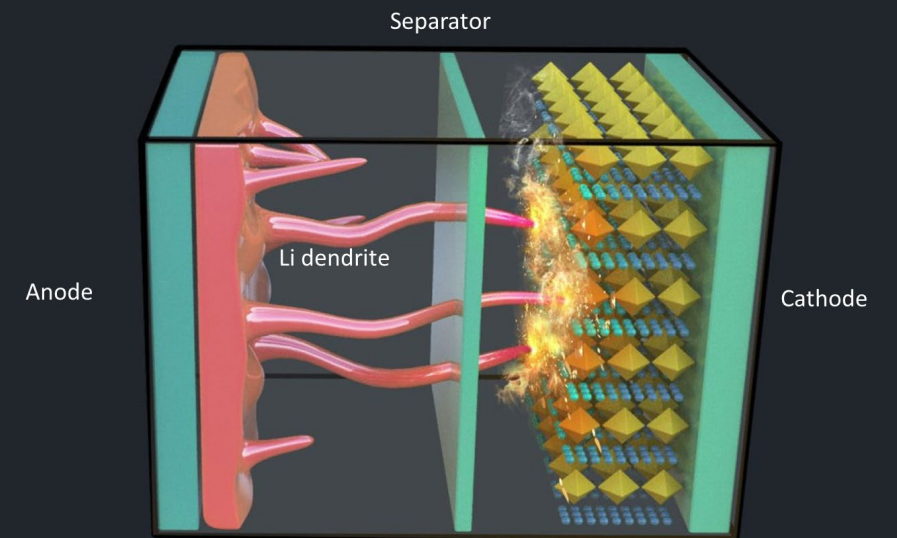
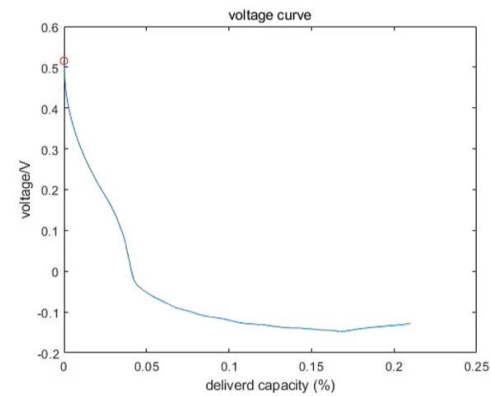
Particle A



Zoom-in



voltage



Gao et al. Joule 5, 393–414, (2021)

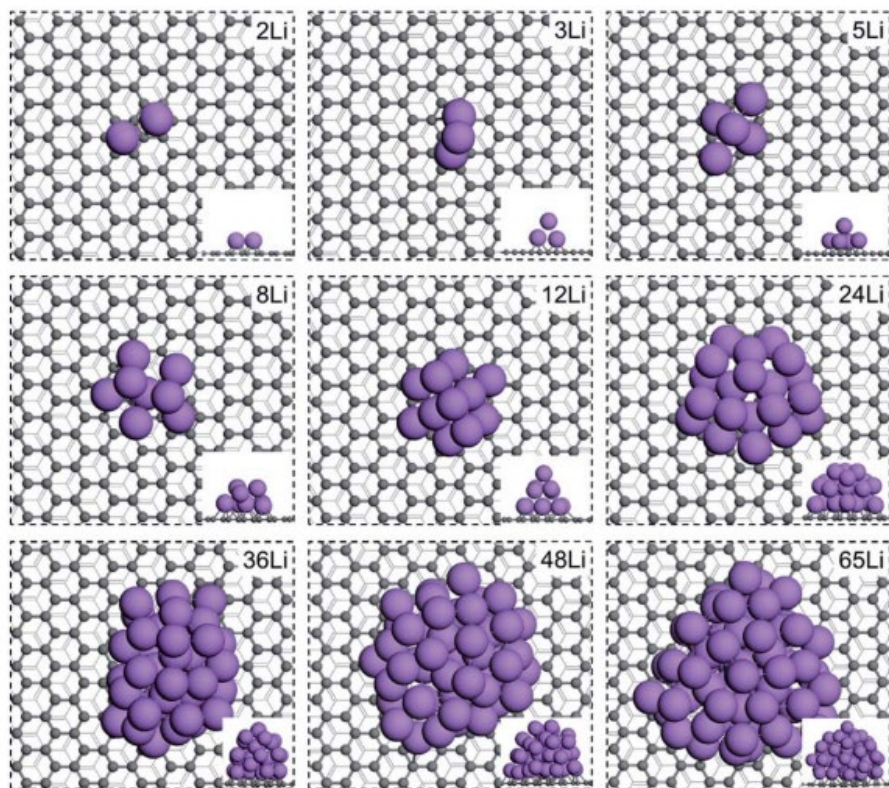
WHAT ARE THE CONDITIONS FOR DENDRITE GROWTH IN LI-ION BATTERIES



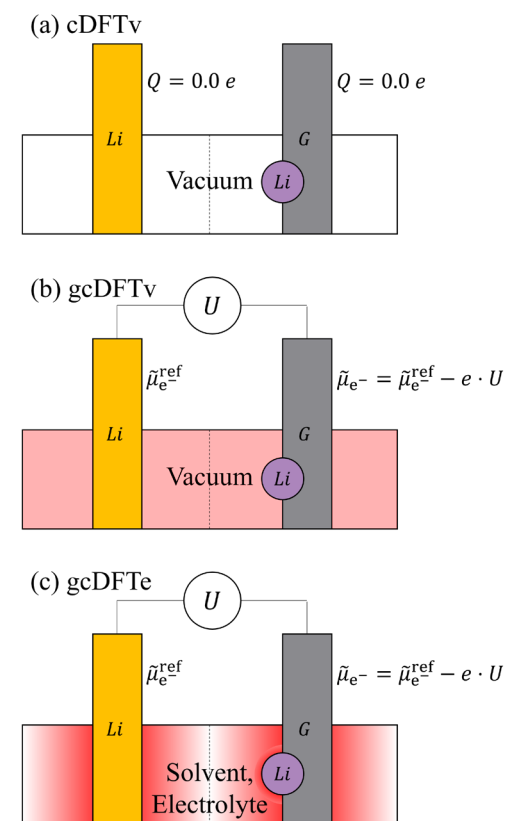
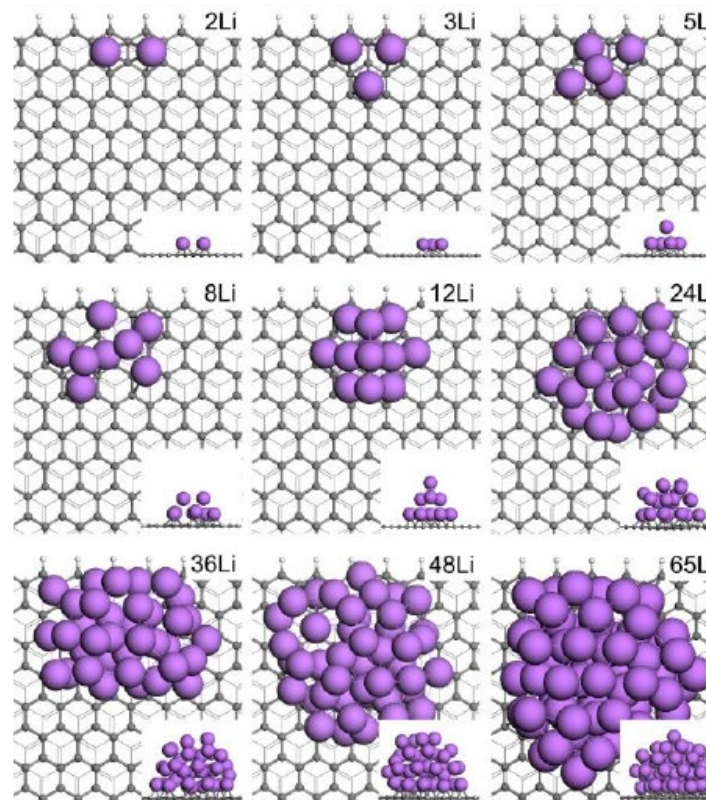
- At what voltage with respect to Li/Li^+ does the nucleation of Li clusters start?
- What is the voltage for onset of dendrite growth in a battery?
- At what location on a graphite particle do dendrites grow?
- What is the effect of electrolyte?
- How can it be prevented?

What are the conditions for dendrite growth in Li-ion batteries

- On extended basal plane



- Close to zigzag edge



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Materials Chemistry A



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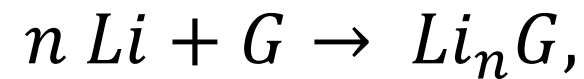
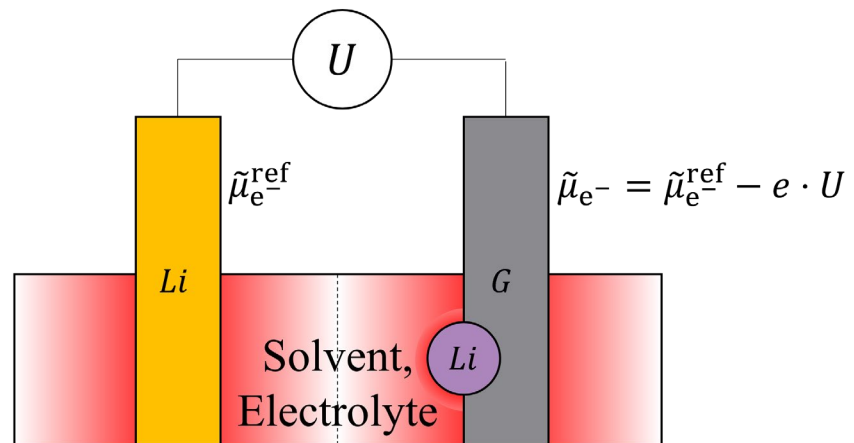
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Li nucleation on the graphite anode under potential control in Li-ion batteries†

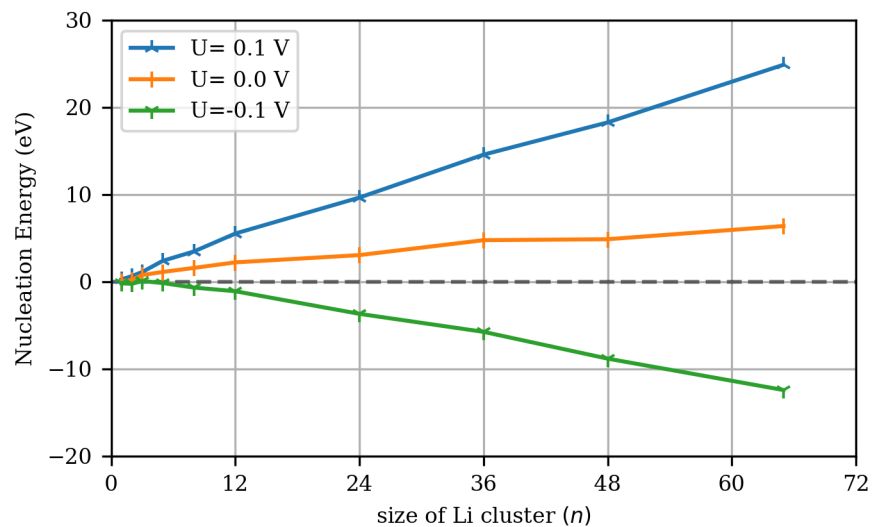
Cite this: *J. Mater. Chem. A*, 2022, 10, 11426

Arihant Bhandari,^{ab} Chao Peng,^{bc} Jacek Dziedzic,^{abd} John R. Owen,^{ab} Denis Kramer^{bce} and Chris-Kriton Skylaris^{ab*}

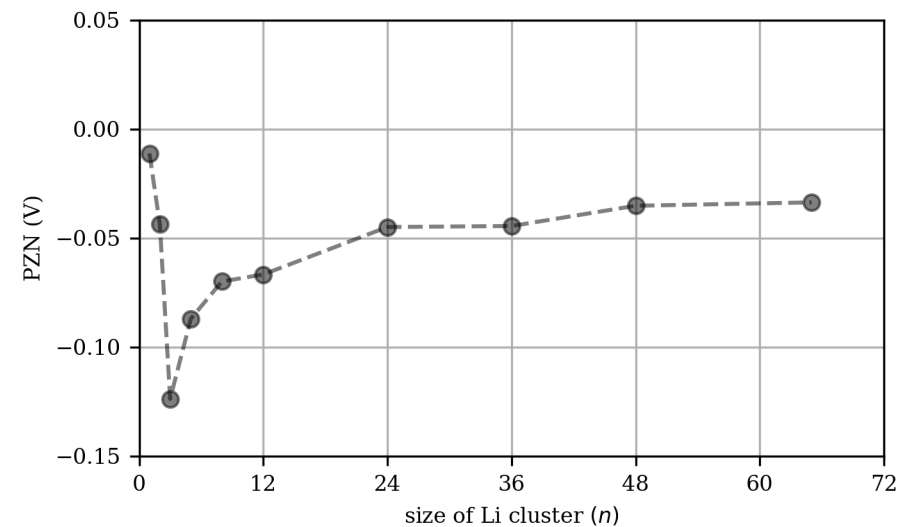


$$\Delta\Omega(U) = \left[\Omega_{\text{Li}_n \text{ G}}(U) - \Omega_{\text{G}}(U) - n \cdot \left(\tilde{\mu}_{\text{Li}}^{\text{ref}} \right) \right]$$

Nucleation energy ($\Delta\Omega$)



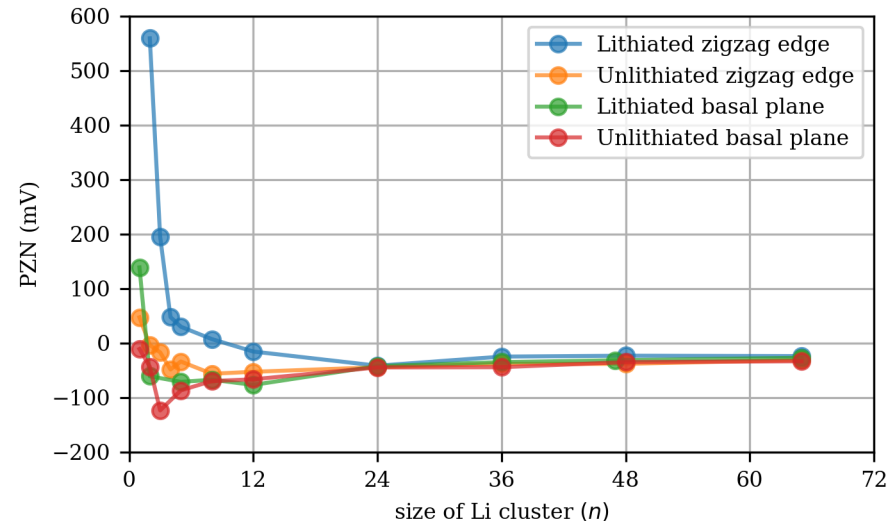
Potential of zero nucleation energy (PZN)



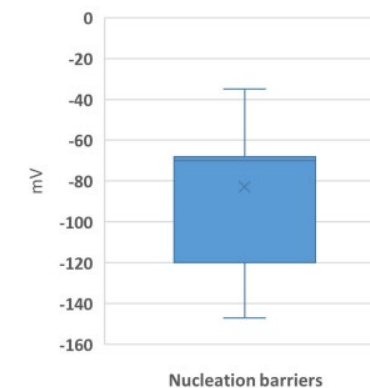
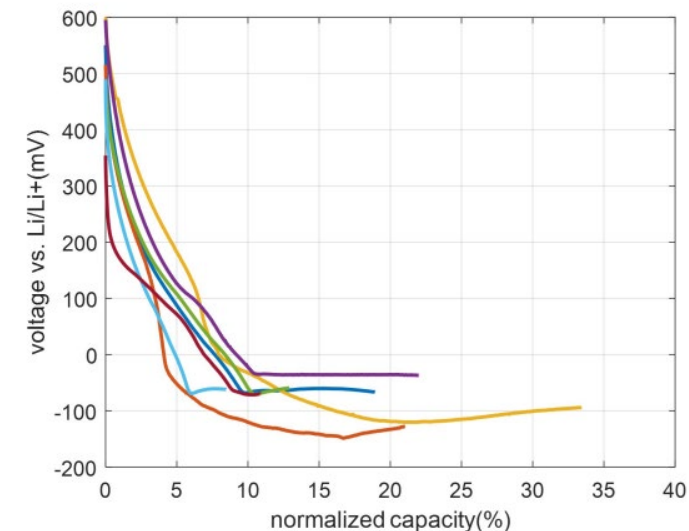


POTENTIAL OF ZERO NUCLEATION ENERGY (PZN)

Computed, Bhandari et al. JMCA 10, 11426, 2022



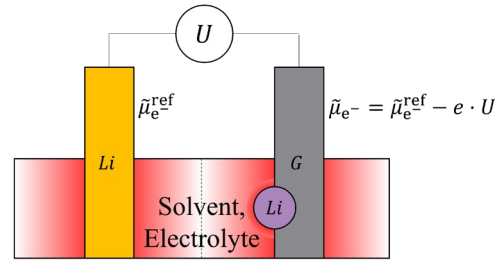
Experimental, Gao et al. Joule 5, 393, 2021



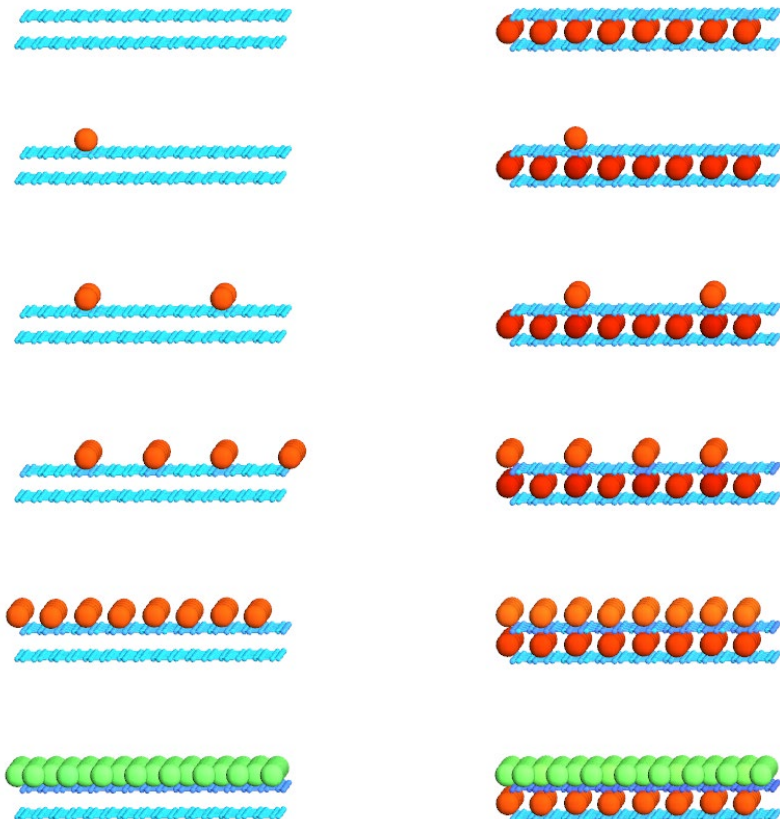
Zigzag edge	Lithiated graphite	-40 mV
	Unlithiated graphite	-60 mV
Basal plane	Lithiated graphite	-80 mV
	Unlithiated graphite	-120 mV

Mechanisms of Li deposition on graphite

Surface coverage



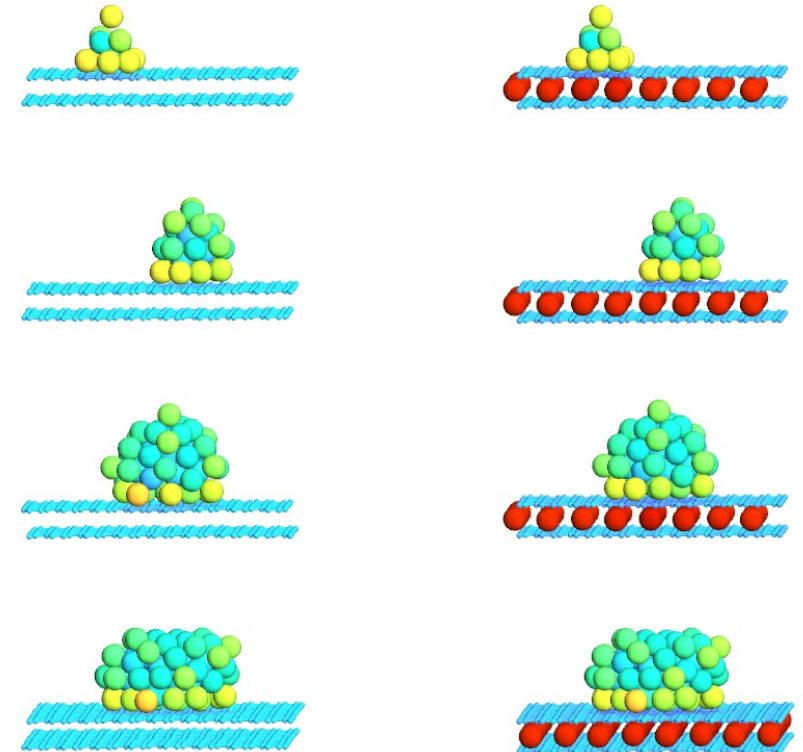
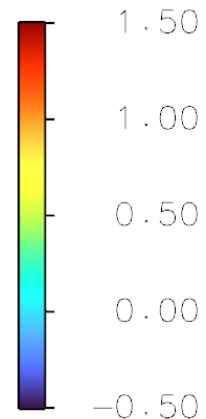
(a) Unlithiated graphite (b) Lithiated graphite



Cluster growth

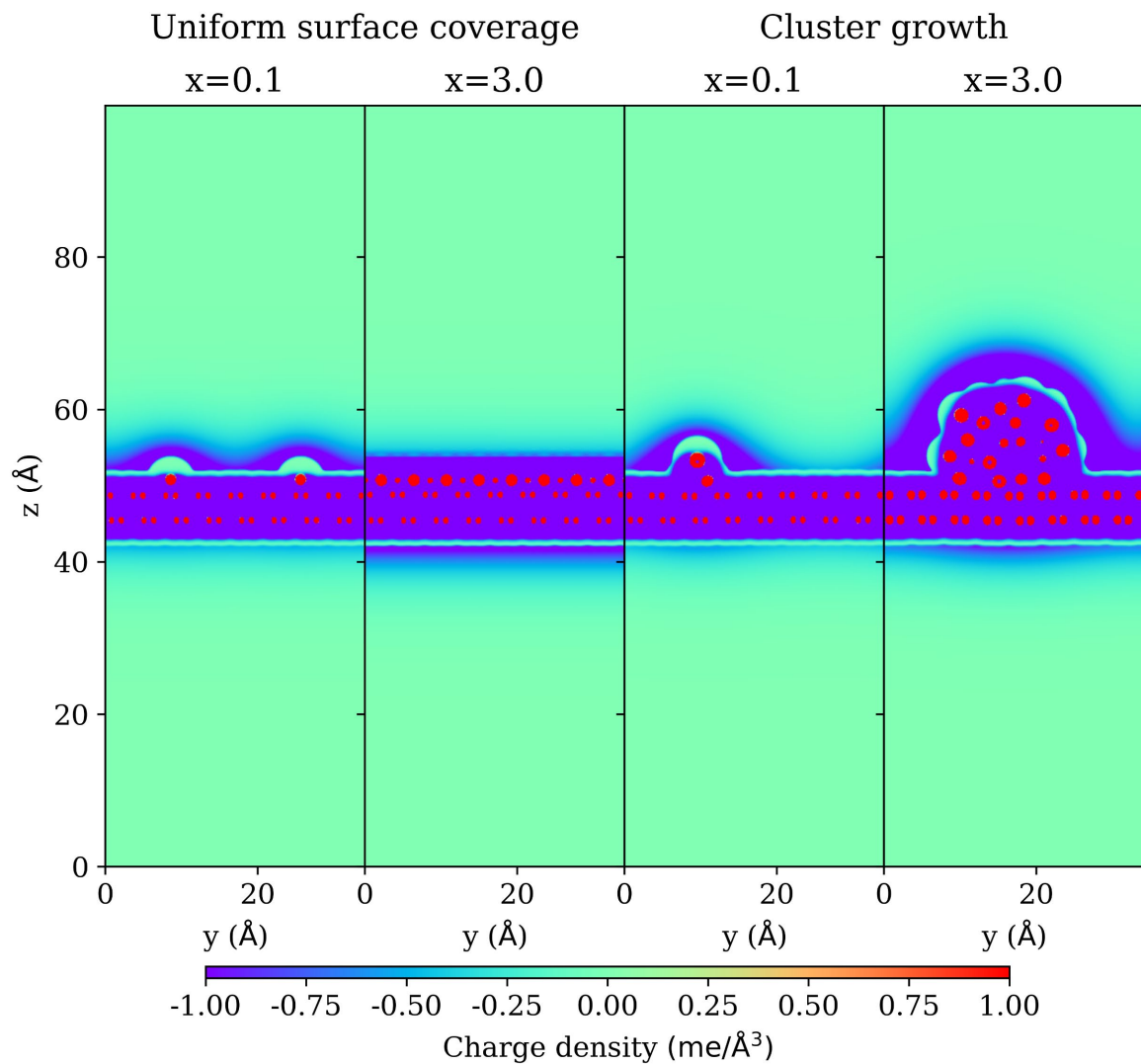
(a) Unlithiated graphite (b) Lithiated graphite

Mulliken Charge (e)

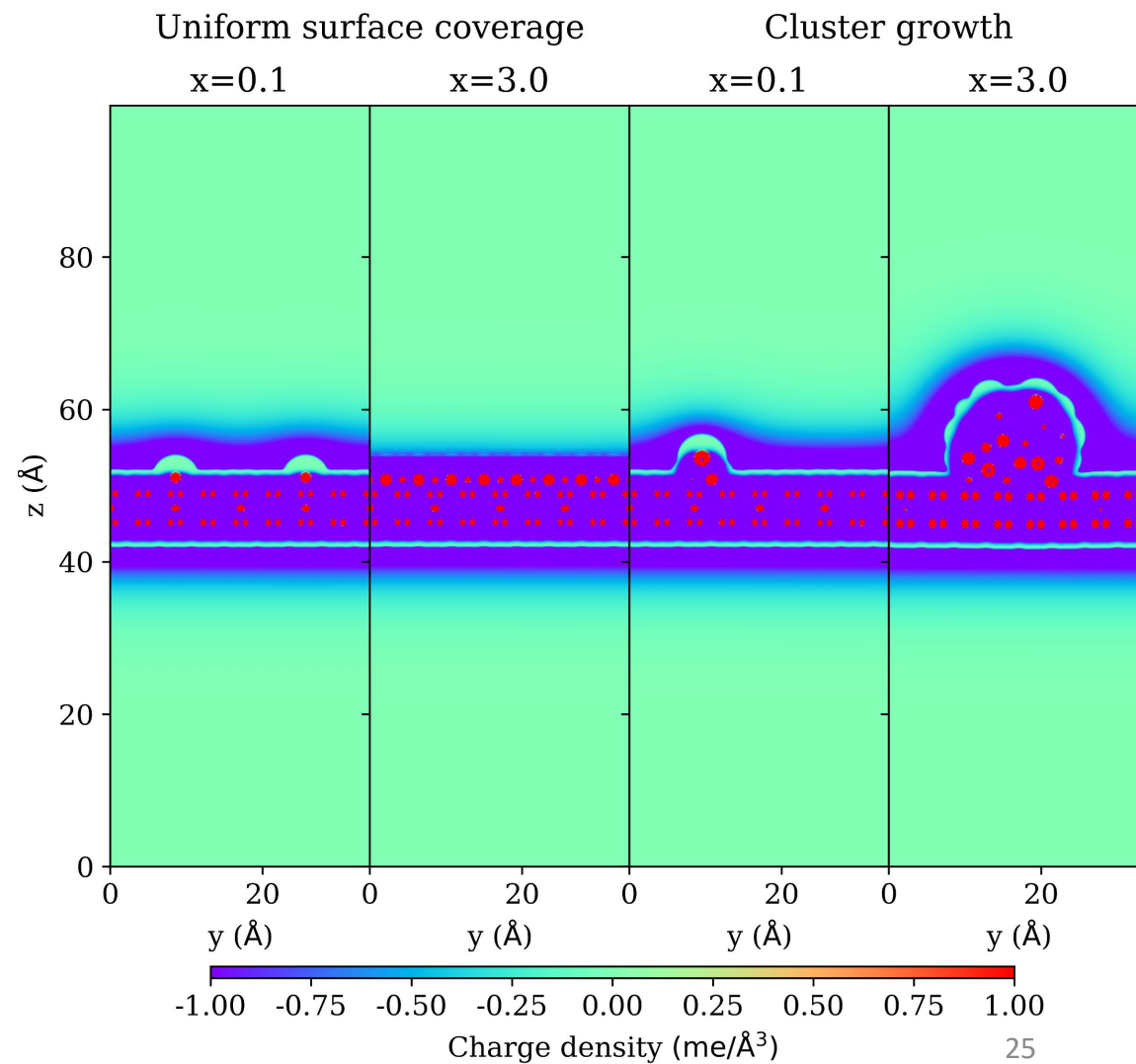


Total charge density

Unlithiated graphite



Lithiated graphite



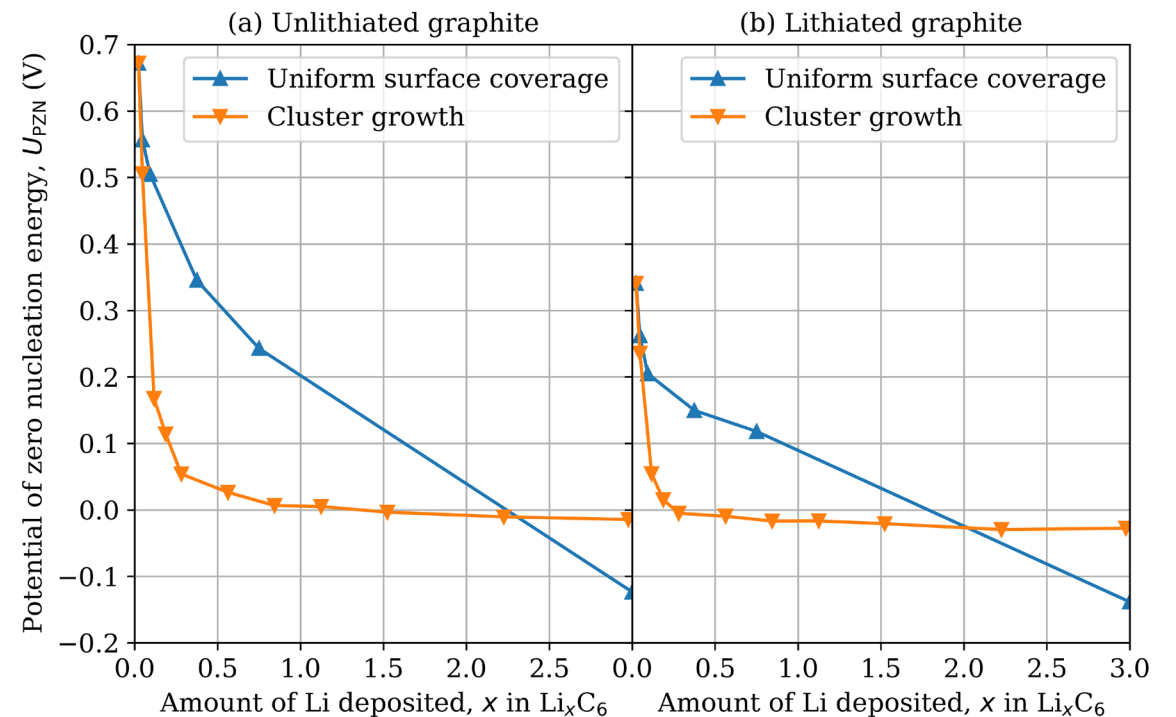
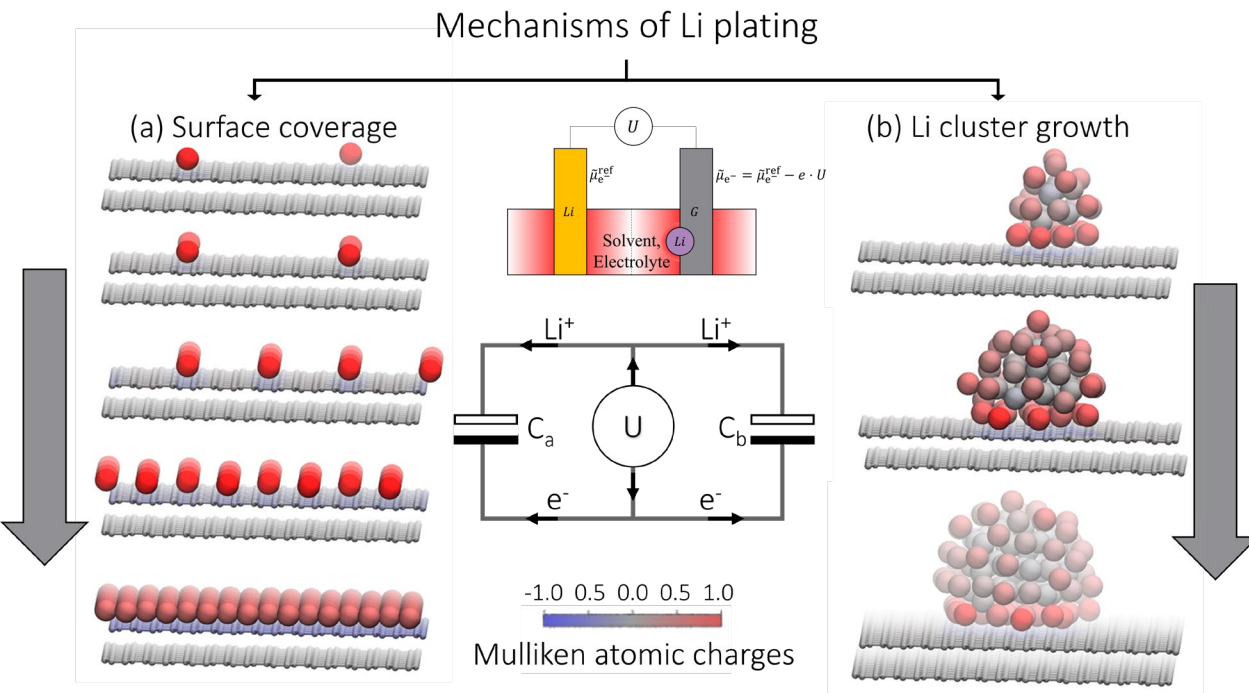
Mechanisms of Li deposition: surface coverage and dendrite growth

Arihant Bhandari, Jacek Dzedzic, John R. Owen, Denis Kramer, Chris K. Skylaris, *J. Mater. Chem. A* (Under review)

We find that the process of Li deposition on graphite anode occurs in following stages:

- Deposition of Li-ions uniformly on the surface of graphite anode at higher voltages (> 0.0 V)
- Reduction of aggregated Li-ions to metallic Li clusters and growth of Li clusters into dendrites (< 0.0 V)

We find the cross-over voltage for the above two processes. For safe operation of Li-ion batteries the voltage on graphite should be kept above this cross over value.



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University of
Southampton





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